



Federal Segment Architecture Methodology (FSAM) Practitioner's Training

Version 1.0



FSAM Version 1.0

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Welcome to the Federal Segment Architecture Methodology (FSAM) Practitioner's Training session!

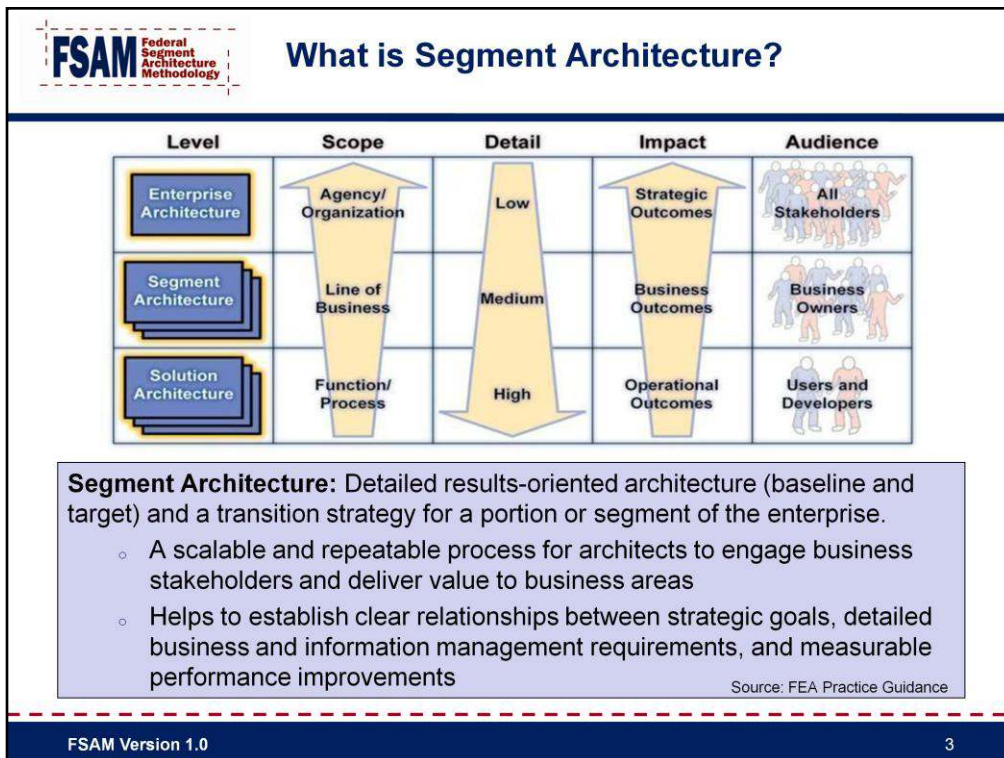
In this session, you will learn about the FSAM, what it is and who created it, and then you'll walk through each step of the process. The training will also provide an overview of each of the FSAM outputs and associated suggested analytical techniques that can be used collectively to describe a segment architecture.



What is FSAM?

This section of the training will introduce the FSAM and how it came to be. At the end of this section, you should be able to:

- Describe the definition of a segment architecture
- Understand that FSAM is a repeatable step by step process for developing segment architectures
- Identify what best practices were considered in developing FSAM
- Identify the 5 top level steps of FSAM
- Describe how FSAM fits into the overall structure of the performance improvement lifecycle (Architect – Invest – Implement) defined in the Federal Enterprise Architecture (FEA) Practice Guidance
- Understand how FSAM supports other management processes (e.g., strategic planning, CPIC)



Enterprise, segment, and solution architectures provide different business perspectives by varying the level of detail and addressing related but distinct concerns. Just as enterprises are themselves hierarchically organized, so are the different views provided by each type of architecture.

Segment architecture defines a simple roadmap for a core mission area, business service, or enterprise service. Segment architecture is driven by business management and delivers products that improve the delivery of services to citizens and agency staff. From an investment perspective, segment architecture drives decisions for a business case or group of business cases supporting a core mission area or common or shared service. The primary stakeholders for segment architecture are business owners and managers.

Segment architecture is related to enterprise architecture (EA) through three principles: structure, reuse, and alignment. Because it is related to EA, segment architecture:

- Inherits the framework used by the EA, although that framework may be extended and specialized to meet the specific needs of a core mission area or common or shared service;
- Reuses important assets defined at the enterprise level, including data, common business processes and investments, and applications and technologies; and
- Aligns with elements defined at the enterprise level, such as business strategies, mandates, standards, and performance measures.

What is FSAM?

- The new Federal Segment Architecture Methodology (FSAM) is a step by step process for developing and using segment architecture that leverages existing “best practice” analysis techniques and easy to use templates to expedite architecture development
- FSAM includes process steps to identify and validate the business need and the scope of the architecture to be defined (e.g., new initiative or integration / consolidation of existing initiatives).
- FSAM includes the interfaces to other processes including performance / investment management, enterprise transition planning, solution architecture development, and system lifecycle management

Who created FSAM?

- The Federal Segment Architecture Working Group (FSAWG) is a cooperative effort with the federal architecture community formed in January 2008 as a sub-team to the Architecture and Infrastructure Committee (AIC) and therefore, an element of the Federal CIO Council, at the request of the OMB Chief Architect

In January 2008, the Federal Segment Architecture Working Group (FSAWG) was formed as a sub-team of the Architecture and Infrastructure Committee (AIC) of the Federal CIO Council. The FSAWG consisted of federal agency chief architects tasked to leverage enterprise architecture (EA) best practices in order to publish a standard methodology for creating and using segment architectures.

The FSAWG developed the Federal Segment Architecture Methodology (FSAM), a step-by-step process for developing and using segment architecture that leverages existing “best practice” analysis techniques and easy-to-use templates to expedite architecture development. The FSAM includes guidance for development of segment architecture in the form of a repeatable “how-to” process for business-driven, results-oriented modernization planning.

Since the FSAWG was initiated, the team has made great headway. Some notable facts include...

- 13 Federal organizations, including 2 cross-agency initiatives participated
 - 13 people on core team
 - 34 people on sub-team
- 10 best practice presentations delivered
- 18 assessed best practices considered
- 78 analytical techniques cataloged
 - Including 232 templates / examples

Best Practices

- HUD - Segment Architecture Development Guidance / Work Product and Decision Templates
- DoD - DoDAF Version 2.0 (Draft)
- DOI - Methodology for Business Transformation (MBT)
- DOJ - Information Sharing Segment Architecture (ISSA)
- PM-ISE - Information Sharing Environment EA Framework
- PM-ISE - FEA Information Sharing Environment Profile
- DHS - Information Sharing Environment
- DOL - EA Quick Reference Guide
- DOL - IT Investment Management Quick Reference Guide
- DOL - STREAMLine Methodology
- Treasury - Segment Architecture Analysis Guide
- Treasury - Segment Architecture Process Guide
- Treasury - Segment Architecture Roadmap
- HRLOB - Segment Architecture Approach
- EPA - OSWER Segment Architecture Line-of-Sight: From Architecture through Implementation
- HHS - HHS Architecture Development Methodology (ADM)
- FEA - Security and Privacy Profile (v2) (Draft)
- FEA - Records Management Profile

The purpose of the FSAWG is to identify and leverage segment architecture artifacts, documents and methodologies within the federal government that exemplify “best practices.” The FSAWG provides a collaborative work environment for federal agencies in which to develop segment architecture guidance and training materials and establishes a single, repeatable, best-of-breed approach to the development and use of segment architecture as an element of an integrated, results-oriented EA practice.

The FSAWG team made a few observations on EA as a discipline ...

- No consensus on what constitutes a complete performance, business, technology, service and data architecture
- Segment Architecture is often focused on populating artifacts rather than synthesis of recommendations to deliver business value
- A lack of formal sharing of analytical techniques and best practices exists across the Federal government
- Varying levels of maturity exist across Agency EA programs
- No standard Federal-wide approach exists for defining segment architecture

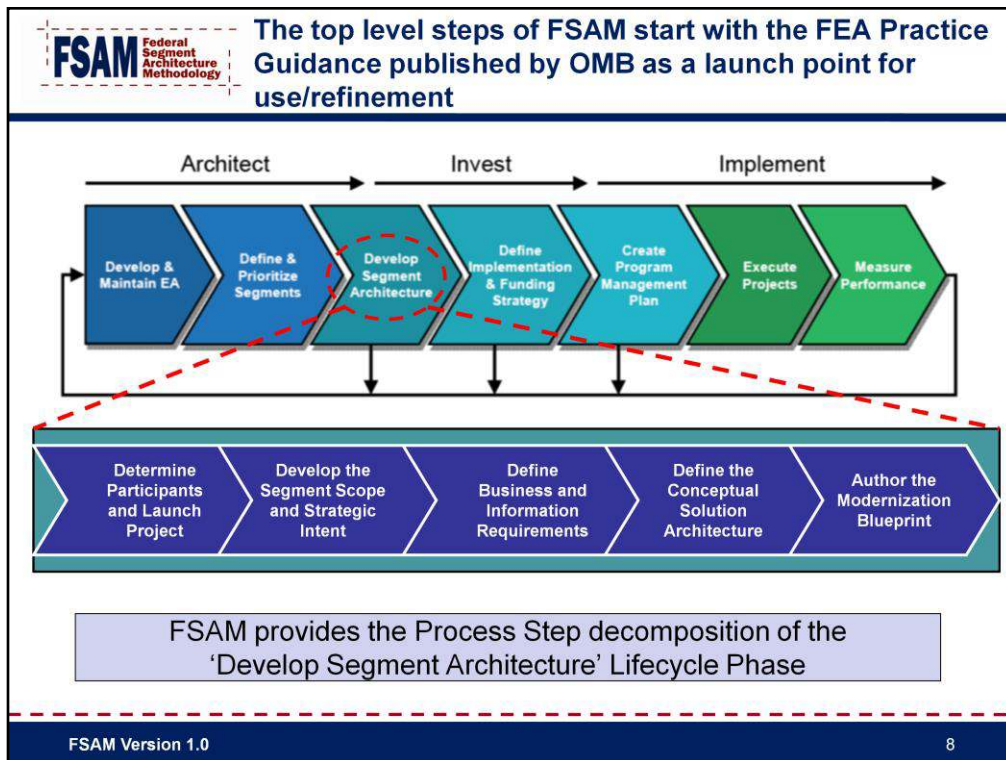
FSAM addresses these issues

Through a collaborative approach and sharing of best practices and lessons learned, FSAWG was able to identify and address key themes related to the overall state of the practice of federal enterprise architecture. In addressing these concerns, FSAM was developed to instantiate a common, repeatable process by which agencies can architect their segments.

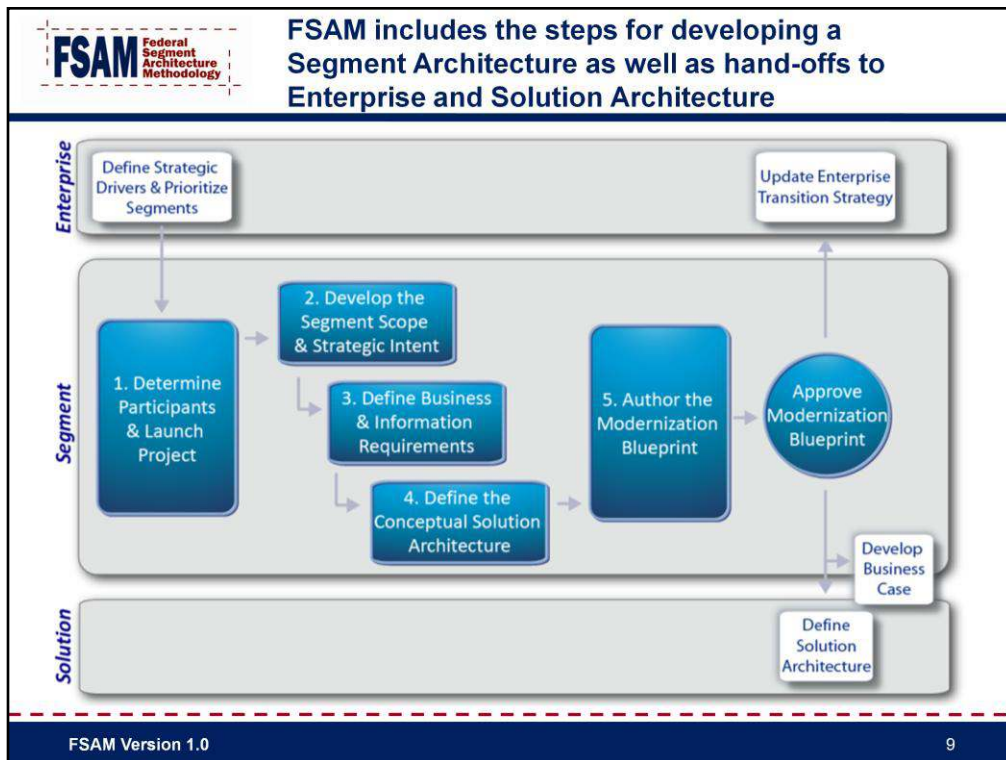
FSAM promotes a consistent approach to developing segment architecture

- Defines the core elements and attributes that are needed for defining a complete segment architecture.
- Includes process steps, activities and associated tasks to identify and validate the business need and the scope of the architecture to be defined.
- Includes the development of as-is, target and transition plans for the performance, business, data, services, and technology architecture layers.
- Provides an online toolkit containing analytical templates to support the architecture practitioner towards expediting their segment architectures.
- Provides case examples from participating agencies to relay real life examples highlighting specific facets of the methodology.
- Assures business integration between mission priorities and financial investments, particularly IT investments.

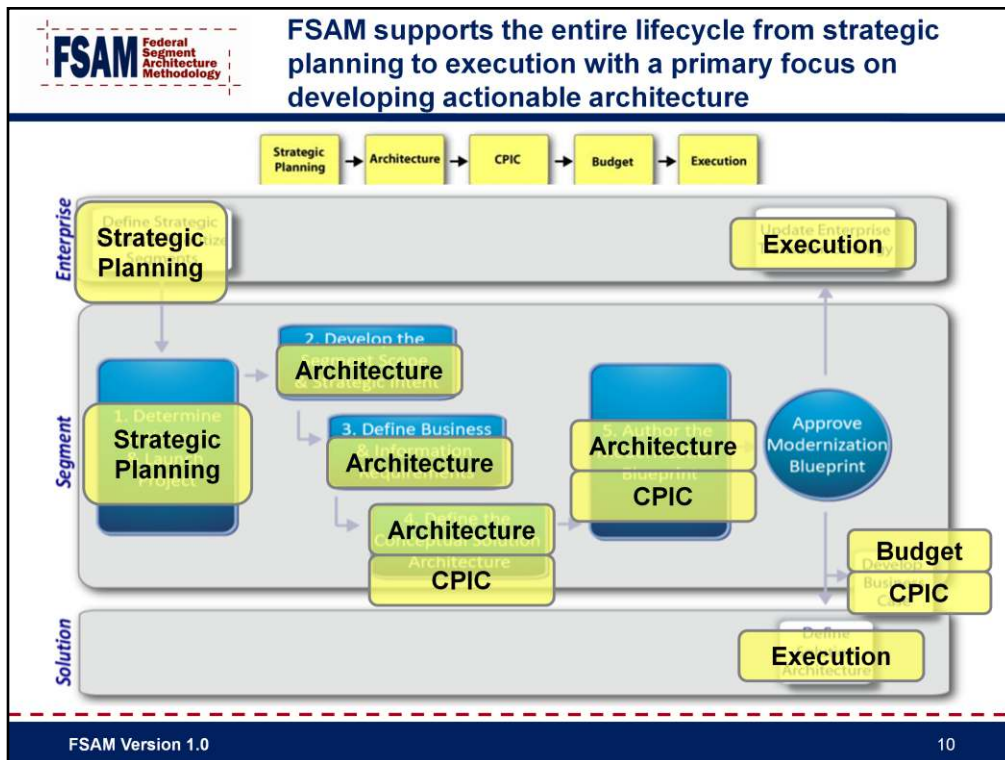
In addressing the identified concerns related to performing segment architecture, FSAM was designed to help architects develop the core elements and attributes that are needed for a complete segment architecture. FSAM incorporates best practices and provides a toolkit of proven analytical techniques. FSAM is also designed to provide information that supports EA and investment-reporting processes.



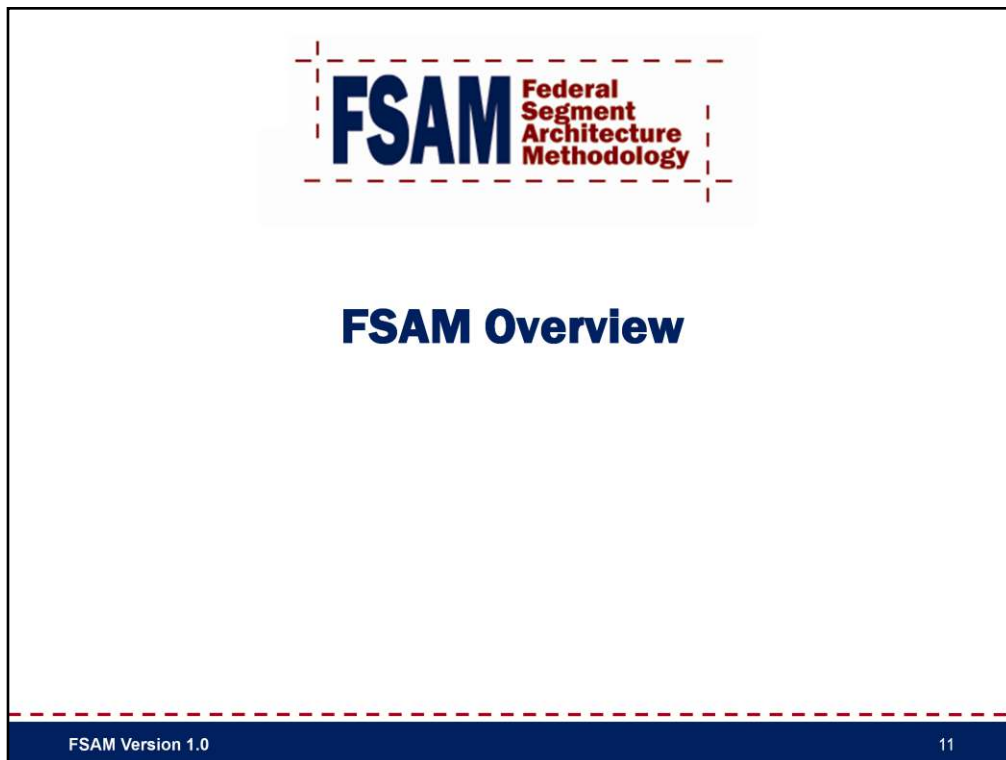
FSAM is consistent with the existing enterprise architecture performance improvement lifecycle and is designed to integrate with the existing Federal Enterprise Architecture Practice Guidance.



FSAM is designed to help architects develop the core elements and attributes that are needed for a complete segment architecture. The top level of the methodology consists of five key process steps that provide guidance on identifying and validating the business need and the scope of the architecture, defining the current (as-is) and target states for the segment, and developing transition plans for the performance, business, data, services, and technology architecture layers.

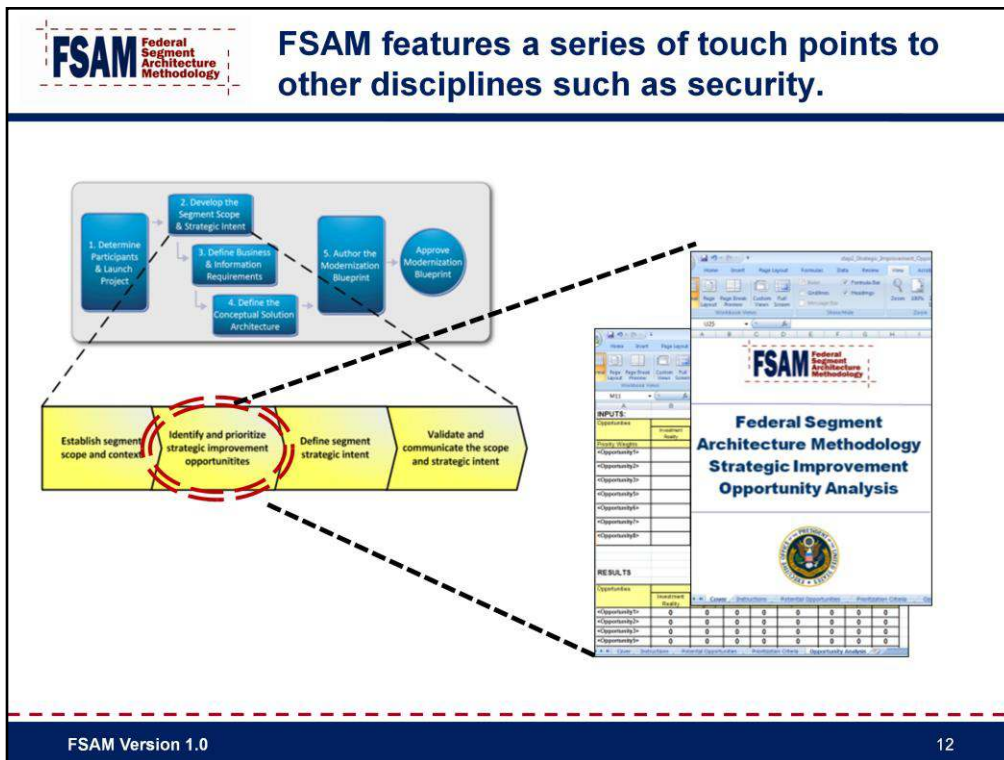


Execution of the FSAM process results in information that supports key management processes of strategic planning, segment architecture, capital planning and investment control, budget, and execution (i.e., solution architecture and enterprise transition execution milestones).



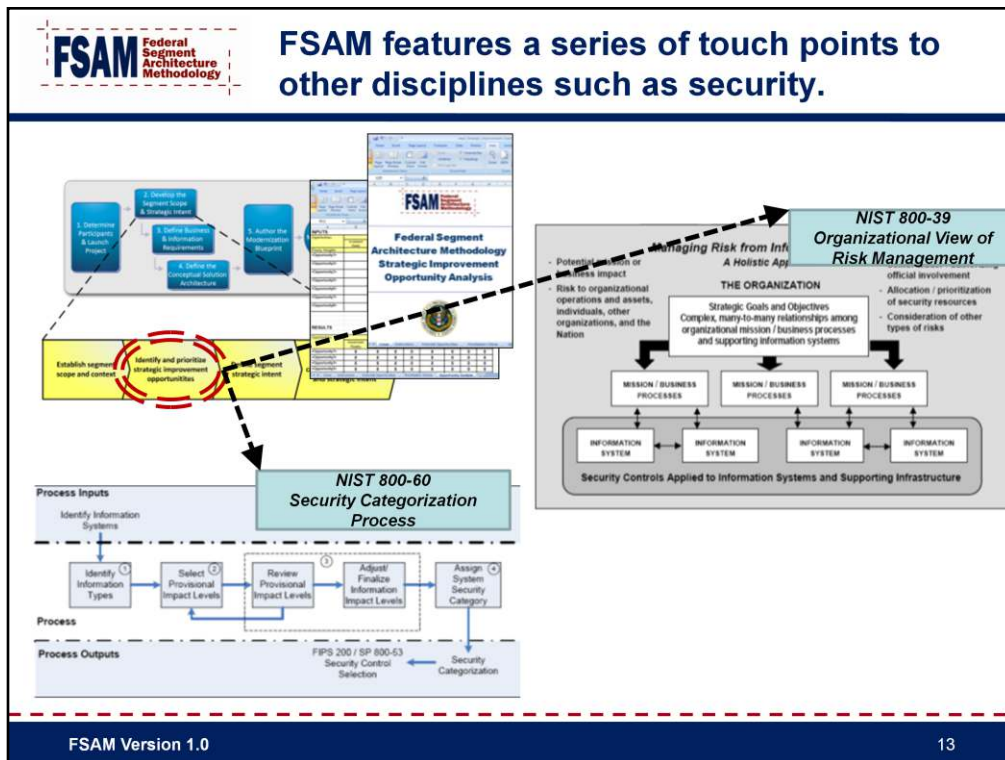
This section will provide an overview of FSAM and how it integrates with and supports other processes related to segment architecture. At the end of this section, you should be able to:

- Identify touch points in FSAM to the Practical Guide for Solution Oriented Architecture (PGFSOA), the National Institute of Standards and Technology (NIST) 800-39 Risk Management Framework, and the Federal Transition Framework (FTF)
- Recognize that FSAM provides information required to describe a complete segment using the enterprise architecture segment report (EASR) to be submitted to the Office of Management and Budget (OMB)
- Describe the overall hierarchical structure of FSAM documentation (i.e., steps, activities and tasks)
- Describe the difference between an FSAM “output” and “suggested analytical technique”
- Describe the difference between a core and non-core FSAM output.

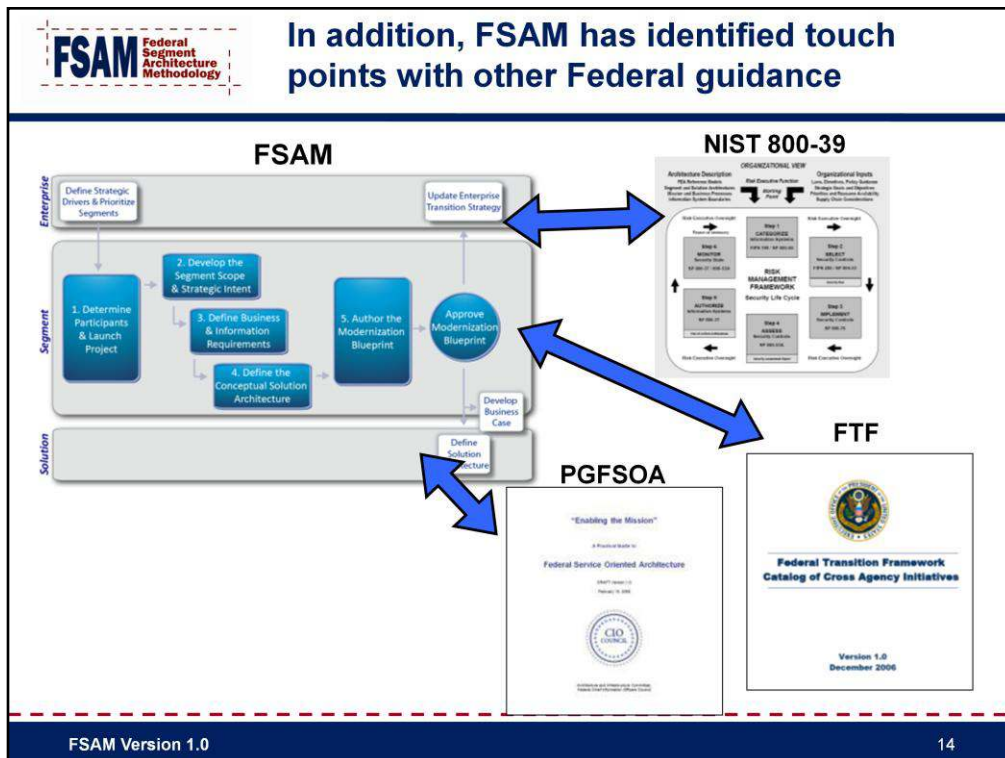


FSAM includes touch points and references to other key disciplines. It highlights key touch points with key documents, including NIST 800-39, the Federal Transition Framework (FTF), and PGFSOA, as well as any associated FEA Profiles. The at-a-glance table also has links to key considerations for enterprise and business service segments and an indication of the overall level of complexity of each activity.

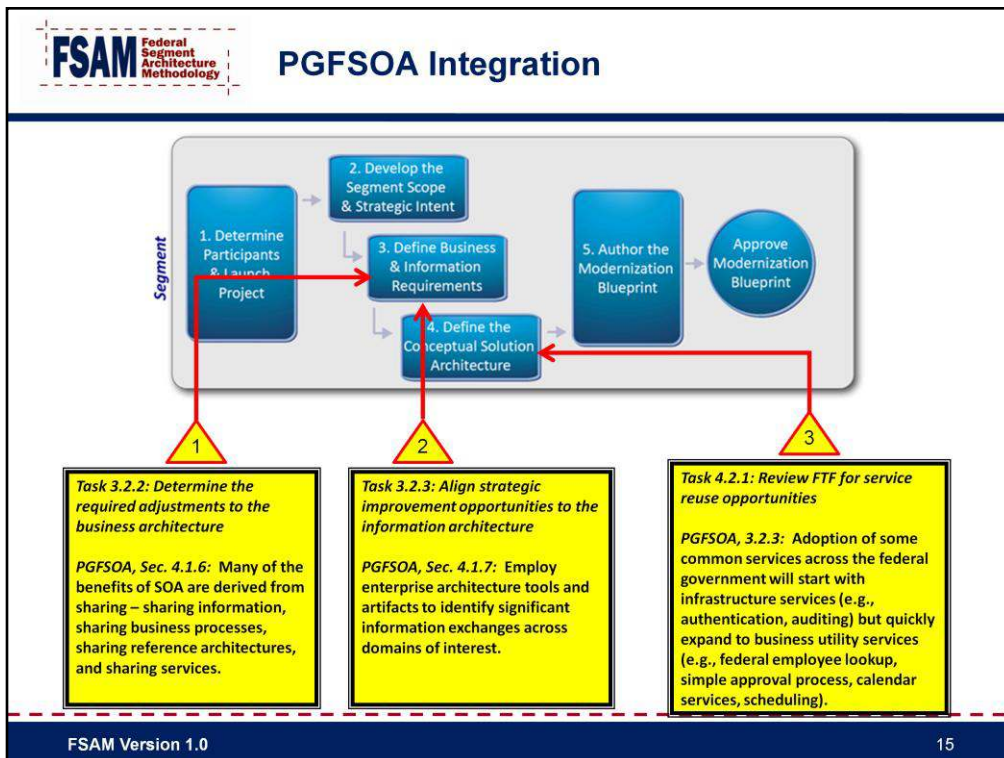
For example, in Activity 2.2, *Identify and prioritize strategic improvement opportunities*, potential high-level risks and impacts associated with the segment scope and context are considered. Security and privacy risks may be identified here that are not adequately addressed in the as-is environment.



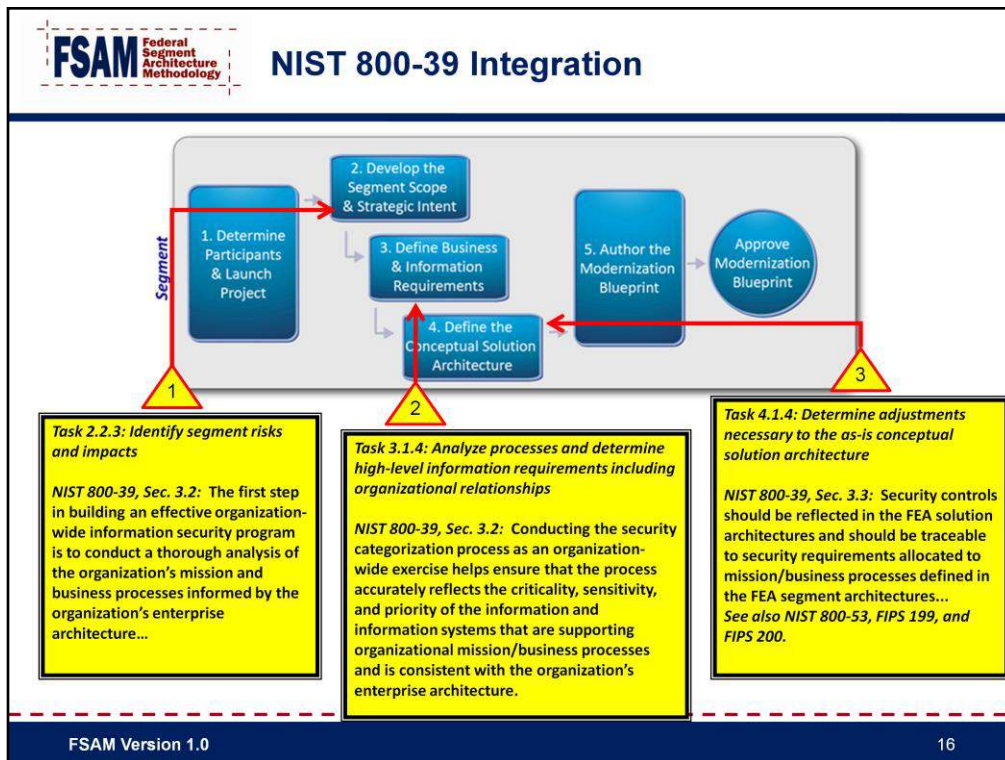
Segment architects can leverage the latest version of the Security and Privacy Profile and NIST 800-39, Managing Risk from Information Systems, to facilitate discussions to ensure adequate security controls are identified up front for addressing confidentiality, integrity and availability of key business functions. Architects can also leverage NIST 800-60 to help identify the security categorization associated with the information needs of the segment.



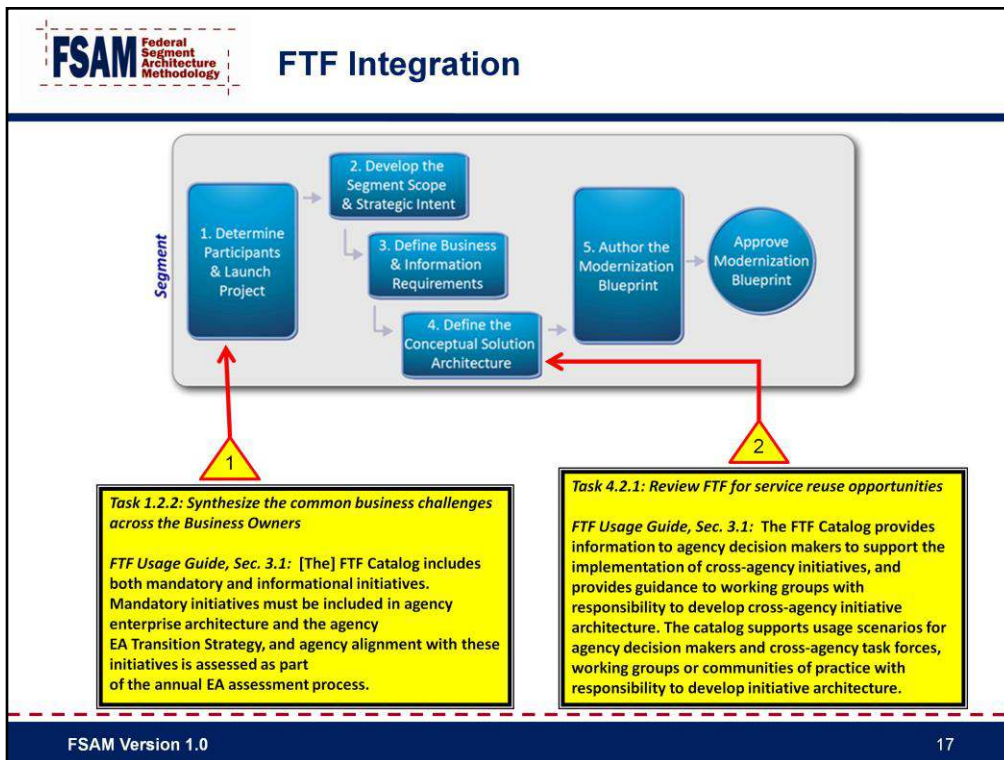
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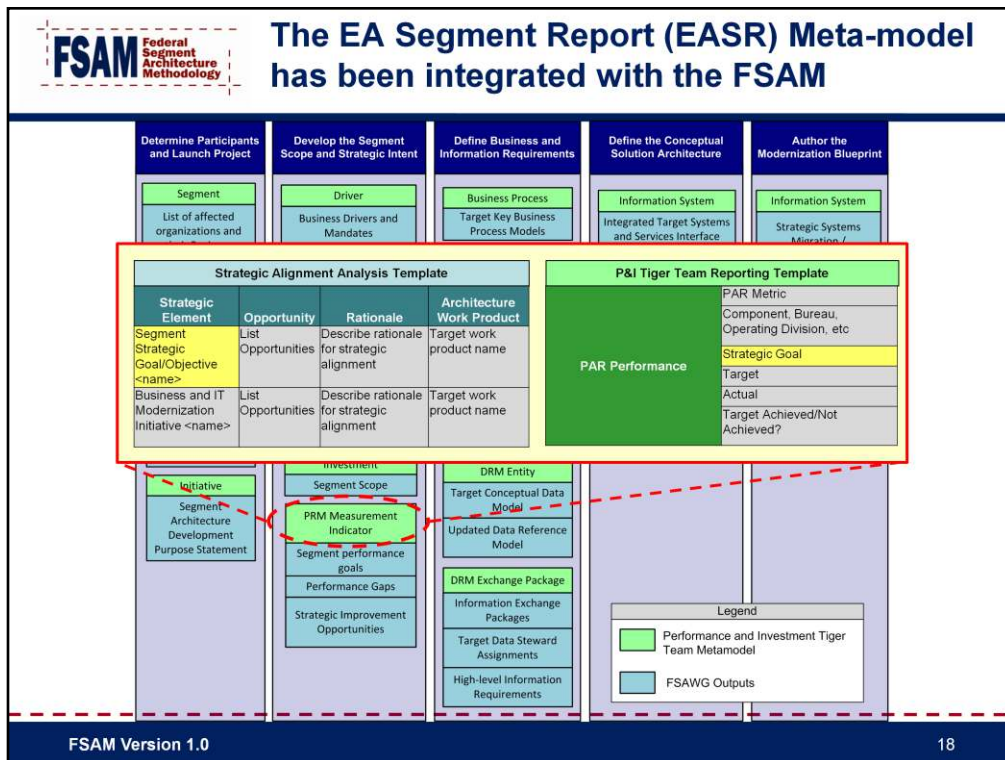
PGFSOA has touch points associated with determining the strategies for service delivery and automation of information exchanges in the target state. FSAM emphasizes the goal of adopting common services across the federal government.



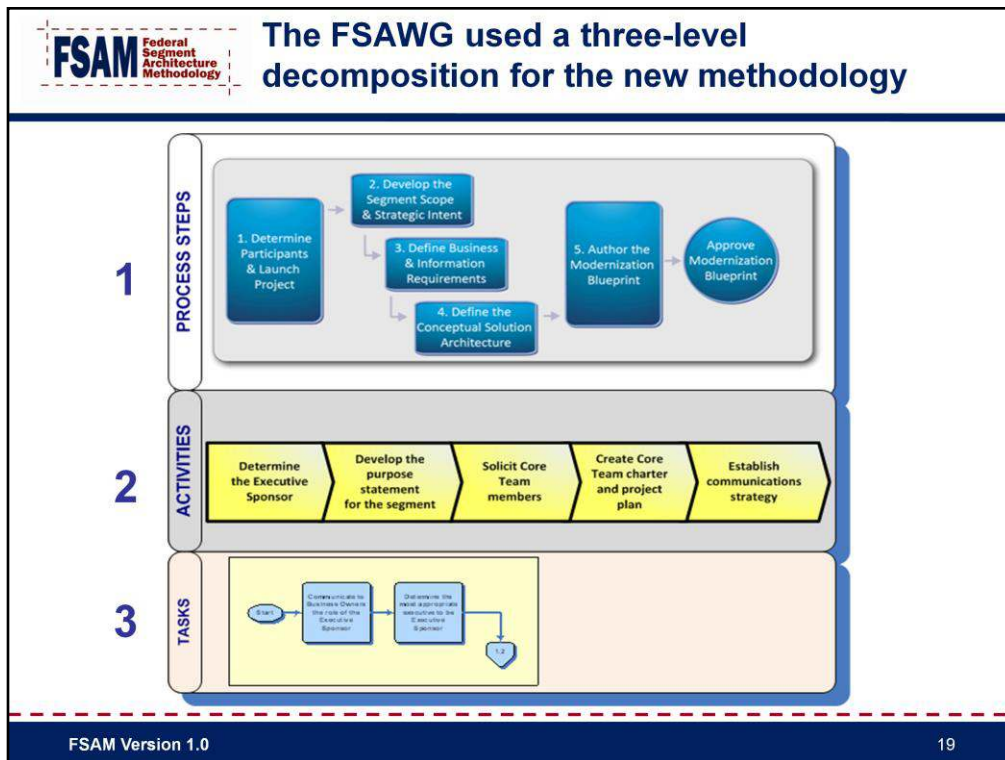
FSAM integrates with the Risk Management Framework of NIST 800-39 throughout Steps 2, 3, and 4. This begins with the identification of opportunities for reducing risk in Step 2, followed by the identification of security controls associated with business processes, information requirements, and organizational boundaries. Step 4 includes the development of specific recommendations associated with deploying appropriate security controls across the segment services and systems.



FSAM also integrates with FTF both in the identification of mandatory FTF solution requirements (e.g. HSPD-12) and the selection of service components and solutions as part of defining the conceptual solution architecture in Step 4.

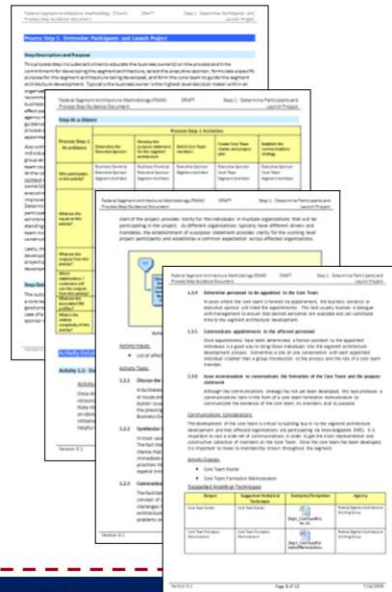


In developing segment architectures using the FSAM process, agencies will have also produce all of the information as required in order to populate the enterprise architecture segment report (EASR) for a completed segment.



The FSAM is structured with three levels of decomposition that describe the process steps in terms of more detailed activities and tasks. The process steps, activities, and tasks are presented in an online toolkit containing guidance documents as well as analytical templates designed to expedite the development of segment architectures.

Each process step is detailed in a step guidance document



- Step Description and Purpose
- Step Outcome
- Step At-A-Glance
- Activity Details
- Activity Short Description
- Activity Flow Chart with Tasks
- Activity Inputs
- Tasks
- Communication Considerations
- Activity Outputs
- Suggested Analytical Techniques (with examples and templates)

FSAM includes:

- 5 steps
- 21 activities
- 77 tasks
- 54 analytical techniques

FSAM analytical techniques include best practices from more than eight organizations are represented, including newly defined FSAWG templates

Analytical techniques have been included in the FSAM with templates from Agency best practices

Each suggested analytical technique table includes:

- Output name
- Core – (Y,N) Outputs that support population of Segment Architecture Template in EAAF Ver. 3.0.
- Associated FEA Layers
- Name of suggested analytical technique
- Link to the template/example
- Contributing Agency

Federal Segment Architecture Working Group
(FSAM) Methodology Process Step Guidance
Document

DSARF

Step 4: Define the conceptual service
component and technology architecture

relationship between the service component and technology model illustrates the mapping of service reference model (SRM) components to their supporting technical components as identified in the technical reference model (TRM).

Communications Considerations:

Review and validate activity plans and resource requirements with governance bodies and key stakeholders. Establish a work group to verify:

- Architectural drivers
- Segment-specific service component requirements
- Technical, operational, interoperability, and service delivery requirements
- Integrated target systems and services diagram

Review and validate activity results with governance bodies and key stakeholders.

Activity Outputs:

- Target conceptual solution architecture
- Integrated target systems and services diagram
- Service component model (SCM)
- Technology model (TM)

Suggested Analytical Techniques

Output	Core	FEA Layers	Suggested Analytical Technique	Examples/Templates	Agency			
		P	S	D	S	T		
Target system and service interface diagram	Yes						Target system interface diagram JCS-0-386-0000 JCS-0-386-0000 (1st Release)	Department of Defense
Target service architecture	Yes						Service component model (SCM) JCS-0-386-0000 JCS-0-386-0000 (1st Release)	Office of Personnel Management Department of Homeland Security Department of Justice
Target technology architecture	Yes						Technology model JCS-0-386-0000 JCS-0-386-0000 (1st Release)	Office of Personnel Management Department of Homeland Security Department of Justice
Integrated service component and technology model	Yes						Integrated service component and technology model JCS-0-386-0000 JCS-0-386-0000 (1st Release)	Office of Personnel Management Department of Homeland Security Department of Justice

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Suggested analytical techniques are provided corresponding to each activity in this process step. These techniques are included in a table describing the FSAM output(s) produced during each activity. Certain FSAM outputs are classified as 'core' to identify the architectural information necessary in order to specify a complete segment architecture.

For each FSAM output, the table also includes examples of analytical techniques associated with the output(s). These analytical techniques provide descriptive (not prescriptive) guidance on how to perform the analysis and capture the architectural information for each output. Agencies may employ other templates or artifacts that provide the equivalent level of information and analysis.



Walkthrough of FSAM Steps 1-5

In the following sections you will be introduced to the five steps of FSAM, including the high-level step purpose and outcomes, the activities and tasks within each step, and the associated outputs and suggested analytical techniques.



Step 1

Determine Participants and Launch the Project

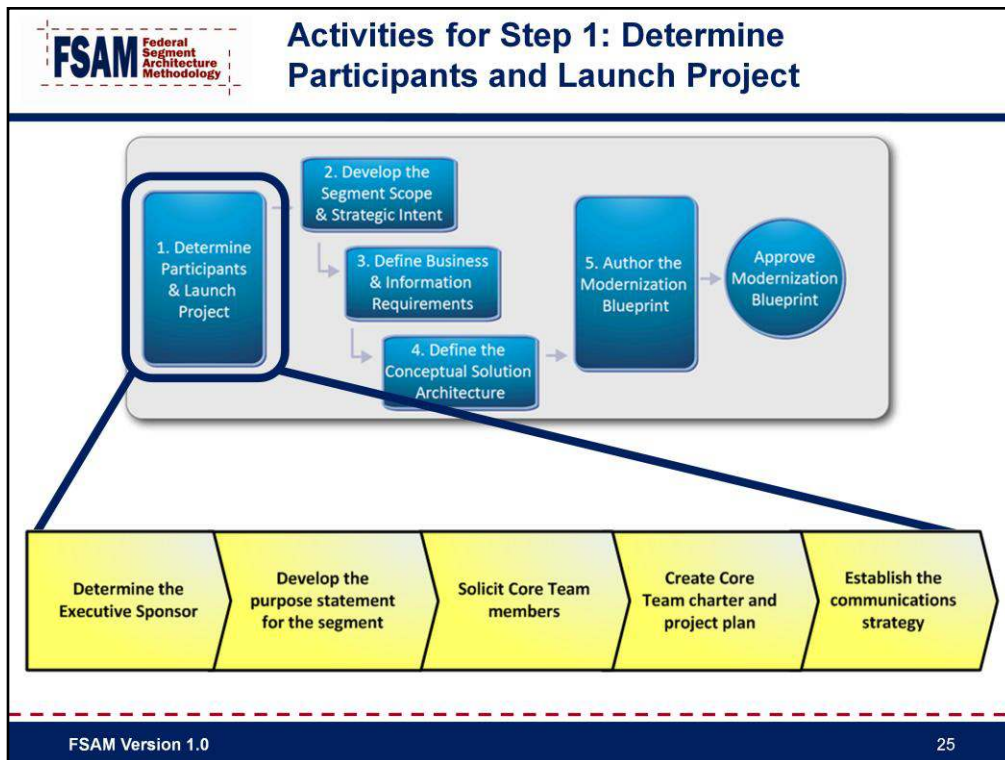
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The architect leverages the guidance in this process step to engage with key stakeholders to establish the segment governance framework, validate the business owner(s) for the segment, formally appoint an executive sponsor and a core team, and establish the purpose statement to guide the architecture development. This process step also includes guidance for introducing a solid project management foundation for the segment architecture development effort with the creation of a project plan and communications strategy.

At the end of this section, you should be able to:

- Describe the outcome of this step.
- Identify the activities and tasks associated with this step.
- Identify the core outputs of this step along with the other recommended “non-core” outputs
- Describe the importance of establishing the governance framework that supports the development of the segment architecture.
- Describe the importance of the role of the business owner, executive sponsor, and core team.
- Identify the FSAM outputs that help define the overall purpose for developing this segment architecture.
- Identify the FSAM outputs that support overall project management associated with the development of the segment architecture.



Step Purpose:

The overall purpose of this step is to establish the segment governance framework, validate the business owner(s), formally appoint an executive sponsor and a core team, establish the purpose statement to guide the architecture development, and to establish a good project management foundation.

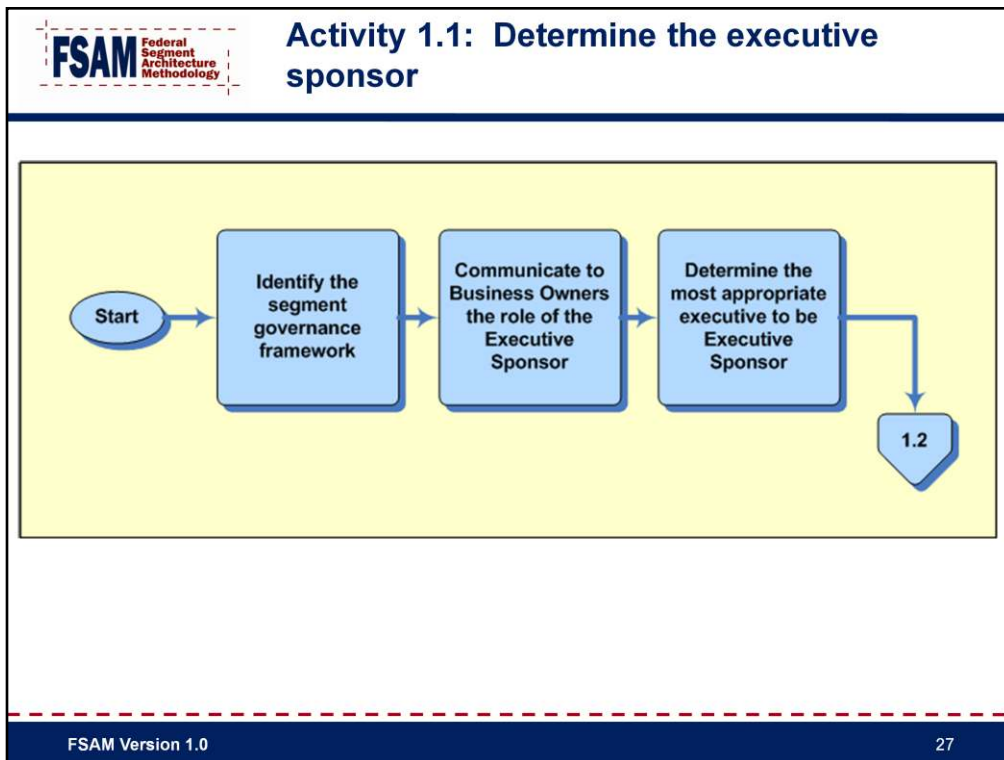
Outcome

- An executive sponsor is selected to be just that – an executive who is willing to sponsor and champion the concept of transformation within the segment.
- A business owner is typically a senior agency official with executive decision making authority within the segment.
- Note: In the case of a mission-critical segment, that only affects one organization, the business owner and executive sponsor will likely be the same individual.
- The core team typically consists of program manager level personnel who are subject matter experts in the segment, and possibly key segment stakeholders.
- Along with the establishment of the core team charter and project plan, the communication strategy is developed to chart out the engagement of key stakeholders and governance bodies throughout the segment architecture development effort.

Key Questions Being Answered by Step 1: Determine Participants and Launch Project

- What is the governance framework for the development of the segment architecture?
- Does the business owner(s) understand the process and time commitment for developing the segment architecture?
- Who is the executive sponsor?
- Who is on the core team? Are these the right people?
- What is the specific purpose for developing this segment architecture?
- Is the charter approved to develop the segment architecture in the context of the purpose statement crafted by the business owner(s)?
- Is there a project plan and communications strategy for the development of the segment architecture?

At the conclusion of Step 1, the core team should have answers to these questions as they relate to their segment.

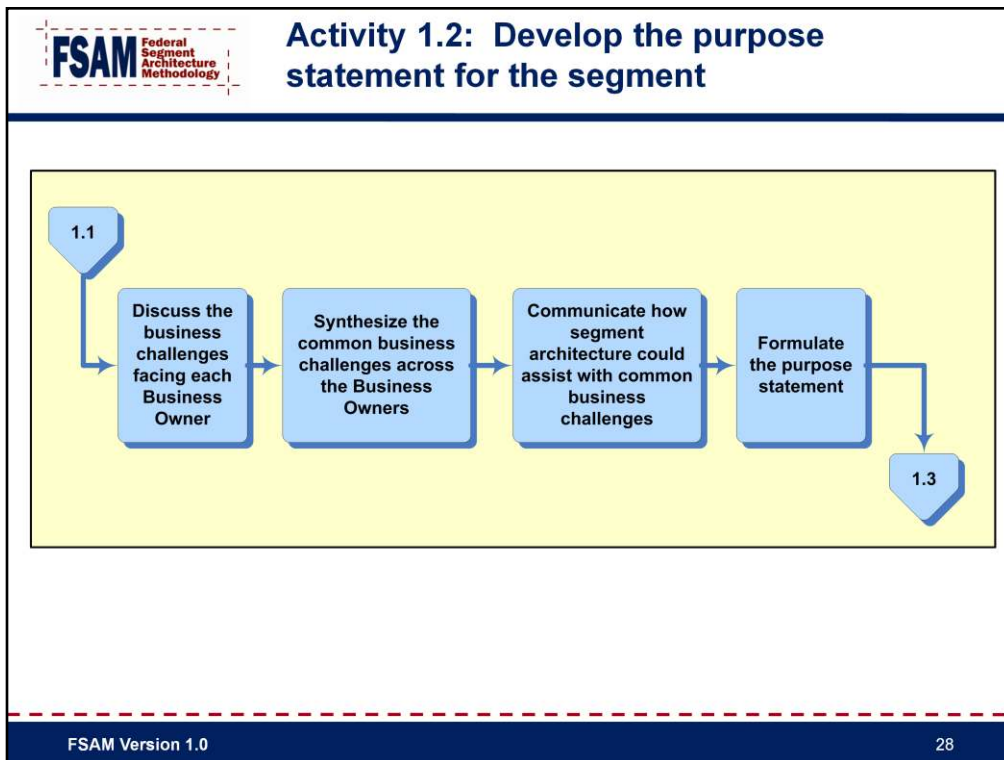


This activity begins with an overall definition of the segment governance structure. In particular, it is critical to identify up-front a comprehensive governance framework for creating and sustaining the segment architecture when developing segment architectures that span multiple agencies. This also leads to the definition of the business owner(s) for the segment who must understand the planning and resource commitments associated with developing the segment architecture. A business owner is typically a senior agency official with executive decision making authority within the segment.

Once the business owner(s) have a high level understanding of the planning concept and resource commitments, then they are ready to discuss the selection of an executive sponsor. Note that in many cases, the executive sponsor and business owner may be the same individual or an obvious choice rendering the tasks within this activity irrelevant. However, in cross-agency initiatives, there may be several business owners involved from several organizations and it is helpful to designate an executive sponsor.

An executive sponsor should be just that – an executive who is willing to sponsor and champion the concept of transformation within the segment. The executive sponsor will be a visionary leader for the core team and will play a key decision making role in determining the direction and scope of the segment architecture findings and recommendations. The executive sponsor is in a decision-making role and should therefore be a senior official with the authority to make decisions within the segment.

During this activity, the business owner(s) should also be educated on the segment architecture process. This education can include formally meeting with the business owner(s) of the segment to communicate how their resources will be used in developing the segment architecture. This education can be used to set expectations up front so that the appropriate executive sponsor and core team can be selected.

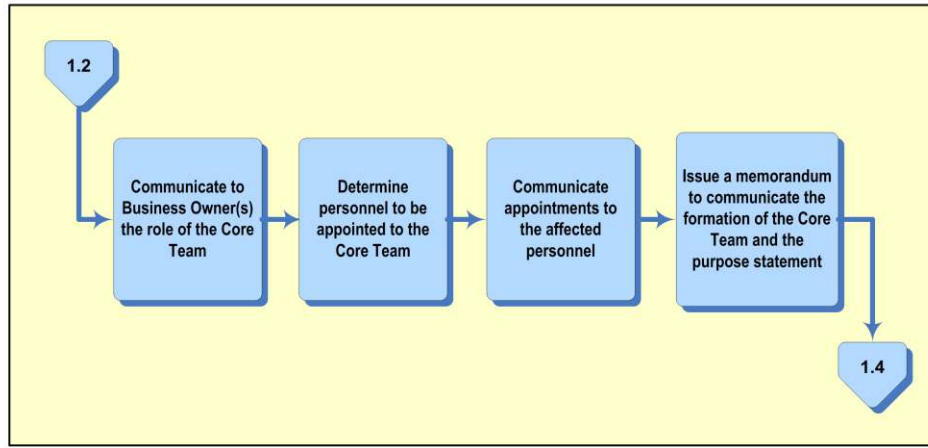


It is critical that the business owner(s) and the executive sponsor formulate their intent for the segment architecture development. This segment architecture intent, or purpose statement, serves to communicate to the core team the reason why the segment architecture is being created. For example, the purpose statement could be higher citizen satisfaction, lower costs, more efficient operations, addressing a GAO audit, and/or introducing a new service to citizens.

In some cases, the purpose statement can be a high-level statement of principles. In other cases, the purpose statement might be a more detailed listing of objectives and expected areas to consider. This is the opportunity to establish why this segment architecture is important and what its implementation should accomplish.

The purpose statement is particularly important for segments that span multiple organizations and have multiple business owner(s). In these instances, a purpose statement established at the start of the project provides clarity for the individuals in multiple organizations that will be participating in the project. As different organizations typically have different motivators and mandates, the establishment of a purpose statement provides clarity for the working-level project participants and establishes a common expectation across affected organizations.

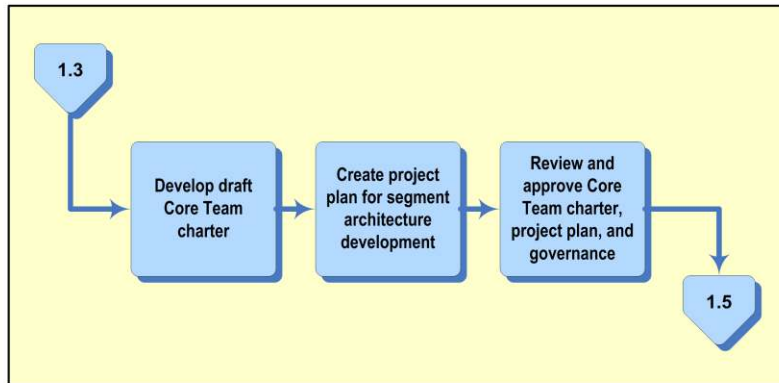
Activity 1.3: Solicit core team members



The core team is a critical entity throughout the segment architecture development process. Without a knowledgeable, enthusiastic and constructive core team, the segment architecture might not be valid, relevant or implementable. This activity involves the executive sponsor recruiting the best and brightest subject matter experts from the affected organizations. All affected organizations need a seat at the table and that seat needs to be filled by an individual who will embrace the purpose statement and respond positively to other core team members.

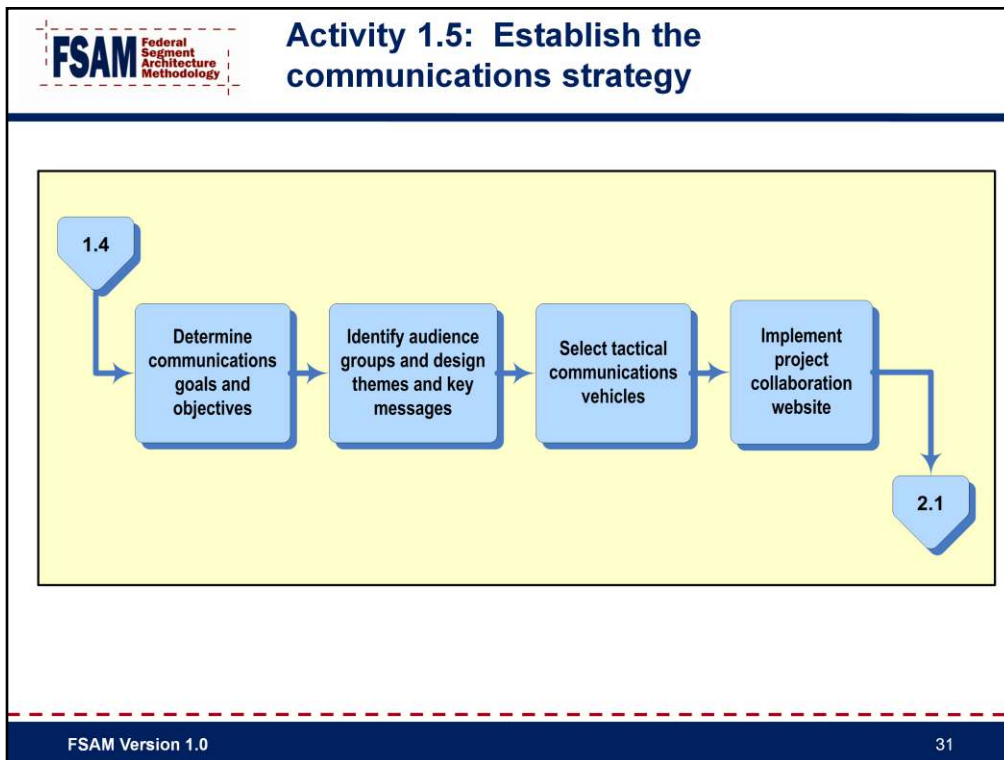
Note that the core team membership is critical to the success of the project. The core team typically consists of program manager level personnel who are subject matter experts in the segment, and possibly key segment stakeholders. Core team members should be constructive, able to think outside of a single organizational context, good communicators, visionary, and excited about change. It is important to note that the core team may decide to invite other subject matter experts for advice, as needed, to supplement their knowledgebase as they move through the segment architecture development process. The important element of the core team is that it is a highly functional team that has the knowledge and vision to develop an actionable segment architecture.

Activity 1.4: Create core team charter and project plan



The segment architecture development should include the use of project management techniques just like any other project. The core team needs to establish a charter to support the development of the segment architecture. The core team charter establishes the legitimacy of the project, the role of its players, operational ground rules, decision-making structure, preliminary scope, and stated goals and objectives.

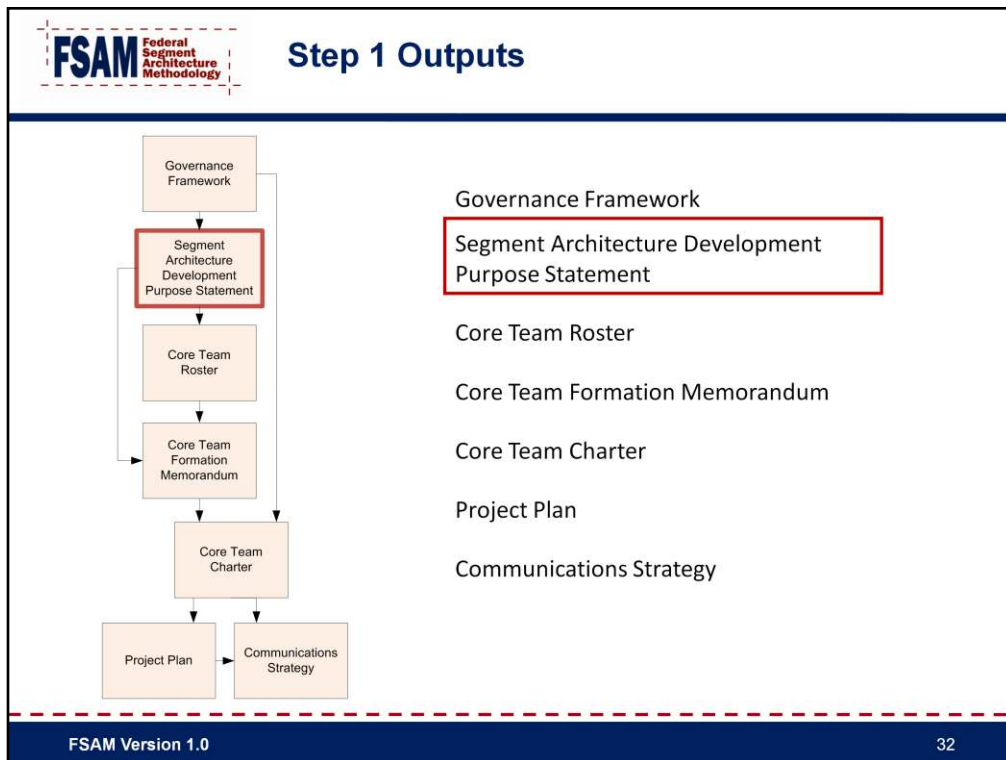
In addition to the charter, the segment architecture development should be guided by a project plan. The project plan will guide the process and ensure timely delivery of the segment architecture. The FSAM process steps, activities, tasks and outputs are major contributors to the structure and sequencing of the project plan.



Successful communication requires the development of a communication strategy. The communication strategy should identify relevant stakeholders in the context of the purpose statement and the core team’s knowledge of the affected organizations. The communication strategy includes the necessary value-based messages for the respective types of stakeholders.

For effective communications and collaboration, the core team should establish a web site to facilitate barrier-free information dissemination. The communication strategy should address the necessary targeting (stakeholder, timing and delivery means) of the value messages that are important throughout the project. This targeting should be orchestrated with existing organizational and informational channels, behaviors, calendars and events to optimize reach and usefulness.

Examples of key organizational events would be workshops, collaborative forums, communities of practice or interest (COP, COI), and the annual budget and CPIC cycles. The communication plan should identify the optimal formats and delivery channels (email, brochure, presentations, and web) to sustain effective communications.



This graphic displays all of the outputs for Step 1. Each output is linked to a suggested analytical template. Outputs with red circles are “core” and the others are “recommended”.

Suggested analytical techniques are included for activities within the methodology to better define what is core for a complete segment architecture in the form of descriptive (not prescriptive) guidance on how to accomplish the analysis. The suggested analytical techniques provide guidance as to what outputs are core to defining a complete segment architecture.

Governance Framework

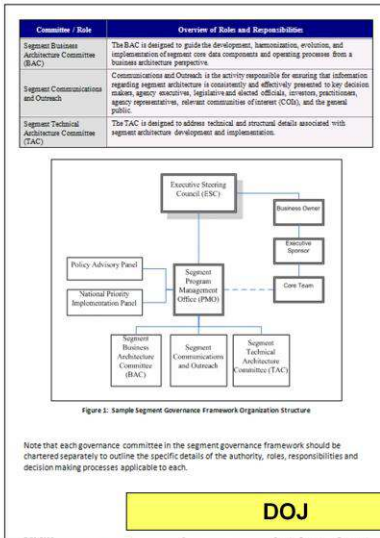
Sample Governance Framework

[This is an example of a segment governance framework document. This is not a governance framework that is required to be adopted by every segment. This is meant to provide an example of what a segment governance framework might be comprised of.]

The purpose of the governance framework is to outline the governing bodies and their decision-making authority in terms of segment architecture activities related to how to deliver the segment mission services, manage business and information requirements, manage technical and services standards, and measure and manage overall mission performance. The framework provides a formalized definition of decision-making roles and responsibilities to facilitate cross-agency governance of segment architecture efforts, issues, etc.


Committee / Role	Overview of Role and Responsibilities
Executive Steering Council (ESC)	It is designed to provide executive leadership, vision, direction, and fundamental support for the program that is responsible for the delivery of the overall segment mission. The ESC sets policy and strategy, secures funding, approves key personnel to the PMO, approves the PMO's, and makes other decisions as required. The ESC approves the segment at milestones of governance and among key contractors and appoints the Segment Executive Sponsor.
Business Owner	Senior executive-level, official with decision-making authority within an organization for the segment under development.
Executive Sponsor	The executive sponsor should therefore be a senior official with the authority to make decisions within the segment. The executive sponsor serves as champion for the mission of transformation within the segment and acts as a key visionary leader for the core team. Along with the core team, the executive sponsor is responsible for determining the direction and scope of the segment architecture findings and recommendations.
Core Team	This core team is a working level body of individuals, typically at the program manager level within the segment. This core team is an ongoing group as these subject matter experts will guide the development of the segment architecture. This core team may be extended to include other key stakeholders and IT personnel (e.g., security).
Policy Advisory Panel	It is designed to identify policy issues of concern to the segment stakeholders; consensus, analyze them, resolve them when appropriate and provide policy recommendations and advice to segment leadership in order to facilitate resolution of issues that require decisions by higher authority.
Segment Program Management Office (PMO)	The PMO is the operational arm for program activities that are responsible for delivery of the overall segment mission. The PMO is responsible for executing the vision defined by the ESC, managing planning to support the program, and day-to-day management and operations.
National Priority Implementation Panel	The National Priority Implementation Panel is charged with facilitating the development and deployment of high-priority mission critical elements of the segment target state vision that are required to address key national priorities. For example, the Department of Justice maintained a National Priority Exchange Panel to facilitate and expedite the development and nation-wide deployment of high-priority information exchange.

7/29/2008 1 Step 1: Governance Framework



Governance Framework

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- The framework provides a formalized definition of decision-making roles and responsibilities to facilitate cross-agency governance of segment architecture efforts, issues, etc.



Segment Architecture Development Purpose Statement – [Core]

Segment Architecture Development Purpose Statement

Segment Architecture Development Purpose Statement
 Date: [xxxx/xx/xx]
 Segment: [Segment Name]

Purpose Statement	The [Segment Name] includes functions and resources within [Affected Organizations]. The leadership from these organizations has determined that a Modernization Blueprint is appropriate for this part of the mission. The intent of this Modernization Blueprint is to bring similarly focused organizations together to formulate a vision and roadmap for the future business environment and the appropriate required resources. This Modernization Blueprint is being conducted in order to improve our services for citizens and increase efficiencies within our organizations.
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Signature: _____	Executive Sponsor	Date: _____
Signature: _____	Organization Business Owner	Date: _____
Signature: _____	Organization Business Owner	Date: _____
Signature: _____	Organization Business Owner	Date: _____

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Step 1: Segment Architecture Development Purpose Statement

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Segment Purpose Statement (Core)

- The purpose statement is used to formulate a reason for creating the segment architecture so that the core team and executive sponsor have a clear understanding of what is expected in terms of high-level performance improvements
- In some cases, the purpose statement can be a high-level statement of principles. In other cases, the purpose statement might be a more detailed listing of objectives and expected areas to consider.
- This is an opportunity to establish why this segment architecture is important and what its implementation should accomplish.

Core Team Formation Memorandum

Core Team Formation Memorandum

MEMORANDUM

To: [Leadership]

From: [Core Team Executive Sponsor]

Subject: Formation of the [enter segment] Modernization Blueprint Core Team

The [Executive Governance Board] has commissioned the study of [enter segment] with the intent that a Modernization Blueprint will be developed and implemented. The process of developing and implementing the Modernization Blueprint will be guided by a Core Team of individuals that have the responsibility and authority to represent their organizations in this process. As the Executive Sponsor for this initiative, I am authoring this memorandum to communicate the intent of this initiative and to identify the membership of this important Core Team.

The development of a Modernization Blueprint is a significant endeavor for any portion of our mission. The Modernization Blueprint will include the analysis of products and services, business processes, resource needs, and technology assets. The Modernization Blueprint will ultimately conclude with a set of recommendations that have been formed and validated by the Core Team. The specific statement of purpose for this Modernization Blueprint is:

[Enter Segment Architecture Development Purpose Statement]

The process of developing the Modernization Blueprint will be guided by the Core Team with the assistance of the Enterprise Architecture Program. The Core Team will have total control of the content and direction of the recommendations that are put forth. This will involve a significant amount of work on a weekly basis over the coming months. The Core Team for this Modernization Blueprint consists of:

Member	Organization	Designation
Name	Org	Executive Sponsor
Name	Org	Lead
Name	Org	Member
Name	Org	Member

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Core Team Formation Memorandum

The core team formation memorandum is used to communicate the existence of the core team, its members, and its purpose.

FSAM provides additional project management tools to support segment architecture development

Communication Strategy

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Core Team Charter

**Core [Segment Name] Modernization Blueprint /
Implementation Team**

Project Charter
[Sum]

Sponsoring Program:

Program Name:
[Name of the program]

Organizational Context:
[Describe the Segment/Architecture sponsor, the participants, and the external interfaces (organizations that need to be coordinated with)]

Program Purpose:
[Describe the purpose of the program]

Alignment with [Agency Name] Mission:
[Describe how the program aligns with the agency mission]

Project Overview:

Goal:
[Describe the goal of the project]

FSAM Segment Architecture Development Project Schedule



Core Team Roster

[illegible]**FSAWG**

Project Plan (non core)

- The project plan will guide the process and ensure timely delivery of the segment architecture.
- The FSAM methodology provides a project plan template that is pre-populated with the steps, activities, and tasks within the methodology.
- This project plan should be tailored to the particular segment architecture effort and should be maintained throughout the development of the segment architecture.



Step 2

Define the Segment Scope and Strategic Intent

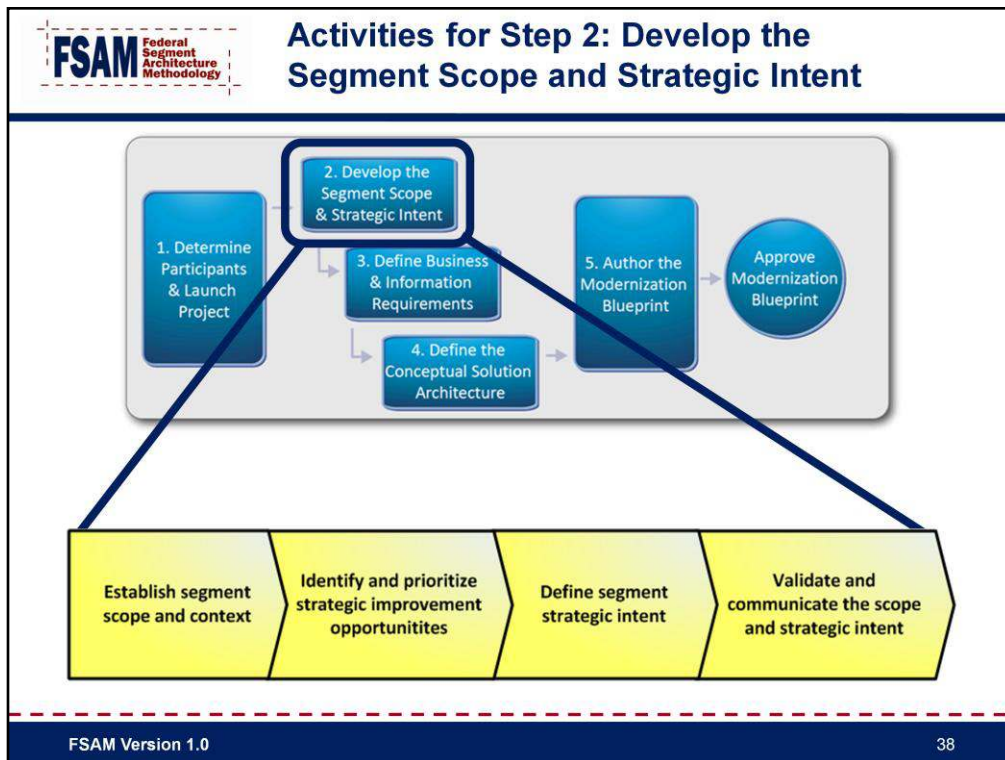
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The architect leverages the guidance in this process step to engage with key stakeholders to produce a segment scope and to define the strategic improvement opportunities for the segment. The architect then defines the segment *strategic intent* which consists of the target state vision, performance goals, and common / mission services and their target maturity levels. The subsequent FSAM process steps provide guidance for architects to align the architecture with the strategic intent to create a complete segment performance line-of-sight and to support achieving the target state vision.

At the end of this section, you should be able to:

- Describe the outcome of this step.
- Identify the activities and tasks associated with this step.
- Identify the core outputs of this step along with the other recommended “non-core” outputs
- Describe what is meant by “strategic intent” of the segment
- Describe the importance of identifying segment stakeholders and their needs
- Describe an FSAM suggested analytical technique that helps elicit performance opportunities (e.g., SWOT)
- Describe the importance of defining the scope of the segment architecture
- Identify the FSAM outputs and associated analytical techniques that help develop the target state vision for the segment and the performance architecture for achieving the target state vision



Step Purpose:

The overall purpose of this step is to define the segment scope and strategic intent, which includes the performance architecture through which achievement of strategic improvement opportunities will be measured.

Step Outcome:

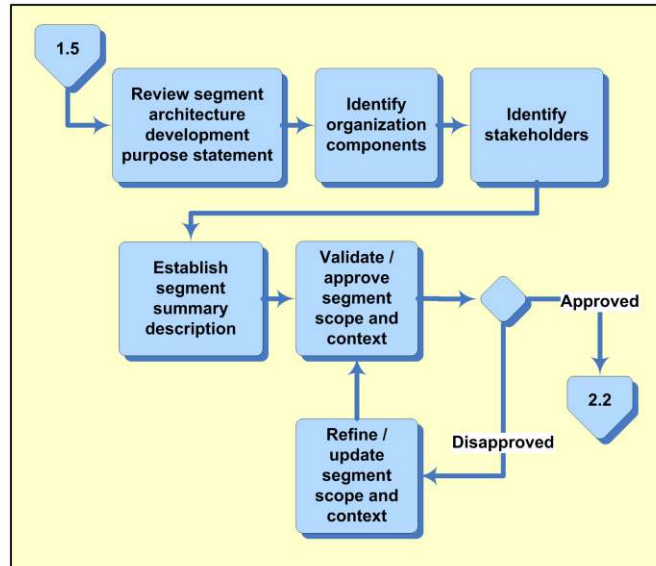
- This step will produce a segment scope and prioritized strategic improvement opportunities based upon the needs of the business.
- The strategic intent, which consists of the target state vision, performance goals, and common / mission services target maturity levels, is also established.
- The subsequent process steps in this methodology will ultimately align to provide a complete segment performance line-of-sight and support the achievement of the segment target state vision.

Key Questions Being Answered by Step 2: Develop the Segment Scope and Strategic Intent

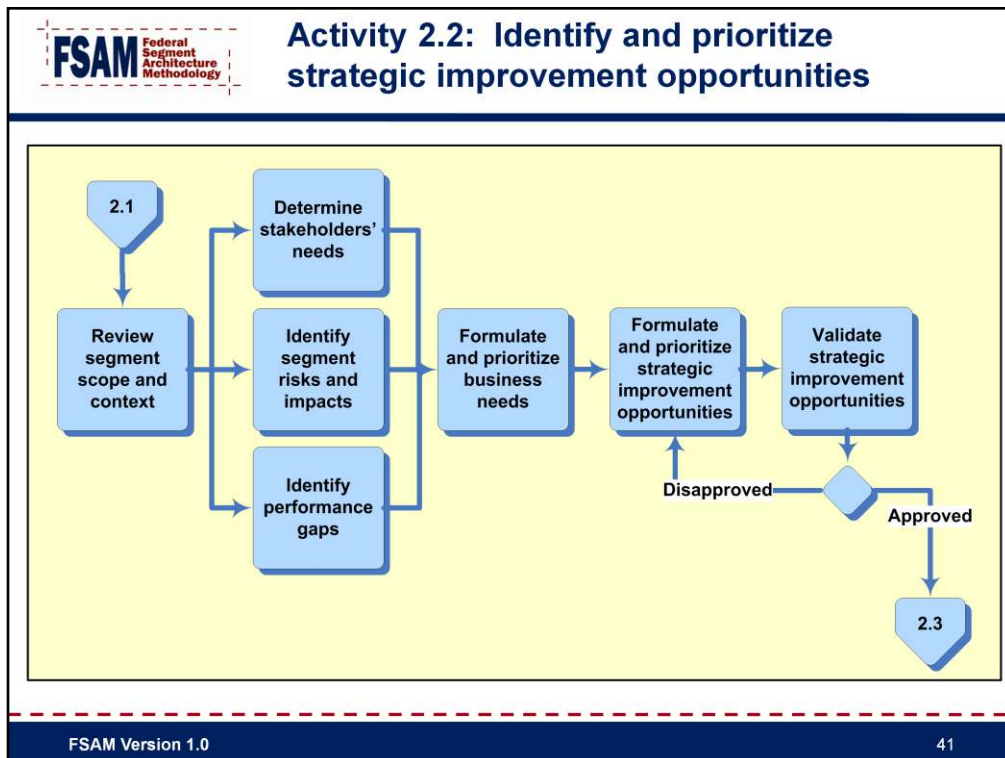
- Based on the high-level problem statement, what are the strategic improvement opportunities and gaps?
- What are the major common / mission services associated with the strategic improvement opportunities?
- Who are the segment stakeholders and what are their needs?
- What is the scope of the segment architecture?
- What are the current segment investments, systems, and resources?
- What are the deficiencies within the segment or the inhibitors to success?
- What is the target state vision for the segment?
- What is the performance architecture through which the transition to the target state vision can be evaluated?

At the conclusion of Step 2, the core team should have answers to these questions as they relate to their segment.

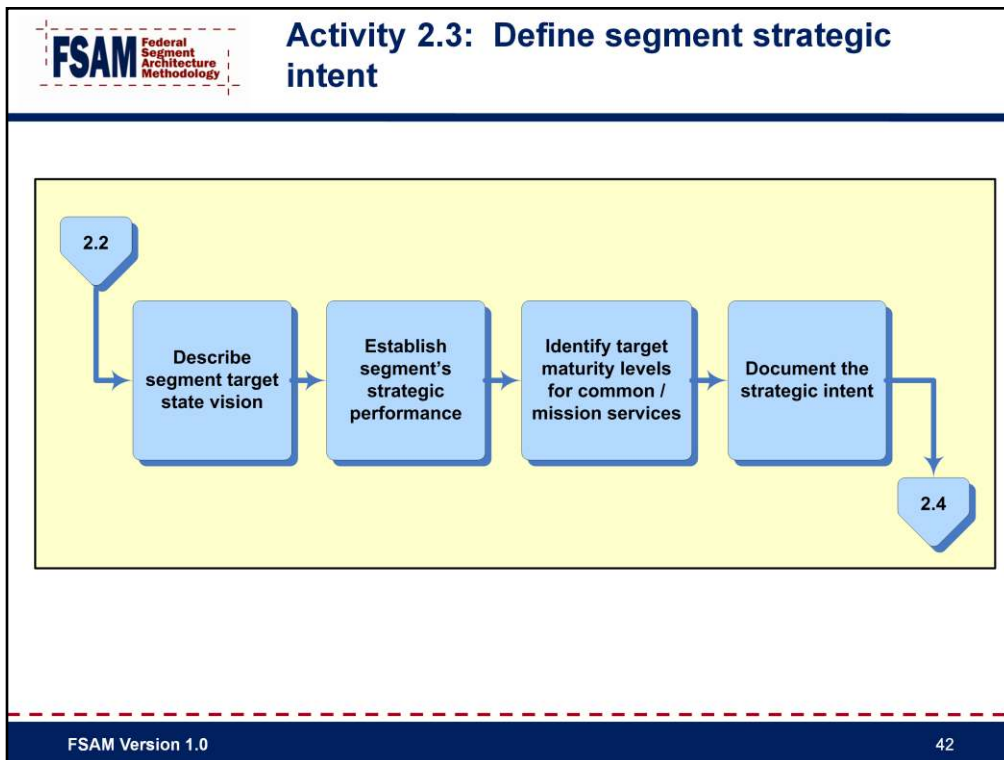
Activity 2.1: Establish segment scope and context



This activity consists of identifying at a high-level the segment stakeholders, business domains, common / mission services, information exchanges, systems, security, and technical focus areas in the context of the “segment architecture development purpose statement” from process step 1. Some of these items may not be known at this point. However, the more information that is available to describe the proposed segment scope and formulate a clear understanding with the core team, the better.



This activity consists of identifying the segment stakeholder needs, segment risks and impacts, and performance gaps. The core team uses this information to formulate the segment business needs and identify a set of high-level strategic improvement opportunities. The segment's strategic improvement opportunities are then prioritized and selected to form the foundation through which the segment strategic intent is developed.

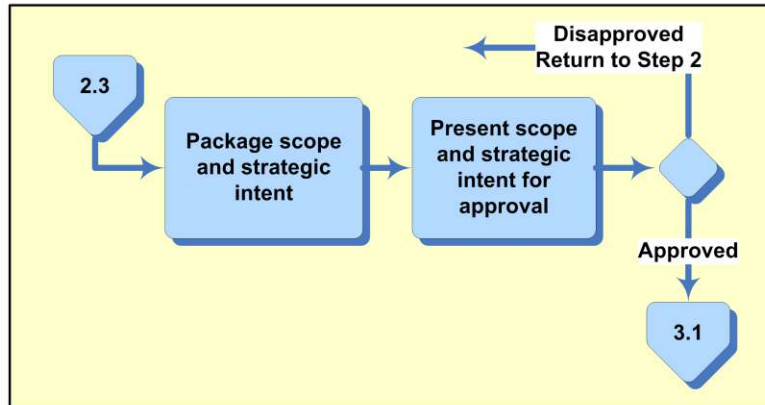


This activity, which results in the segment strategic intent, consists of reviewing the prioritized strategic improvement opportunities and developing the language to describe the target state vision, goals, outcomes, performance indicators, and the target product(s) and/or service(s) target maturity levels.

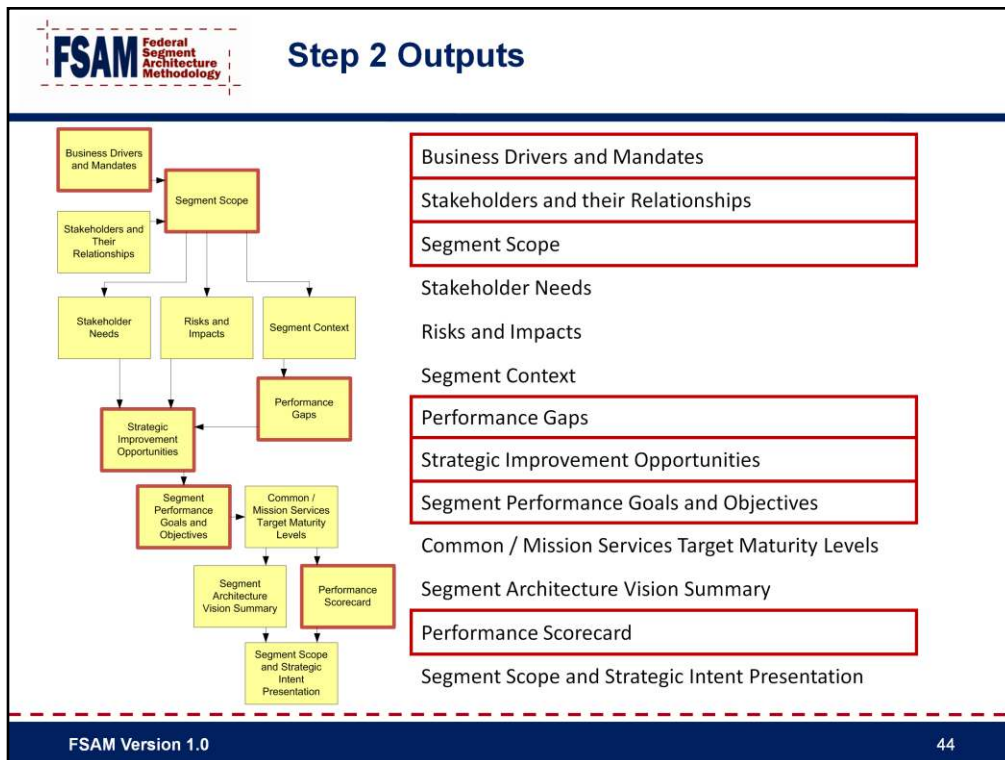
Note: If this is a common service segment, business scenarios may be defined at this point to describe the strategic improvement opportunities and clarify the vision of the segment.

In addition, the segment scope is collated with the outputs developed within this activity to produce a comprehensive document which summarizes the overall segment scope and strategic intent. This document is the final output of process step 2 and is validated and approved by the business owner(s) and/or the executive sponsor before proceeding to the next step.

Activity 2.4: Validate and communicate the scope and strategic intent

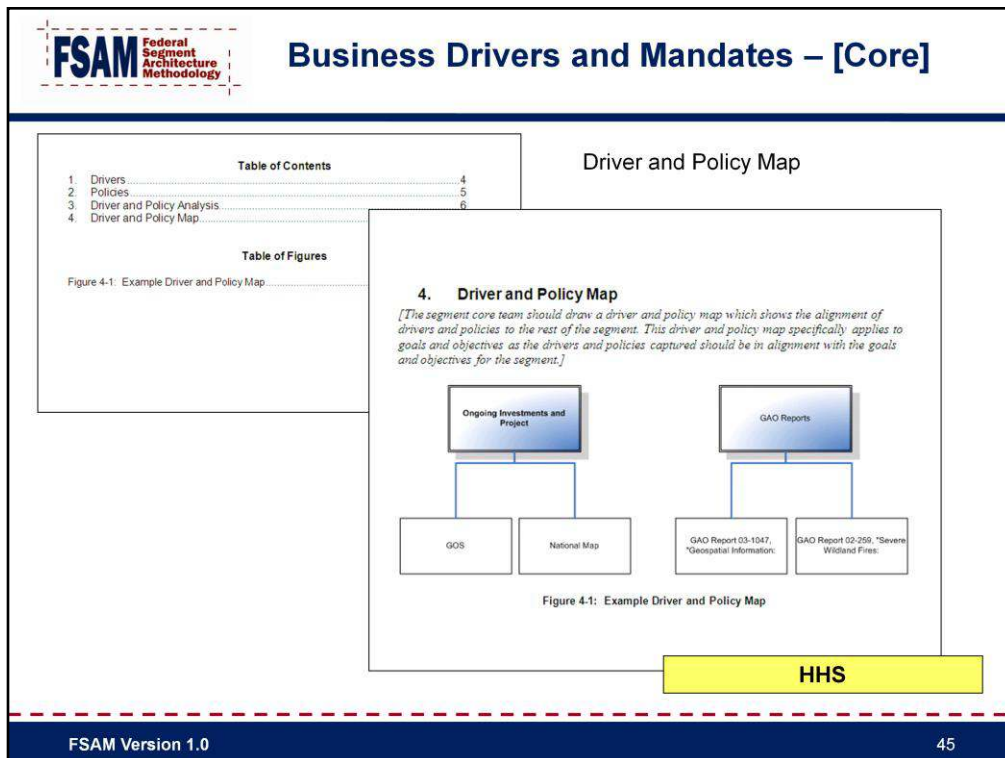


This activity includes packaging and gaining approval of the segment scope and strategic intent from the executive sponsor and business owner(s).



This graphic displays all of the outputs for Step 2. Each output is linked to a suggested analytical template. Outputs with red circles are “core” and the others are “recommended”.

Note that suggested analytical techniques are included for activities within the methodology to better define what is core for a complete segment architecture in the form of descriptive (not prescriptive) guidance on how to accomplish the analysis. The suggested analytical techniques provide guidance as to what outputs are core for defining a complete segment architecture.



Stakeholders and their Relationships – [Core]


Stakeholder Map

Stakeholder Name (Enter the name of a Stakeholder referenced in section)	Role (Enter a brief description of the stakeholder/role)	Belongs To (Name the Organization Unit (or Units) which perform this role)	Agency Code (Enter agency code corresponding to the Organization)	Contact Phone/Email Address (Enter the contact information of the Stakeholder referenced in section)
OPDIV CISO	OPDIV CISO's will be responsible for reporting on the status of vulnerability management activities on a quarterly basis with additional ad hoc reports delivered based on critical issues as they arise (i.e. remediation of a critical vulnerability)			
The Department	The Department will set policies and reporting requirements for the use of vulnerability management components. The Department will collect status and other reports			

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Stakeholder Map

The stakeholder mapping analytical technique can be used to identify the key stakeholders and their role with respect to the segment.



Segment Scope – [Core]

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Segment Project Plan	5

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Figure 7-1: Segment Visual Example

Segment Summary

Purpose
(Briefly define the purpose of the segment. The purpose provides a comprehensive explanation of the target as envisioned by the leadership of the segment.)

Scope
(Briefly describe the scope of the segment. This should be specific to the segment as it exists within the business area. The most important criteria for this section is to show how the segment, as its purpose is described, fits within the overall transformation of the business area.)

Mission
(In this section, the segment core team should provide the mission statement. This mission describes the motivation behind the segment.)

Vision
(The purpose of this section of the document is to explain why segment transformation is being done. The segment core team should explain what the current situation in the segment is, and how the segment transformation will change that situation. The segment core team should also summarize any immediately known benefits of segment transformation.)

Vision Diagram
(Include a diagram that depicts the target state vision for the segment. This should depict common elements from the current operating environment diagram, but should be modified to show the difference, based on accomplishing the segment vision. It should be easily disassembled as to what has changed between the current operating environment diagram and this diagram. Below is an example of a vision diagram)




Figure 7-1: Vision Diagram Example

Initial Segment Deliverables
(This section contains an initial list of segment deliverables as defined by the segment core team and the executive sponsor. If the segment plans to simply outline the minimum necessary set of outputs, these outputs do not need to be listed individually. However, if additional deliverables are necessary to transform the segment, these are listed here so that stakeholders are aware of what is to come.)

Initial Segment Stakeholder List
(This section describes the stakeholders of the segment and is an output generated in Process Step 2. Once the stakeholder map is completed, it is also added in as well. The segment stakeholder list at the time of segment summary development is intended to capture known stakeholders affected by the transformation of the segment. This section also should describe how the stakeholders were determined.)

Segment Project Plan
(The segment project plan identifies the necessary activities, their sequence and associated deliverables for transforming a segment. The project plan for the segment is initially placed here as an appendix. In addition, the segment core team and executive sponsor should be prioritized prior to inserting the project plan as an appendix.)

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Segment Scope

With the segment scope, the segment summary analytical technique begins to take shape. This analytical technique is used to capture the segment scope and also requires additional information that will be completed later in the process. At this point in the process, the focus is on defining the scope to provide focus for subsequent analysis of the segment architecture upon the performance improvements to be achieved. Scoping the effort is the first step in avoiding downstream “analysis paralysis”.

During the FSAM Activity 2.1, *Establish segment scope and context*, the segment purpose (from Step 1), segment scope, and mission sections of this analytical technique can be populated. The complete segment summary will be defined later in Step 2 to contain all the following information:

- Purpose
- Scope
- Mission
- Vision
- Vision Diagram
- Initial Segment Deliverables
- Initial Segment Stakeholder List
- Segment Project Plan

Stakeholder Needs

UID	Need Description	Stakeholder Name	Stakeholder Type
[Unique ID of Need]	[Description of the need]	[Name of stakeholder associated with the need]	[Type of stakeholder associated with the need]

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Stakeholder Needs

Stakeholder needs analysis technique provides a means to formulate the consolidated business needs of the segment. Stakeholder needs are foundational to establishing the segment scope. Stakeholder needs are useful in identify existing gaps and can be prioritized to help establish the performance improvement focus and define the overall scope for the segment architecture development effort.

Risks and Impacts

Risk Capture Template

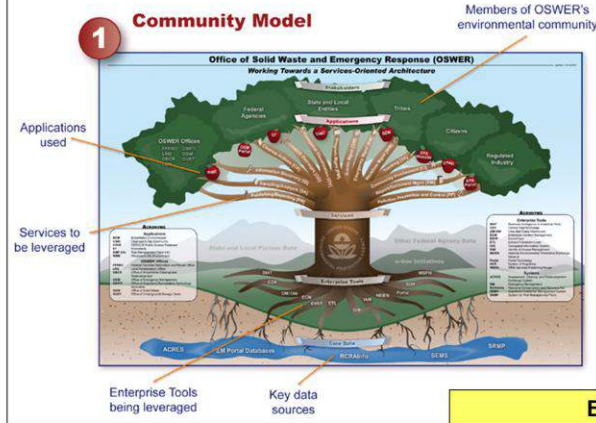
Segment Name / Code										Risk List		
Document Owner:					Organization:							
Phone:					Email:							
Purpose of Risk List: The Risk List is used to track and manage risks to the [segment name] segment.												
ID	Risk Label	Risk Description	Risk Category	Severity	Probability	Risk Priority	Submitted by	Date Identified	Risk Owner	Risk Status	Mitigation Plan	Contingency Response Plan
Unique ID tracking number for each Risk identified	Brief label for the Risk	Provide a more detailed description of the Risk including the expected impact if the risk occurs	Enter a category description (i.e., type) of the risk. Examples include mission, people, process, business, cost, data, privacy, security, technology, etc.	What is the severity of the risk to the project scope, schedule, and resources if it occurs (H/M/L)	What is the likelihood that the risk may occur (H/M/L)	Enter the overall priority of the risk (H/M/L)	Enter the name of the person who identified the risk	Date the Risk was identified	Name of owner of the Risk. Risk owner is responsible for tracking and reporting on the status of the risk and any associated response plans	Risk Status: Inactive - Risk has not occurred Active - Risk has occurred and response plan is in effect	What is the overall plan to reduce the probability or effect of the risk.	What is the plan responding to the risk should it occur.
1												
2												
3												
4												
5												
6												
7												
8												

DOT

The risk capture template can be used to identify the high-level risks for the segment. This analytical technique is not intended to be used as a risk list for the project to develop the segment architecture, but rather as a comprehensive risk list associated with the operational concept of the segment. This list should include high-level risks associated with the segment mission, people, process, business, cost, data, privacy, security, technology, etc. The segment risk can be a key driver in determining the overall priorities for the segment architecture.

Current Operating Environment Diagram

[The example graphic below depicts resources and a sequence of activities. The Current Operating Environment diagram takes a similar form and depicts any of the in-scope components of the current operating environment (e.g., resources, stakeholders, organizations, processes, activities, etc.) and potentially depicts any of the segment drivers or challenges]



Current Operating Environment Diagram

The Current Operating Environment Diagram from EPA is a suggested technique for the Segment Context output. The example graphic depicts resources and a sequence of activities.

The Current Operating Environment diagram takes a similar form and depicts any of the in-scope components of the current operating environment (e.g., resources, stakeholders, organizations, processes, activities, etc.) and potentially depicts any of the segment drivers or challenges.


Performance Gaps – [Core]

[illegible]

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Performance Gap Analysis

This performance gap analysis analytical tool is a spreadsheet that helps identify the As-Is state performance gaps in order to facilitate prioritization of performance improvement opportunities. The performance gap analysis documents any pre-existing performance architectures, OIG/GAO reports, customer surveys, or deficiencies in achieving PAR and PART metrics that are within the segment scope identified in Activity 2.1. Customer, business, process /activity, and technology performance information is collected for the “current state” in order to identify, quantify, and prioritize segment performance gaps between current and target performance metrics.



Strategic Improvement Opportunities Analysis – [Core]

Strategic Improvement Opportunities Analysis

Potential Opportunities

	A	B	C	D
1	Opportunity Title	Opportunity Description	Assumptions	Standard Benefit Category

Opportunity Analysis

Prioritization Criteria

	A	B
1	Prioritization Criteria	Weighting Level
2	Investment Reality How realistic is it to obtain sufficient funding to pursue a set of opportunities in this segment at this time, and specifically, how likely is this asset to be fully funded?	1-10
3	Driver Urgency How urgent are the drivers that justify pursuing opportunities in this segment at this time, and specifically, how comprehensive does this asset address the current business drivers?	1-10
4	Technical Risk How will proposed assets be integrated into existing ones? Will proposed investment leverage commercial off-the-shelf (COTS) products? How will design complexity affect development?	1-10
5	Work Force How able is the existing workforce to pursue, develop, evaluate and manage the asset?	1-10
6	Time to Implement How long will it take for the asset to be developed, tested and placed into production? (6 months = Low, 12 months = Medium, 18 months = High)	1-10
7	Citizen Benefit / Internal & External Impacts How well does asset address identified internal and/or external customer needs for increased service quality, timeliness, or reduction in cost?	1-10
8	Mission Impact How directly does the asset impact the strategic goals and objectives of the organization?	1-10

	A	B	C	D	E	F	G	H	I
1	INPUTS:								
2	Opportunities	Selection Criteria							
3		Investment Reality	Driver Urgency	Technical Risk	Work Force	Time to Implement	Citizen Benefit	Mission Impact	
4	Priority Weights								
5	<Opportunity1>								
6	<Opportunity2>								
7	<Opportunity3>								
8	<Opportunity4>								
9	<Opportunity5>								
10	<Opportunity6>								
11									
12									
13									
14									
15									
16									
17	RESULTS								
18	Opportunities	Selection Criteria							
19		Investment Reality	Driver Urgency	Technical Risk	Work Force	Time to Implement	Citizen Benefit	Mission Impact	Total
20	<Opportunity1>	0	0	0	0	0	0	0	0
21	<Opportunity2>	0	0	0	0	0	0	0	0
22	<Opportunity3>	0	0	0	0	0	0	0	0
23	<Opportunity4>	0	0	0	0	0	0	0	0
24	<Opportunity5>	0	0	0	0	0	0	0	0
25	<Opportunity6>	0	0	0	0	0	0	0	0
26		HUD							

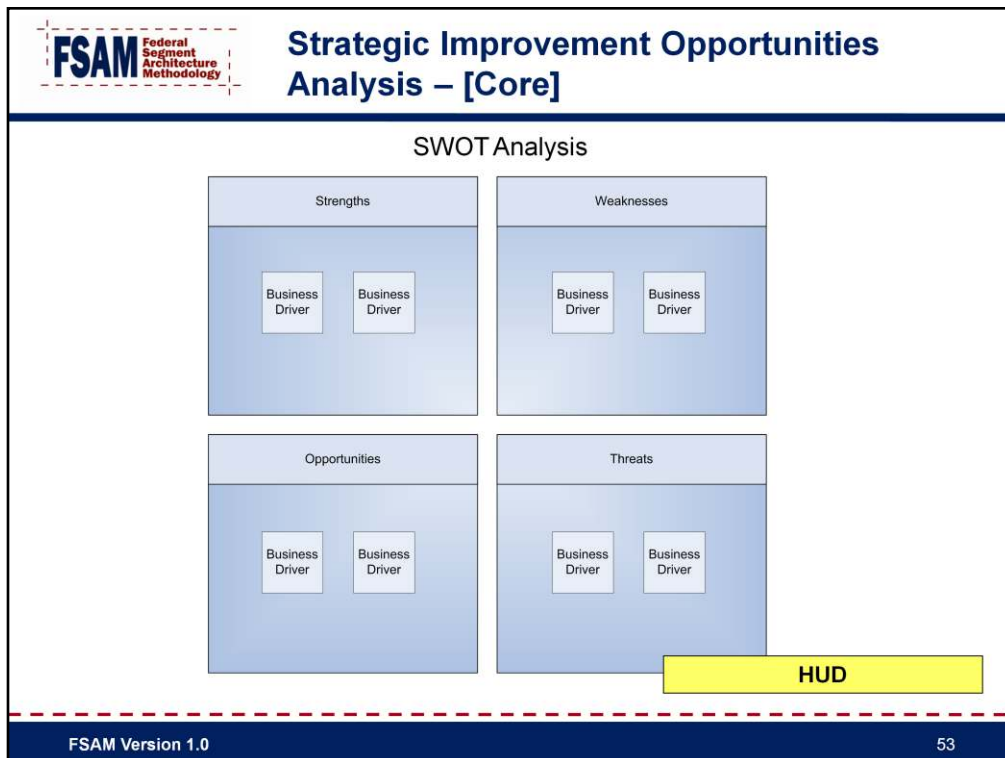
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Strategic Improvement Opportunities Analysis

There are three worksheets (tabs) contained within this template: Potential Opportunities; Prioritization Criteria; and Opportunity Analysis.

- The Potential Opportunities Tab is leveraged to capture the potential opportunities to improve segment performance.
- The Prioritization Criteria Tab defines the criteria through which the opportunities will be prioritized.
- The Opportunity Analysis Tab takes the Potential Opportunities from the first tab and prioritizes them based on the criteria established in the second tab.




SWOT Analysis

SWOT Analysis is a strategic planning method used to evaluate the Strengths, Weaknesses, Opportunities, and Threats (SWOT). A SWOT Analysis is one analysis technique that could be leveraged to identify strategic improvement opportunities. The analysis technique involves identifying the internal and external factors that are favorable and unfavorable to achieving a goal or strategic objective.

Strengths and Weaknesses are internal value creating (or destroying) factors such as assets, skills or resources a company has at its disposal relatively to its competitors. They can be measured using internal assessments or external benchmarking.

Opportunities and Threats are external value creating (or destroying) factors a company cannot control, but emerge from either the competitive dynamics of the industry/market or from demographic, economic, political, technical, social, legal or cultural factors.

For each SWOT factor identified, probabilities of occurrence should be established facilitating the development of fostering and/or mitigating activities. Strengths and Opportunities should be nurtured in order to ensure they are leveraged throughout the Segment life-cycle. Similarly, for Weaknesses and Threats, mitigation strategies should be developed in order to reduce the probability of occurrence and/or magnitude of impact.



Segment Performance Goals and Objectives

– [Core]

Strategic Alignment of Opportunities

	A	B	C	D
	Strategic Element	Opportunity	Rationale	Architecture Work Product
1	Segment Strategic Goal/Objective <name>	List Opportunities	Describe rationale for strategic alignment	Target work product name
2	Business and IT Modernization Initiative <name>	List Opportunities	Describe rationale for strategic alignment	Target work product name
3	Business and IT Modernization Enterprise Services <name>	List Opportunities	Describe rationale for strategic alignment	Target Work Product Name
4	Cross-agency Initiative Name	List Opportunities	Describe rationale for strategic alignment	Target work product name
5				
6				


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Strategic Alignment of Opportunities

The strategic alignment of opportunities template provides an analytical technique that helps align the strategic goals and objectives with identified opportunities. This analysis includes providing an overall rationale and identifying any supporting segment architecture work product(s) that provides additional reference information supporting the alignment.



Common / Mission Services Target Maturity Levels

Common / Mission Services Maturity Framework

Common / Mission Service	Level 0 (Current State)	Level 1	Level 2	Level 3
Common / Mission Service 1	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail
Common / Mission Service 2	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail
Common / Mission Service 3	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail 	<ul style="list-style-type: none"> Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail Common / Mission Service Detail

- Yellow = 1-2 year target
- Blue = 3-5 year target

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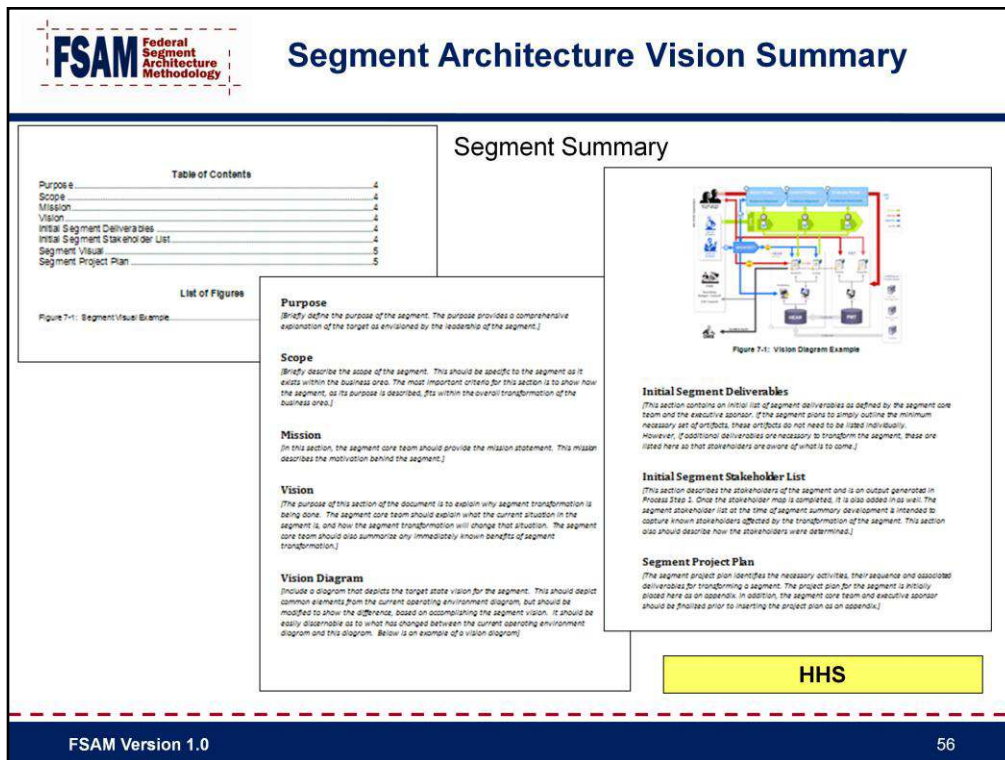
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Common / Mission Services Target Maturity Framework

The template employed in this analysis technique is an example of a services maturity framework for an organization’s common or mission services. Each row represents a common or mission service delivered by the organization towards which the Agency will devote resources in order to accomplish goals and objectives.

Different maturity levels could be targeted for each service of the organization and are described in the columns. These levels generally (but not always) provide for increases in sophistication, scale, and involvement going from left to right or from Level 1 to Level 3.



Segment Scope

In FSAM Activity 2.3, *Define segment strategic intent*, the segment summary that was initiated in Activity 2.1 is completed with additional information that outlines the segment vision and includes a stakeholder analysis. In addition, the segment scope outlines the deliverables and high-level project plan for completing the segment architecture. This information will be useful in scoping the breadth and depth of the subsequent architectural analysis to be performed in Steps 3 and 4.

When complete, the segment summary will contain all the following information:

- Purpose
- Scope
- Mission
- Vision
- Vision Diagram
- Initial Segment Deliverables
- Initial Segment Stakeholder List
- Segment Project Plan

FSAM Federal Segment Architecture Methodology

Performance Scorecard – [Core]

Performance Scorecard

PAR Metrics							
PAR Metric	Fiscal Year	Agency, Component, Bureau, Operating Division, etc	Agency Code	Strategic Goal	Target	Actual	Target Achieved ? (Y/N)

PART Metrics				
Program	Agency, Component, Bureau, Operating Division, etc	Agency Code	Year Assessed	Final Rating

Business / Service Performance															
Measurement ID	Line of Business or Service Type	Sub-function or Service Component	Strategic Goal(s) Supported	Agency Business Process	Fiscal Year	Measurement Area	Measurement Category	Measurement Indicator	Metric Type	IT Investment Name	Investment UID	System / App / Program	Baseline	Target	Actual Results

Segment Architecture Performance					
UID	Fiscal Year	Segment Architecture Development Measurement Indicator	Target	Actual Results	Comments

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Performance Scorecard

The performance scorecard consists of strategic, business, program and segment performance data. This analytical technique is designed to conform to EAAF v3.0 reporting requirements. The purpose of the Segment Performance is to create a reporting framework to measure how well the activities and investments within a segment are performing.

The performance scorecard developed by the FSAWG is an Excel spreadsheet with tabs for the following:

- Strategic Performance (PAR) -- reports on the PAR Key Indicators that are aligned to the Segment.
- Program Performance (PART) -- reports on the PART assessments for the programs aligned to the Segment.
- Business / Service Performance -- creates multiple lines of sight based on the BRM Sub-functions that the Segment performs. These sub-functions may be replaced with higher level business processes based on the Segment Business Architecture.
- Segment Performance -- captures the Segment Architecture Development metrics that measure the successes of the architecture effort.



Step 3

Define Business and Information Requirements

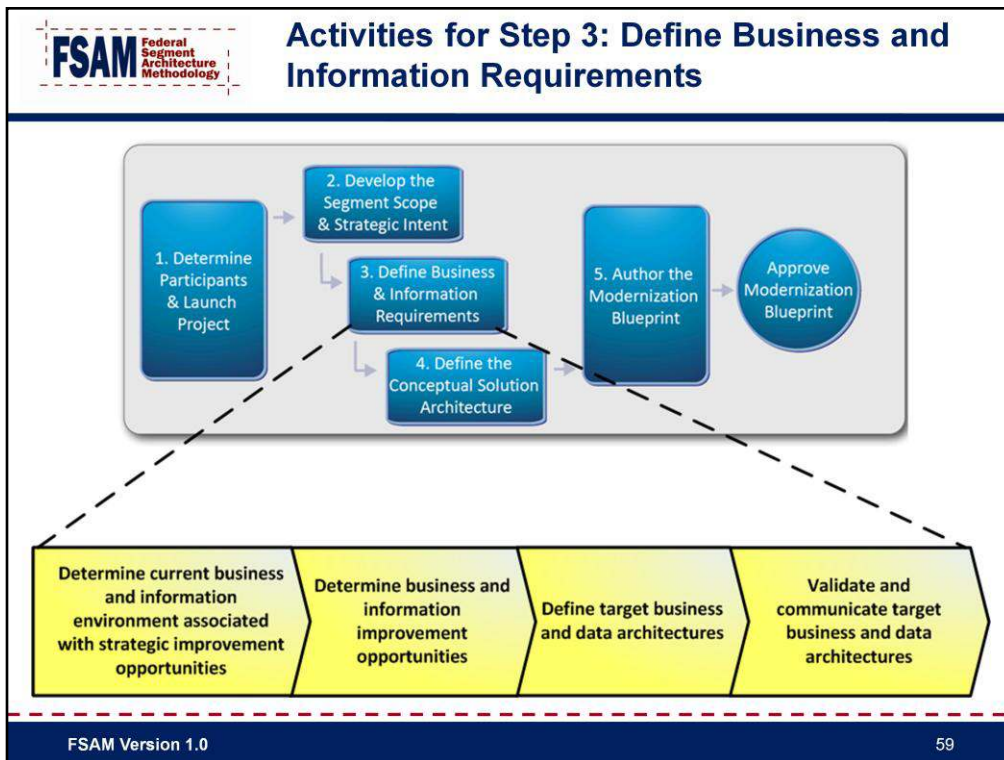
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The architect leverages the guidance in this process step to engage with key stakeholders to analyze the segment business and information environments and determine the business and information improvement opportunities that will achieve the target performance architecture. Within this step, the architect begins with by developing a broad, holistic view of the overall business and information requirements associated with the strategic improvement opportunities identified in the previous step. Information requirements include the information exchanges that relate to the critical business processes associated with the performance improvement opportunities. The business and data architectures are derived from these requirements. The business and data architectures developed at the end of this step may include the specification of business and information services respectively, and should be sufficiently complete and actionable to result in more efficient processes and allocation of resources.

At the end of this section, you should be able to:

- Describe the outcome of this step.
- Identify the activities and tasks associated with this step.
- Identify the core outputs of this step along with the other recommended “non-core” outputs
- Describe how FSAM helps define how well the current (as-is) business and information environment meets the needs of the segment stakeholders
- Describe how FSAM helps articulate the segment’s goals and performance objectives into target business and data architectures expressed within business functions, business processes, and information requirements
- Describe how FSAM provides guidance on determining the appropriate level of analysis of business and information requirements to form actionable recommendations
- Describe how a recommendation related to the current business and information environments that fulfills the target performance architecture can be effectively described using the FSAM adjustment profile analytical technique



Step Purpose:

The overall purpose of this step is to define the adjustments that are required by the current business and information environments to achieve the target performance architecture, including delivery of common / mission services.

Step Outcome:

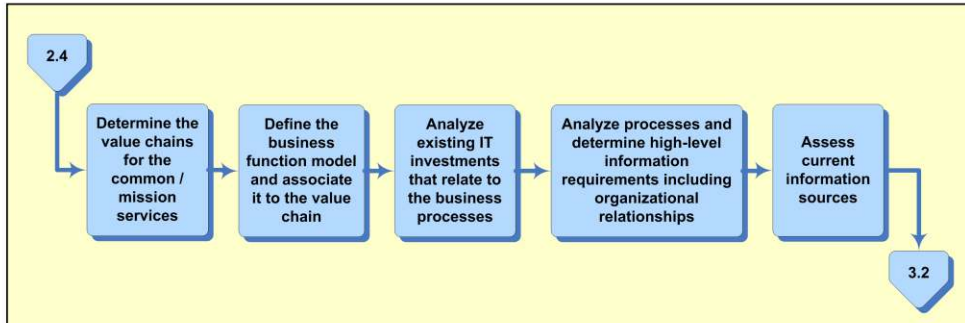
The outcome of this process step is an understanding of the adjustments that are required by the current business and information environments to achieve the target performance architecture, including delivery of common/mission services.

Key Questions Being Answered by Step 3: Define Business and Information Requirements

- How well does the current (as-is) business and information environment perform?
- How should the target business and information environment be designed?
- Have the segment's goals and performance objectives been translated into an actionable and realistic target business and information architecture expressed within business functions, business processes, and information requirements?
- Have the business and information requirements been analyzed and documented to the lowest level of detail necessary to form actionable recommendations?
- Did the business and information analysis provide a synchronized and cohesive set of recommendations?
- Does the core team understand the adjustments that are required for the current business and information environments to fulfill the target performance architecture?

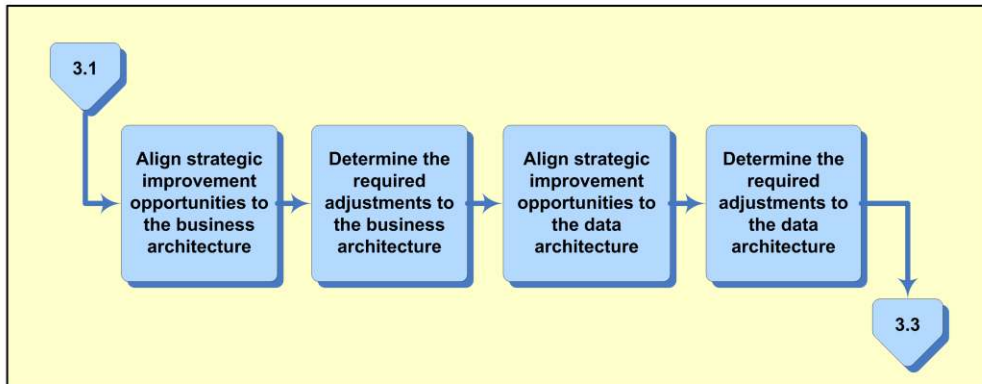
At the conclusion of Step 3, the core team should have answers to these questions as they relate to their segment.

Activity 3.1: Determine current business and information environment associated with strategic improvement opportunities



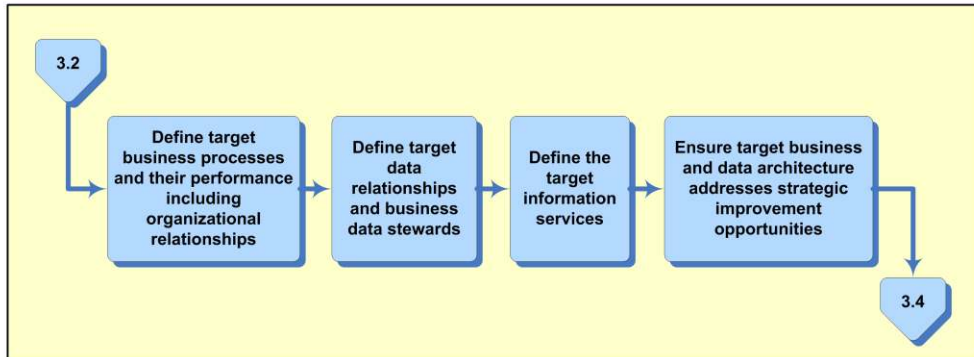
This activity includes an analysis of the current business and information environment in the context of the strategic improvement opportunities identified in process step 2. Specifically, the architects need to define and analyze the portions of the current business and information requirements that are relevant to the strategic improvement opportunities and the common / mission services identified in process step 2. The intent is to analyze the current business and information environment so that in subsequent activities any adjustments to the current state can be determined and strategic improvement opportunities can be realized.

Activity 3.2: Determine business and information improvement opportunities



The segment architect should analyze the gap between the current and required business environment in the context of the strategic improvement opportunities identified in process step 2. This activity provides guidance for determining which elements within the current state business and information environment must change to meet the desired strategic improvement opportunities. The segment architect should describe the needed changes to the business and information environments and determine whether any of these changes are currently addressed with planned initiatives or investments. The result of this activity is an articulation of the changes that must be made within the target business and data architectures (to be defined in the next activity).

Activity 3.3: Define target business and data architectures

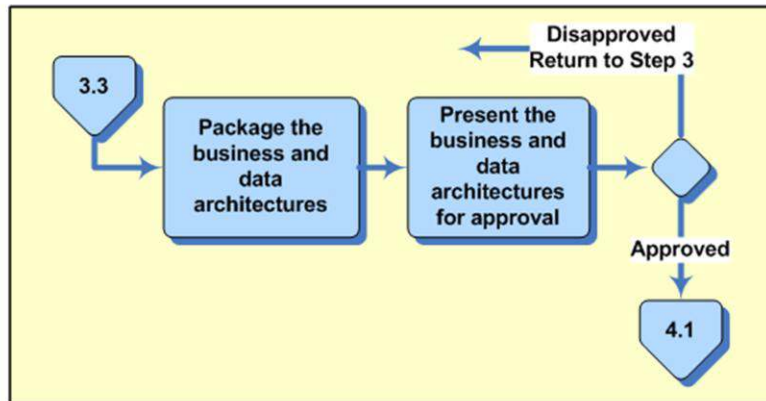


During this activity, the architect should define the optimal target business and data architecture to reflect each of the business and information improvement opportunities identified in the prior activities. During this activity, the architect will define the target business and information environments by developing target versions of the current state business and information artifacts previously developed. The scope of this analysis should focus only on critical business processes and information at an appropriate level of detail and granularity so as to:

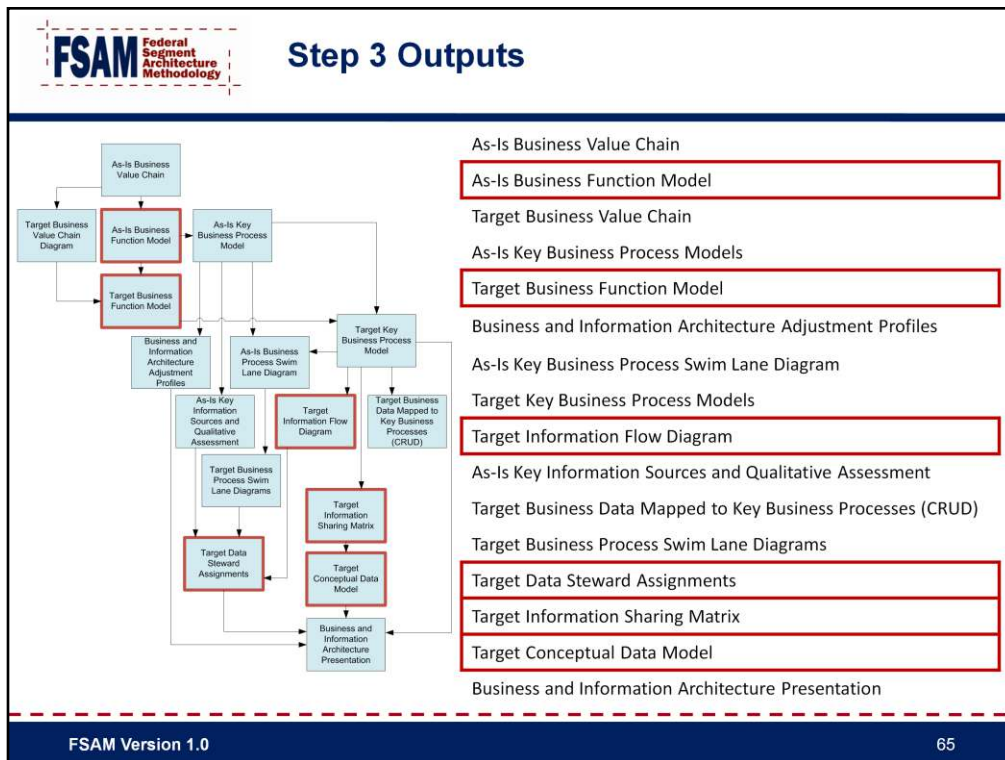
- Identify the target state business processes and information
- Facilitate the derivation of the data architecture from the business architecture
- Maintain traceability between the business architecture and data architecture

In the end, the target business and data architectures will be recommended for implementation. The result will be to achieve the strategic improvement opportunities from process step 2, to operationalize the organization's data reference model (DRM), and to maintain compliance with information assurance and security mandates.

Activity 3.4: Validate and communicate target business and data architectures



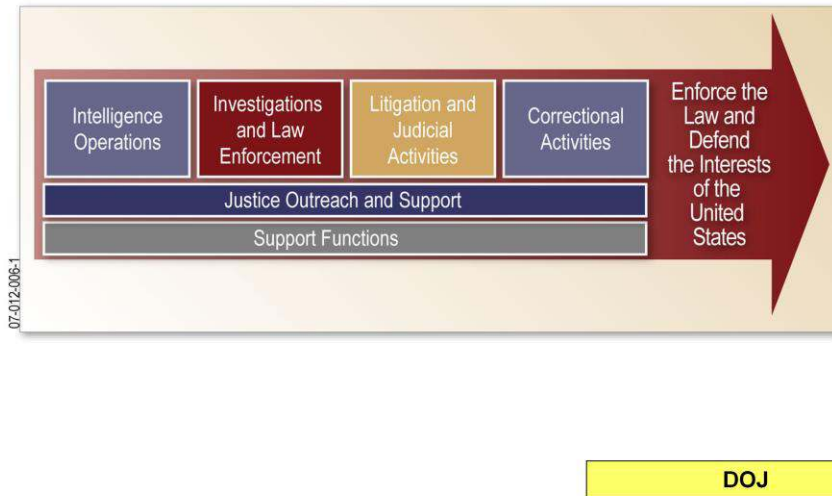
Gain approval from the core team in regards to the target business and data architecture.



This graphic displays all of the outputs for Step 3. Each output is linked to a suggested analytical template. Outputs with red circles are “core” and the others are “recommended”.

Note that suggested analytical techniques are included for activities within the methodology to better define what is core for a complete segment architecture in the form of descriptive (not prescriptive) guidance on how to accomplish the analysis. The suggested analytical techniques provide guidance as to what outputs are core for defining a complete segment architecture.

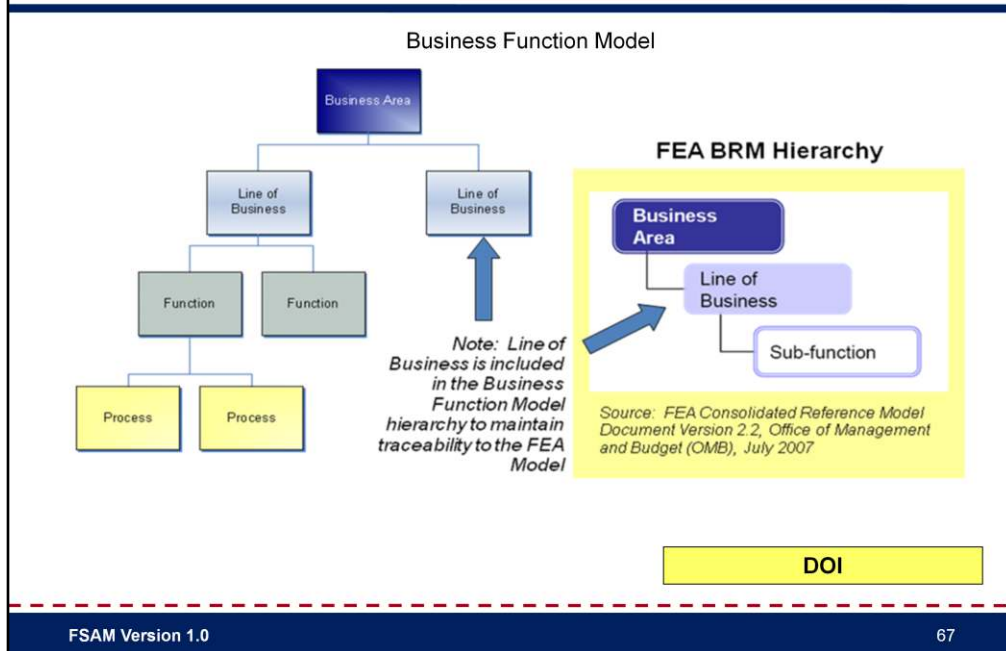
Business Value Chain Analysis



Business Value Chain Analysis

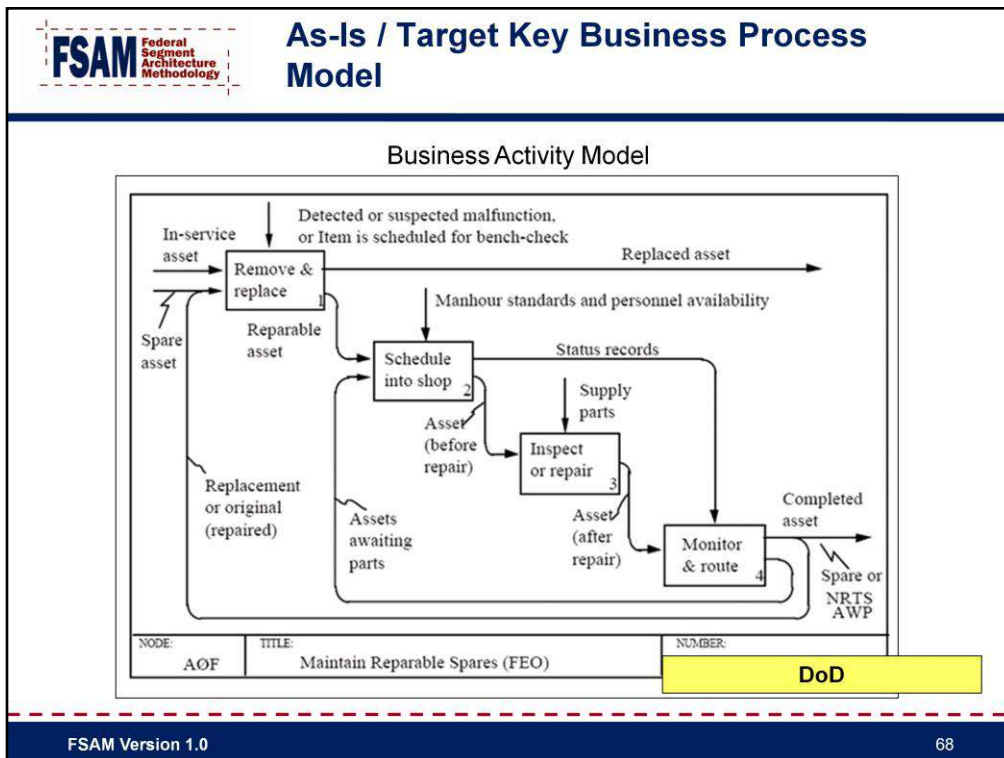
The value chain is used to identify the business processes and how they string together to deliver a product or service defined in Step 2. The value chain identifies the high-level logical ordering of the chain of processes that deliver value.

As-Is / Target Business Function Model – [Core]



Business Function Model

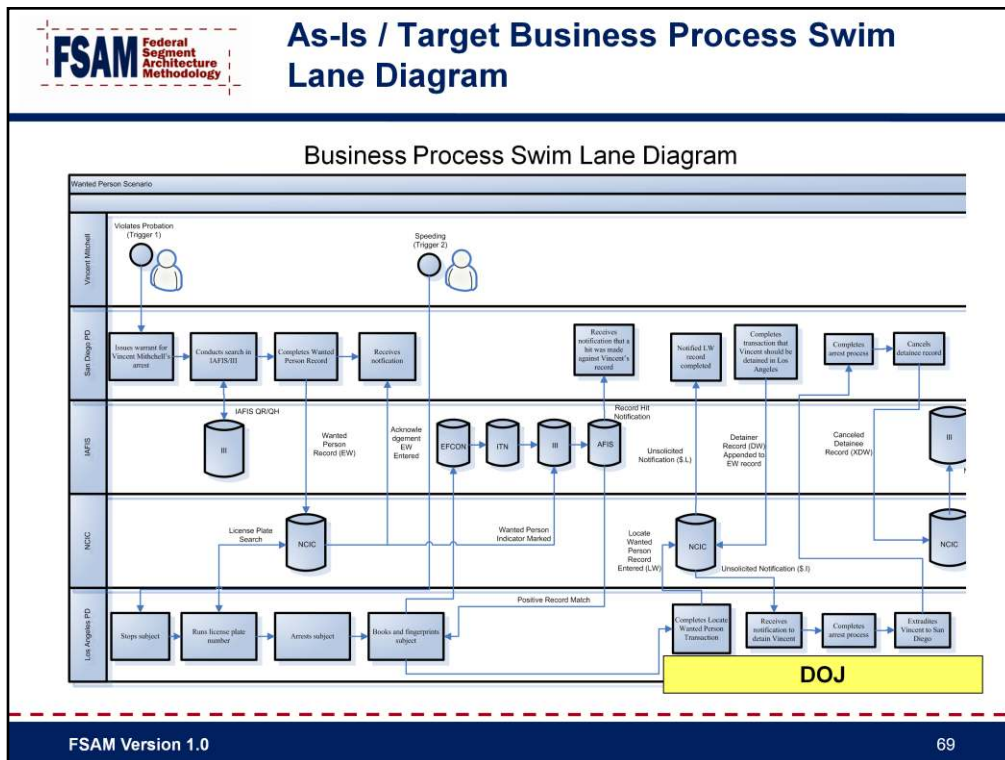
The business function model identifies the business functions that will be affected by potential process improvements and ensures that processes are analyzed in context with the correct business functions and that appropriate mappings to the FEA BRM are established.



Business Activity Model

The target key business process activity model defines optimized processes that are required to achieve segment performance objectives. This process modeling technique helps identify the high-level focus areas for downstream business process reengineering that will be performed during the actual execution of the segment transition plan.

This analytical technique also assists in determining high-level information and information security requirements. Information exchanges identified in this analytical technique are further described in the FSAM Step 3 Information Sharing Matrix.



Business Process Swim Lane Diagram

As an alternative to business process modeling, the swim lane diagram is used to show the organizational mapping of the target key business processes. This model is especially useful with both optimized process and organizational roles that are required to achieve segment performance objectives.

This analytical technique also assists in determining high-level information and information security requirements. Information exchanges identified in this analytical technique are further described in the FSAM Step 3 Information Sharing Matrix.

Business and Information Architecture Adjustment Profiles

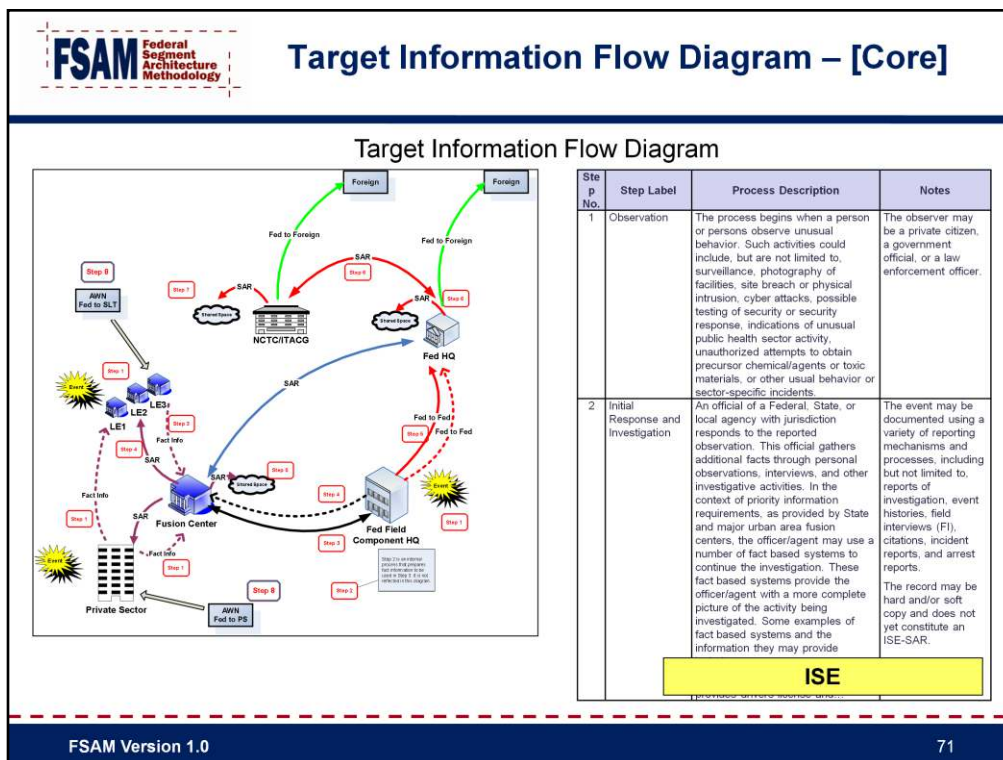
Business and Information Architecture Adjustment Profiles

Profile 1	
Strategic Improvement Opportunity:	Summary of Effect on Strategic Improvement Opportunity:
Affected Business Process, Information or Service Area:	
Summary of Current State:	Summary of Target State:
Risks / Issues:	
>	
>	
>	
Relationships and Dependencies:	
>	
>	
>	
Estimate of Costs:	
>	
>	
>	

Treasury

Business and Information Architecture Adjustment Profiles

The business and information architecture adjustment profiles group related opportunities and formally documents the limitations of the current state, desired characteristics of the target state, how the target state will help achieve strategic improvement opportunities, and risk and cost considerations.



Target Information Flow Diagram

The target information flow diagram assists in discovery of opportunities for re-use of information in the form of information-sharing services, within and between segments. It essentially documents the use case for information sharing within the segment. Information exchanges identified in this analytical technique are further described in the FSAM Step 3 Information Sharing Matrix.

The example we see here of a Target Information Flow Diagram and its associated description is directly attributable to the Information Sharing Environment (ISE) business context associated with Suspicious Activity Reporting (SAR). The diagram depicts the flow of information across providers and consumers. The associated description provides the relevant detail for the business context associated with the target information flow.

The diagram is accompanied by a table that provides a description of steps, process description and additional notes for the business context associated with the information flows. Steps provide a logical ordering of the processes that comprise the business context. Process descriptions provide detail of the activities related to the information flows described in the diagram

As-Is Key Information Sources and Qualitative Assessment

ADS Candidate Qualitative Analysis Matrix

ADS Candidate Qualitative Analysis

Interviewer Name:
Interviewee Name (Business Owner or System User):
Interview Date:

Candidate Data Source Name #1																																																																											
Data Source Description																																																																											
System Name(s)																																																																											
Owning Organization Name																																																																											
System Owner Name																																																																											
Qualitative Assessment of Data	Dimensions	Description	Score																																																																								
		<div> <div>ADS Candidate Qualitative Assessment Summary Matrix</div> <table> <tr> <th rowspan="2">ADS Candidate Name</th> <th colspan="8">Qualitative Dimensions</th> <th rowspan="2">Recommendations</th> </tr> <tr> <th>Accuracy Score</th> <th>Completeness Score</th> <th>Consistency Score</th> <th>Precision Score</th> <th>Timeliness Score</th> <th>Uniqueness Score</th> <th>Validity Score</th> <th>Overall Score</th> </tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> </table> </div>		ADS Candidate Name	Qualitative Dimensions								Recommendations	Accuracy Score	Completeness Score	Consistency Score	Precision Score	Timeliness Score	Uniqueness Score	Validity Score	Overall Score																																																						
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Validity																																																																											
Other Notes and Recommendations			DOI																																																																								

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ADS Candidate Qualitative Analysis Matrix

The as-is key information sources and qualitative assessment documents the sources of information in the current state and determines the most trusted sources of data by information class and data entity.

During this analysis, recommendations for candidate ADS may be developed. The goal of ADS identification is to determine the most trusted sources of data by information class and data entity through a structured analysis. This analysis produces DRM and SRM touch points for information exchanges.

Target Business Data Mapped to Key Business Processes

CRUD Matrix

Category	Function	Sub-Function	Sub-Sub-Function	Data Class	CRUD	Data Class Description
VA Mission Function	Compensation	Eligibility Determination		Veteran Personal Data	CRU	All personal information for a veteran used in supporting benefits distribution, including (but not limited to): Name and addresses; Contact Information; SSN; Current occupation; Salary and financial information; Family/dependents; Marital status; Medical status; Birth and death information; disability rating (if applicable); initial rating notification date; and Electronic Funds Transfer (EFT)
				Service Data	RU	Information on a veteran's service record provided by DOD (e.g., DD214 or equivalent), and provided to VA by other sources. Service-related information include such items as: Branch of service; Entered on duty dates; Released from active duty dates; Active duty for training; Type of discharge; Retired/separated; Separation reason; Selected reserve periods; Separation reason from the selective reserve
				Veteran Medical Records	RU	Information on the state of a veteran's health, prior medical history, prior care and procedures, available from DOD, VA/VHA, and other sources
				Police Records	R	Incarceration at federal, state or local facilities; Fugitive felon status; and investigative reports for accidents/injuries
				Guardian Information	R	Court proceedings of guardianship; Field examinations; Appointment and bonding of fiduciaries; Annual accountings; Records of supervisory visits and how the visit was conducted; Estate information
				Account Information	CRU	Specific information related to a veteran's account with a VA program, which is used to support the delivery of services to the veteran and management of the overall program. Information will include (where appropriate): Name (identity) of the veteran (to link to personal data); Case/account number; Name (identity) of beneficiary; Veteran contact history; Eligibility determination information; Benefit entitlement information; Payment information and history

HHS

CRUD Matrix

The CRUD (Create / Read / Update / Delete) matrix maps the data entities to the business processes and helps identify: (1) what data actions take place with each process, (2) what data are used by the business.

The CRUD matrix results table shows the alignment of each data object to business processes and specific activities (i.e., create, read, update, delete) that are performed on the data object. The activities are performed by specific business processes captured as part of the segment, so what this matrix will show is how segment business processes affect and change specific data within the segment.

Target Data Steward Assignments – [Core]

Target Data Steward Matrix


Organization	Data Concept							
	ACTION PLAN	FIRE PROGRAM PARTNER	ISSUE	LOCATION	ORGANIZATION	PARTNER	RECOMMENDATION	TASK REFERENCE
Org Unit 1				S			S	
Org Unit 2		P		P			P	
Org Unit 3	S							
Org Unit 4	S	S	S	S	P	S		S
Org Unit 5	P		P		S			
Org Unit 6								
Org Unit 7	S							
Org Unit 8								S
Org Unit 9								
Org Unit 10		S			S	P		

P = Principle Data Steward
S = Secondary Data Steward

DOI

Target Data Steward Matrix

The target data steward matrix maps the information classes to the organizations containing the data stewards. Data stewards are responsible for the creation, maintenance, and quality of data to support target business activities in the target environment.



Target Information Sharing Matrix – [Core]

Target Information Sharing Matrix

Information Class	Information Provider	Information Provider Data Source (Structured or Unstructured)	Information Consumer	Information Consumer Data Source (Structured or Unstructured)	Information Sharing Service Type*	High-Level Requirements
[Information Class Name]	[Name of information provider]	[Type of provider's data source.]	[Name of information consumer]	[Type of consumer's data source.]	[Type of Data Exchange or Data Access Service]	[Identify any associated high-level requirements related to security, privacy, data standards, etc.]

*Data Sharing Service Types are either exchange services or access services as described in [FEA DRM v2.0](#), Chapter 5. The type of data sharing service usually depends on the structured or unstructured nature of the provider's data source to consumer's structured or unstructured data source.

<p>*Types of Data Exchange Services</p> <ul style="list-style-type: none"> Extract/Transform/Load Publication Entity/Relationship Extraction Document Translation 	<p>*Types of Data Access Services</p> <ul style="list-style-type: none"> Context Awareness Structural Awareness Transactional Services Data Query Content Search & Discovery Retrieval, Subscription and Notification <p style="font-size: x-small;">Note: Access Services typically support many consumers. Generally, there is no need to identify specific consumers.</p>
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DOI

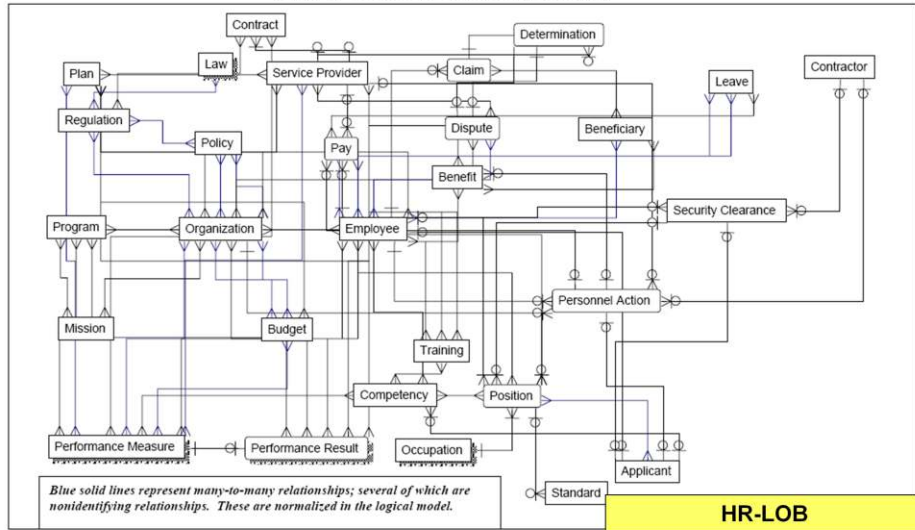
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Target Information Sharing Matrix

Along with the target information flow diagram, the target information sharing matrix assists in discovery of opportunities for re-use of information in the form of information-sharing services, within and between segments. Specifically, the target information sharing matrix describes the type of information access and exchange services used for information classes associated with information flows that are described in the information flow diagram.

Target Conceptual Data Model – [Core]

Target Conceptual Data Model



Target Conceptual Data Model

The target conceptual data model provides the structure and terminology for information and data in the target environment and includes subject areas, information classes, key entity types, and relationships.



Step 4

Define the Conceptual Solution Architecture

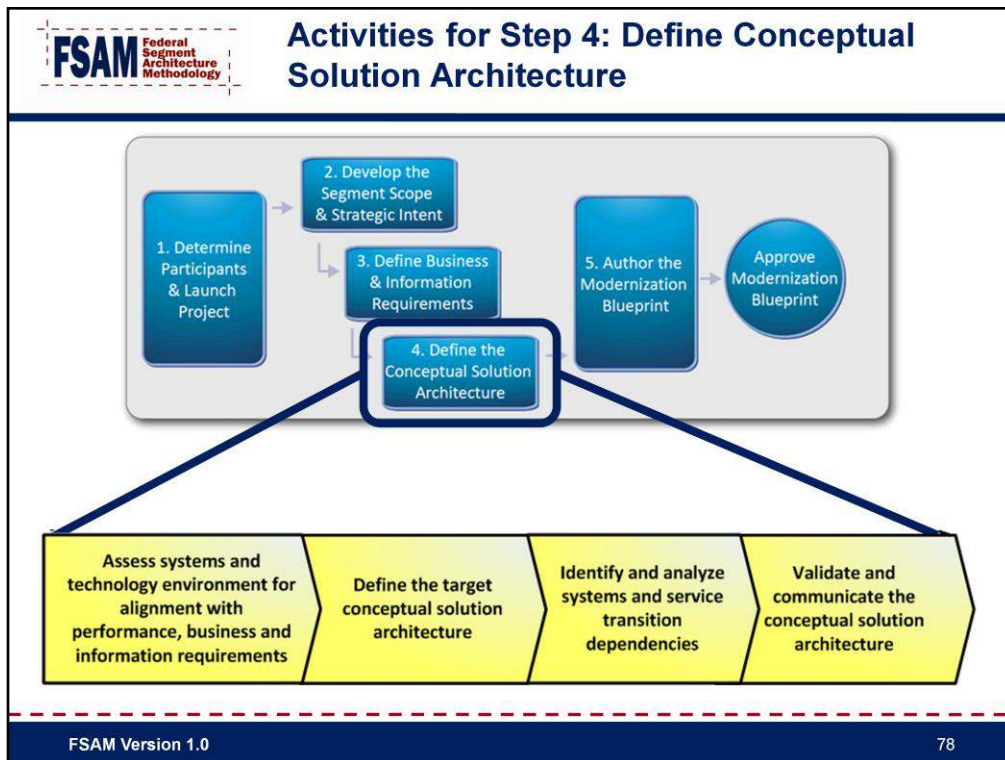
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The architect leverages the guidance in this process step to engage with key stakeholders in order to produce the *conceptual solution architecture*. The conceptual solution architecture is an integrated view of the combined systems, services, and technology architectures that support the target performance, business, and data architectures developed in the preceding process steps. This process step also includes guidance for developing recommendations for transitioning from the current (as-is) state to the target state. The conceptual solution architecture produced at the end of this step is of benefit to segment and solution architects as well as to downstream capital planning and budget personnel.

At the end of this section, you should be able to:

- Describe the outcome of this step.
- Identify the activities and tasks associated with this step.
- Identify the core outputs of this step along with the other recommended “non-core” outputs
- Describe how FSAM helps identify the existing systems and services are deployed within the as-is conceptual solution architecture.
- Describe the FSAM analytical technique(s) that help assess how well the existing systems and services currently support the mission and identify which systems and services should be considered for retirement and / or consolidation.
- Describe how FSAM provides guidance on selecting target systems, components, and services that are reusable (e.g., what external services (e.g., FTF) can be leveraged in the target architecture?)
- Describe how FSAM supports aligning the conceptual solution architecture with the target performance, business, and data architectures developed in prior steps.



Step Purpose:

The *Define the Conceptual Solution Architecture* process step includes activities that help the architect define the *conceptual solution architecture* for the target state. The term *conceptual solution architecture* defines the segment target systems and services, the supported business functions, and the relationships between them and the technology that supports them, including the technical and service components and their underlying standards.

Target services may include business services, enterprise services, and other technical service components. The conceptual solution architecture also describes the segment boundaries defined by interfaces with external customers, systems, services, and organizations. As such, the conceptual solution architecture provides an integrated view of the combined systems, service, and technology architectures.

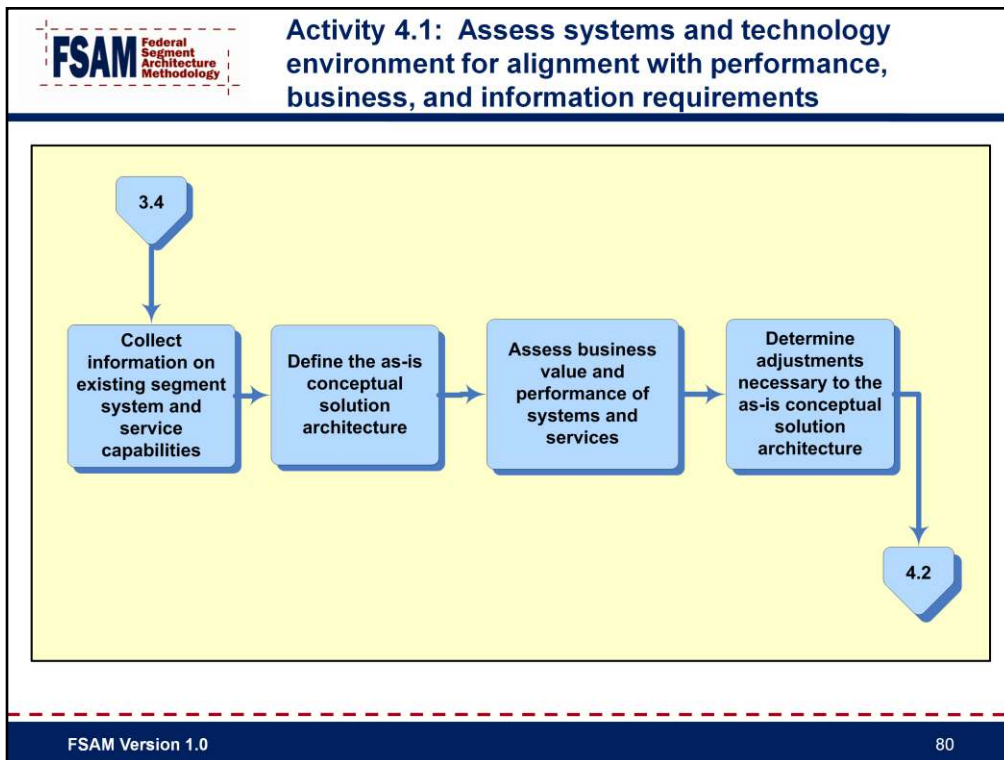
Step Outcome:

- The outcome of this step is the conceptual solution architecture that support the target performance, business and data architectures developed in the preceding steps, along with recommendations for transitioning from the as-is state to the target state.

Key Questions Answered by Step 4: Define the Conceptual Solution Architecture

- What existing systems and services are deployed within the as-is conceptual solution architecture?
- How well do the existing systems and services currently support the mission? Which systems and services should be considered for retirement and / or consolidation?
- What does the target conceptual solution architecture need to include in order to fulfill the target performance architecture?
- Are the selected target business functions, systems, and service components reusable?
- Does the conceptual solution architecture support the target performance, business, and data architectures developed in prior steps, along with recommendations for transitioning from the as-is state to the target state?
- Have the dependencies, constraints, risks, and issues associated with the transition been analyzed to identify alternatives to be considered?

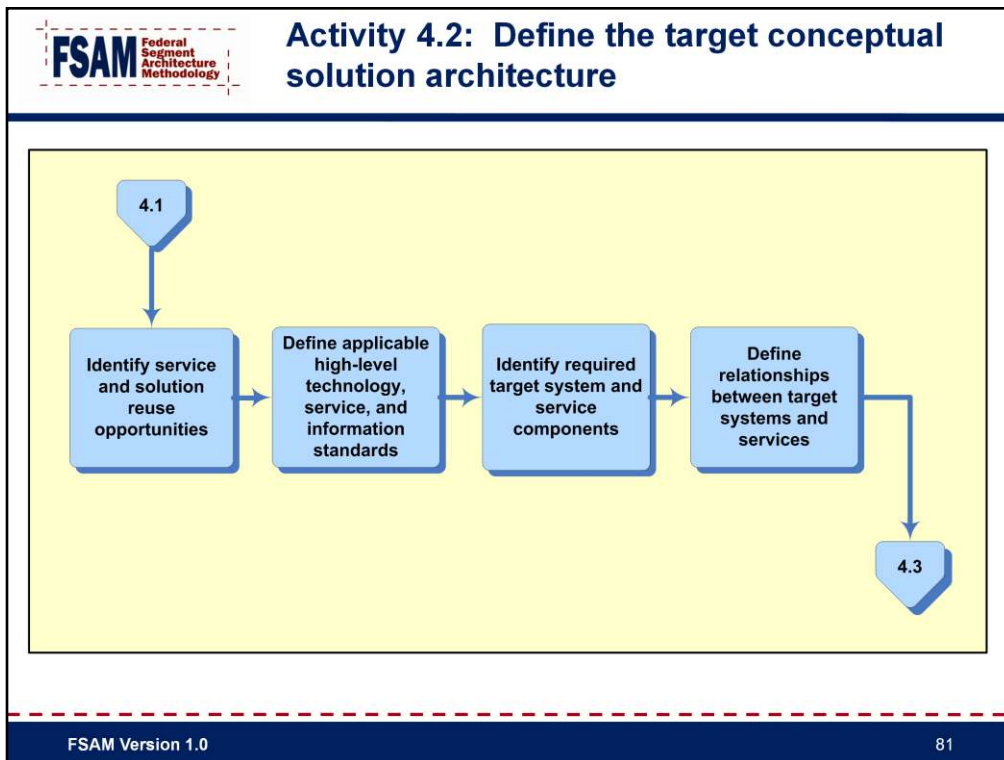
At the conclusion of Step 4, the core team should have answers to these questions as they relate to their segment.



This activity builds upon the analysis of the segment’s business and information environment performed in process step 3 and is within the scope identified in process step 2. The focus of this activity is to collect and analyze information pertaining to the as-is use of systems and services and how well those systems and services support the performance, business, and data architectures. This activity includes assessing the segment’s systems and services across several dimensions, including business, data and technology alignment; service management; and maturity. This activity also includes a high-level assessment of existing system interfaces within the segment and the data that is exchanged among those systems.

By performing an analysis of existing systems and services against the performance, business, and data requirements for the target state, the architect should be able to answer key questions related to the target conceptual solution architecture including:

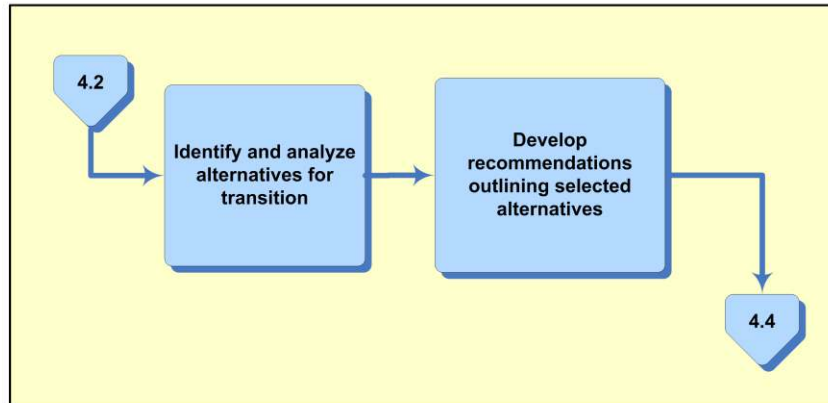
- How are the systems and services in the segment performing to deliver business value for the costs associated with operating and maintaining them?
- What is the relationship between the existing systems, services and technologies (i.e., as-is conceptual solution architecture)?
- What existing systems or services are associated with authoritative data sources?



The purpose of this activity is to develop the target conceptual solution architecture that enables the performance, business, and data architectures defined in process steps 2 and 3. Although this guidance is for segment architecture, a complete segment architecture should include a conceptual depiction of the target systems and services architecture. Hence, the term *conceptual solution architecture* includes the segment target systems and services, the supported business functions, segment boundaries (as defined by interfaces with external customers, systems, services, and organizations), and the relationships between them. Target services may include business services, enterprise services, and other technical service components.

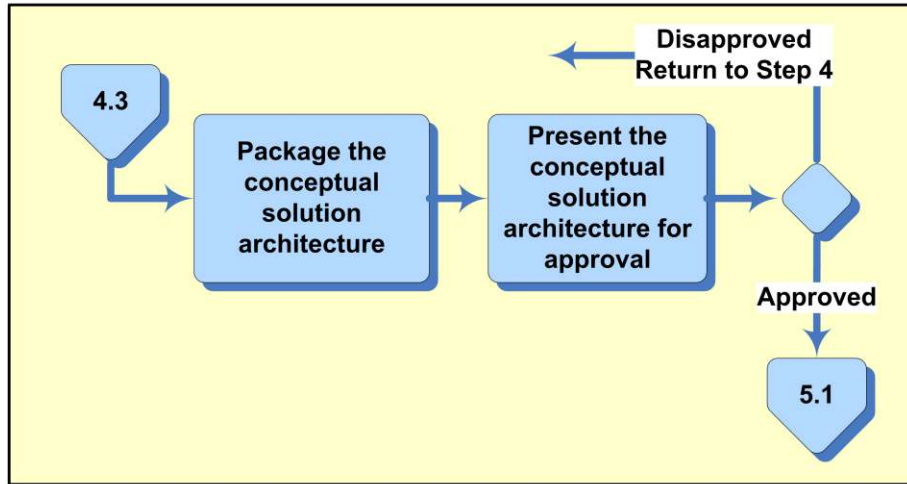
During this activity, the architect defines the systems and services for the target state, with an emphasis on reuse opportunities. This effort begins with the identification and selection of reusable service components from the Federal Transition Framework (FTF) Catalog, followed by the subsequent consideration of other available standard service, data, and technology components. Since segment-specific system and service solutions tend to involve higher costs for both development and operations, the specification of such unique service components and non-standard technologies should be considered only in situations where there are mission-critical needs or a lack of available reusable service or technology components.

Activity 4.3: Identify and analyze system and service transition dependencies

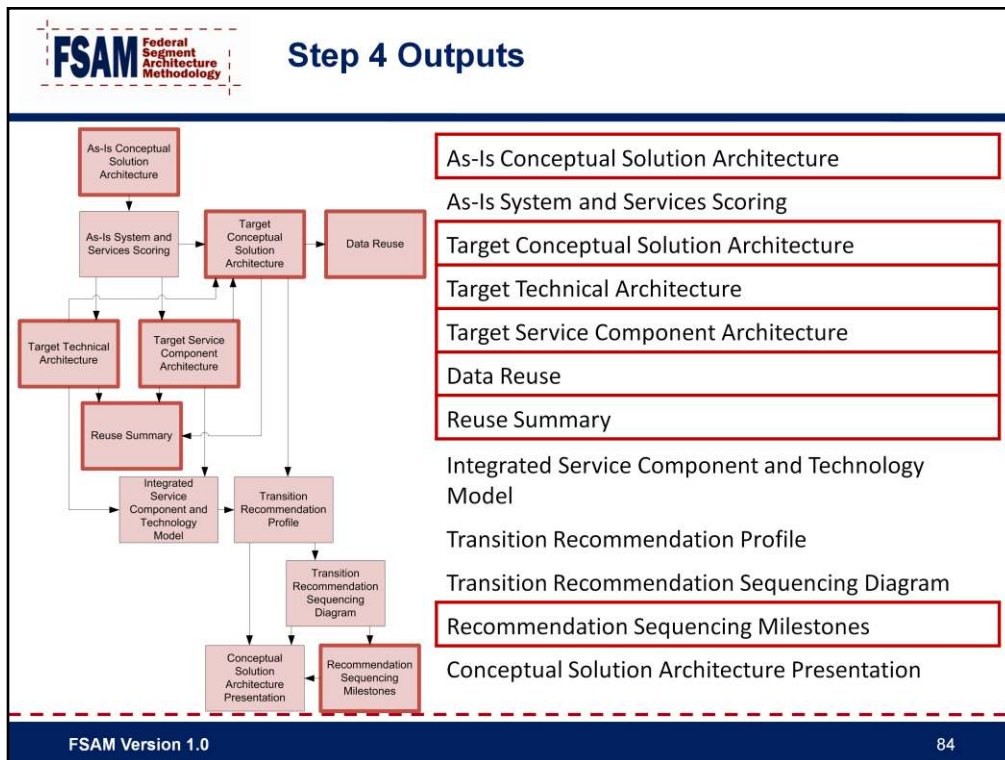


During process step 5, transition options are developed and formulated into implementation recommendations. However, it is beneficial during process step 4 to analyze and explore transition alternatives that may be driven by logical dependencies, risks, or issues that may exist between as-is and target systems and services. This activity is focused on identifying, analyzing, and selecting recommendations for transition alternatives that are based on logical dependencies or other considerations (e.g., cost savings / cost avoidance) that may introduce intermediate transitional states along the path to achieving the target state. This analysis also helps to reduce and simplify the number of transition options to be included in the transition planning within process step 5.

Activity 4.4: Validate and communicate the conceptual solution architecture

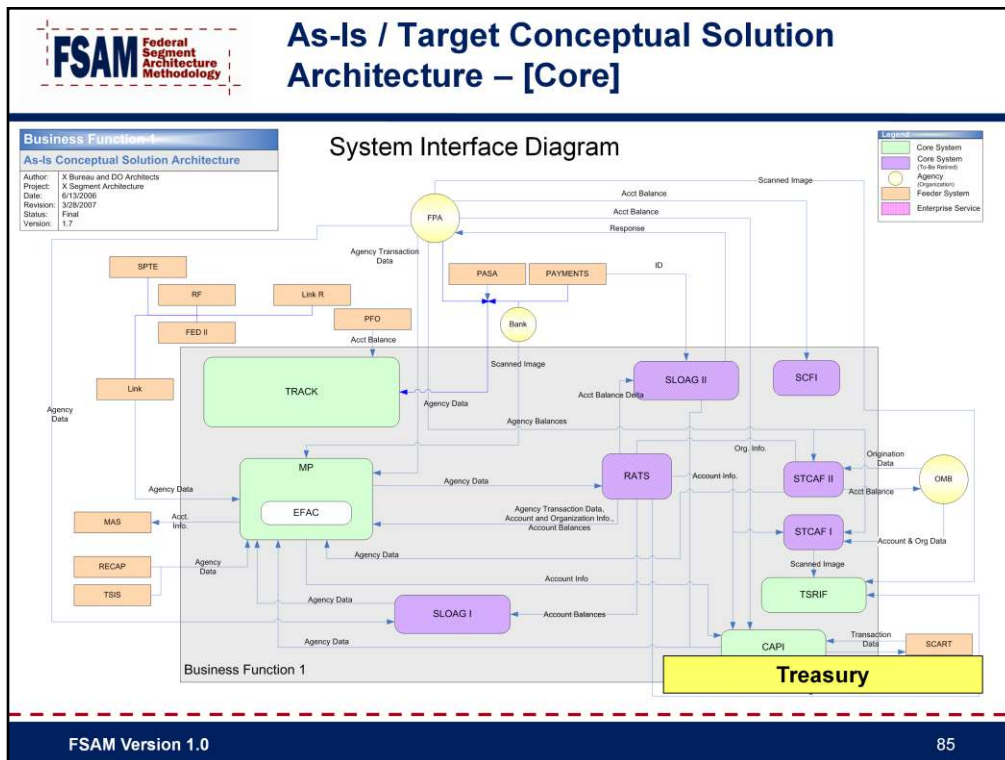


This activity includes packaging and gaining approval of the conceptual solution architecture by the executive sponsor and business owners.



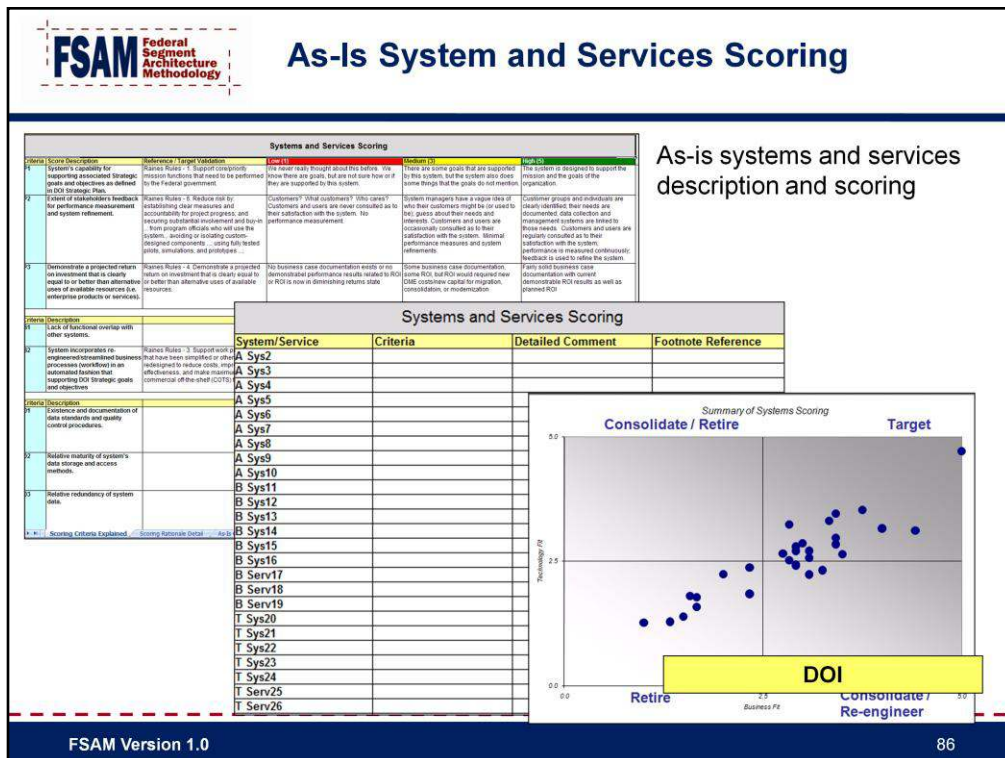
This graphic displays all of the outputs for Step 4. Each output is linked to a suggested analytical template. Outputs with red circles are “core” and the others are “recommended”.

Note that suggested analytical techniques are included for activities within the methodology to better define what is core for a complete segment architecture in the form of descriptive (not prescriptive) guidance on how to accomplish the analysis. The suggested analytical techniques provide guidance as to what outputs are core for defining a complete segment architecture.



System Interface Diagram

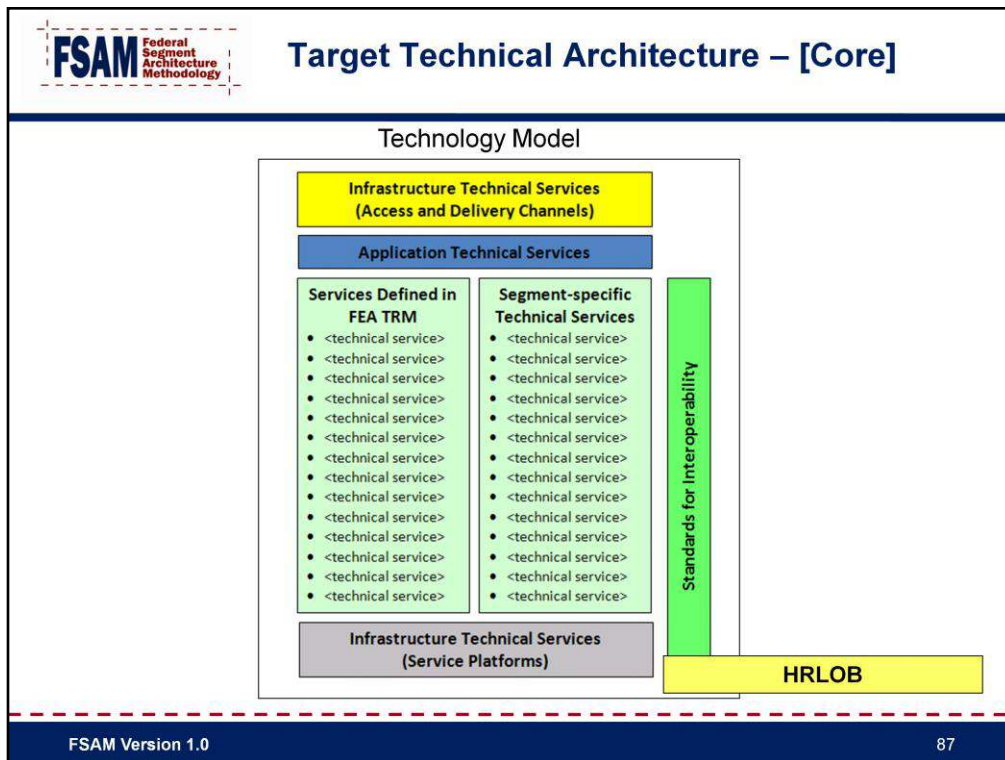
The as-is conceptual solution architecture system interface diagram shows the existing systems and services in the as-is state and identifies the relationships between them. This diagram may also include an overlay to show the boundaries of key business functions and external organizational interfaces along with security certification and accreditation boundaries.



As-Is Systems and Services Description and Scoring

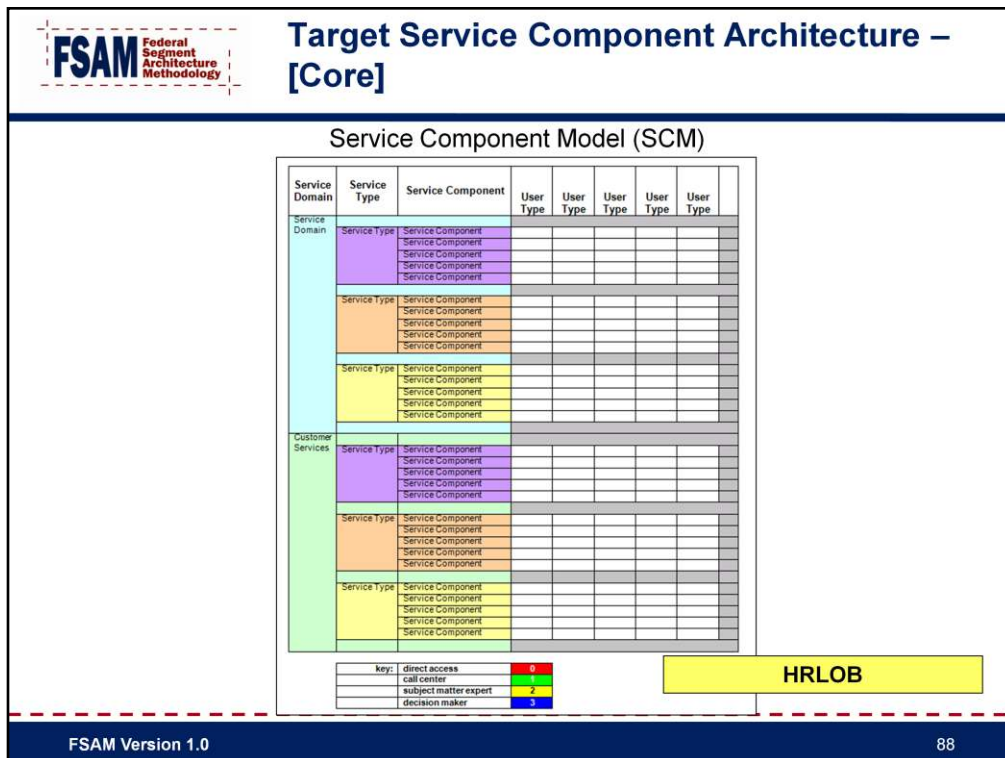
This work product is a quantitative assessment of the business area's systems and services across several dimensions including data, business fit, technology fit, applications design, service management, and security maturity.

This assessment provides a quantitative approach to assessing the current fit and performance of existing systems and services and provides a basis for determining which as-is systems should be considered for the target state. It helps inform decisions as to which as-is systems and services should be considered for reengineering, consolidation and/or retirement.




Technology Model

The technology Model (TM) is used to define the target technology architecture for the segment. The TM components are specified for each corresponding service component consistent with the technical components identified within the agency enterprise architecture technical reference model (TRM). The TM may also include the identification of infrastructure access, delivery, and service platforms along with applicable interoperability standards. Segment specific-technical services may also be defined for technical components not included in the TRM.



Service Component Model (SCM)

- The service component model (SCM) defines the target service architecture.
- The SCM identifies which service components from the service reference model (SRM) support the segment architecture.
- It also shows how services will be delivered to different user types.



Data Reuse – [Core]

Data Reuse

Exchange Package Definition and Reuse						
Reused Exchange Package Name	Reused Exchange Package Description	Organizational Owner	Exchange Package Data Steward (Organization)	Exchange Package Data Steward Agency Code	Owning Information System	Using Information System

Information Exchange Package Reuse Information
Note: Complete this list for each exchange package to identify associated reused data entities

Reused Exchange Package Name	Reused Data Entity Name	Reused Data Entity Description	Entity Data Steward (Organization)	Entity Data Steward Agency Code

FSAWG

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Data Reuse

The data reuse document describes segment reuse of information exchange packages and data entities from other segments and by other segments. This output conforms to EAAF 3.0 reporting requirements. Data reuse consists of shared Data Reference Model (DRM) Exchange Packages which are composed of DRM Entities.

Data Reuse includes:

- Data Exchange Packages – representing Information Sharing among segments (sharing does not require an information system intermediary)
- Data Entities – In the FEA DRM, a data exchange package is composed of one or more Data Entities. The entity may be common across many agencies whether it is ever exchanged or not. If data exchange packages are reused, then the constituent Data Entities are reused by default.

Reuse Summary – [Core]

Reuse Summary				
Segment Reuse				
Segment Name	Segment Code	Segment Reuse Explanation		
Reused Business Capabilities List				
BRM Business Area	BRM Line of Business	BRM Sub-Function	Providing Organization	Agency Code
Reused Information System List				
System Name	System Description	System Owner	Agency Code	Segment
Reused System Service List				
Service Name	Service Description	System Name	System Owner	Agency Code
			FSAWG	

Reuse Summary

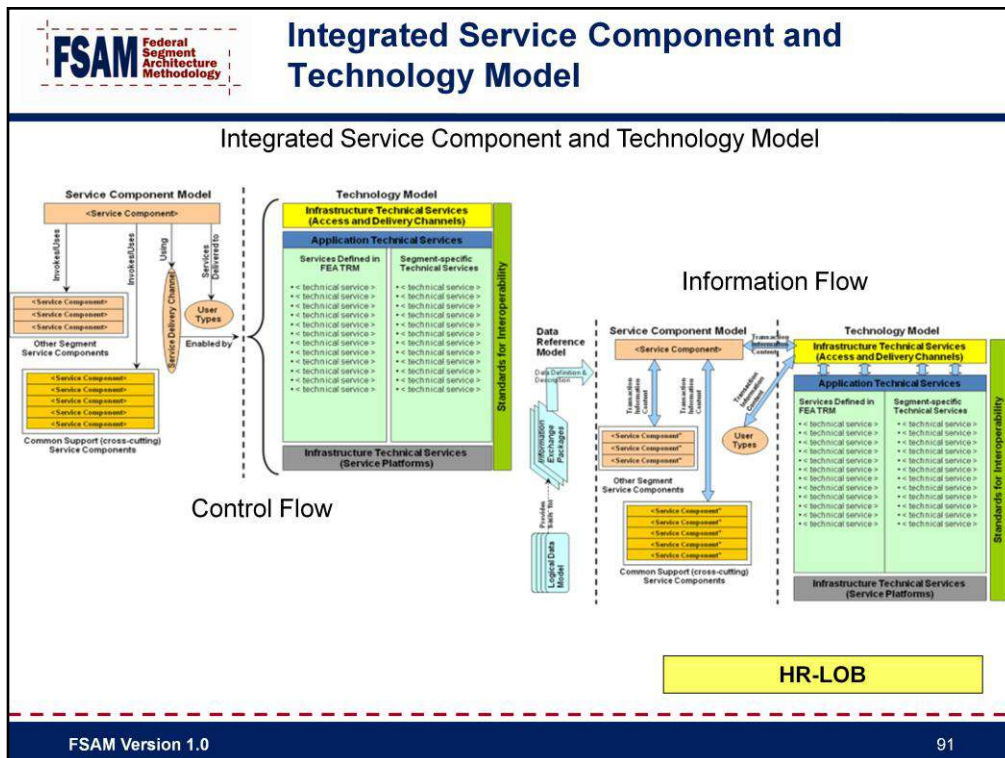
Reuse focuses on the business, data, and information system/services that can be leveraged and reused from another segment. This artifact is used to collect information that describes the segment reuse

Business Reuse

- **Business Capabilities** – successful Business Capabilities may be replicated to other organizations – at the Federal level, this is likely represented by a BRM sub-function. of business capabilities, systems and services.

Information System/Service Reuse

- Information System reuse – where the information system is reused (in total) by another segment. The most common occurrences are where a Mission Segment uses the Information Systems Services of an Enterprise Service
- System Services (think SOA here) where a Segment creates a service that may be usable by a wide variety of segments. Analogous terms that may be used in other agency architectures include Information System Modules, Application Capabilities, Service Components.



Integrated Service Component and Technology Model

The Integrated Service Component and Technology Model is a visual representation of how the “delivery” of the “service” is enabled by “technology components” to the “user / user types”. It is a tool for developing the Technology Model and has two aspects: Control Flow and Information Flow. A service component is NOT independent and self-contained. It may invoke/use other service components for fulfillment. Information flowing between service components and/or a service component and user is defined in the Data Reference Model as an Information Exchange Package. There is a many-to-many relationship between service components. It means that the one Information Exchange Package (or a subset of it) can be exchanged between one or more pairs of Service Components.

FSAM Federal Segment Architecture Methodology

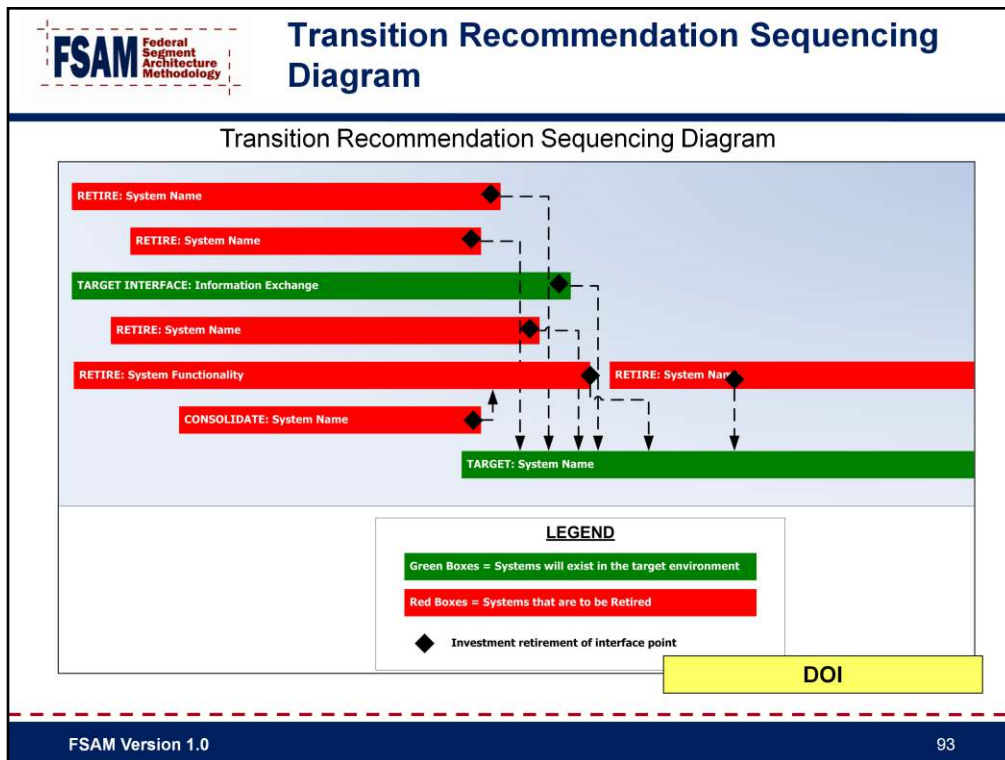
Transition Recommendation Profile

Transition Recommendation Profile	
Strategic, Business or Investment Improvement Opportunity:	Summary of Effect on Strategic, Business or Investment Improvement Opportunity:
Affected Business Function/Process, Information or Service Area(s):	
Summary of Transition Recommendation: (This may include transition to a target or intermediate state. Also include a transition sequencing diagram)	
Risks / Issues:	
> > >	
Relationships and Dependencies:	
> > >	
Estimate of Costs:	
> > >	
<div style="background-color: yellow; padding: 5px 20px; display: inline-block;">Treasury</div>	

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Transition Recommendation Profile


The transition recommendation profile is a table which summarizes at a high-level the recommendation for the segment transition.



Transition Recommendation Sequencing Diagram

The transition recommendation sequencing diagram provides a visualization of the transition from the as-is to the target state solution architectures associated with a set of transition alternatives. It highlights the phased transition of systems and investments and includes the milestones associated with both the retirement of investment and the establishment of interfaces among target systems.

Note that this diagram will be refined, updated and finalized based on the alternative and risk analysis performed in Step 5.



Recommendation Sequencing Milestones

Recommendation Sequencing Milestones

Segment Code		
Segment Name		
Segment Description		
Organizational Owner		
Agency Code		
Segment Type		
Priority Segment? (Y/N)		
Segment Development Phase		

Segment Transition Plan						
Milestone ID	IT Investment, System or Program	Milestone Description	Target Completion Date	Actual Completion Date	Dependency ID	Dependencies / Constraints

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Recommendation Sequencing Milestones

The recommendation sequencing milestones provide an integrated view of performance and schedule milestones associated with a specific set of transition alternatives. This analytical technique aligns with the milestones reported in Exhibit 300s for all investments aligned with the segment and also reflects the milestones associated with non-major DME spending reported for the segment on the Exhibit 53. Data should be drawn from the BY OMB reports provided the previous September and reflect updates made to Exhibits during the first quarter of the current fiscal year.

These milestones will be refined, updated and finalized based on the alternative and risk analysis performed in Step 5.



Step 5

Author the Modernization Blueprint

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The architect leverages outputs from previous process steps to engage with key stakeholders to create a segment architecture blueprint including sequencing and transition plans. The outcome of this process step is a series of validated implementation recommendations supported by holistic analysis of segment business, data, technology, systems, and service components.

The modernization blueprint includes findings and recommendations as well as supporting artifacts and diagrams that illustrate the analysis performed throughout the architecture development process. For instance, artifacts such as the SWOT analysis and the conceptual solution architecture are key visuals in the modernization blueprint. Note that recommendations in the modernization blueprint typically span a strategic time horizon on the order of 3-5 years.

At the end of this section, you should be able to:

- Describe the outcome of this step.
- Identify the activities and tasks associated with this step.
- Identify the core outputs of this step along with the other recommended “non-core” outputs.
- Describe the FSAM suggested analytical techniques that help ensure that the cost, risks, and business value are analyzed to identify and select alternatives for transition.
- Describe how FSAM suggested analytical techniques help maintain alignment with the strategic improvement opportunities from process step 2 in the analysis, recommendations, and transition planning.
- Describe the overall structure and high-level content of a typical modernization blueprint.
- Identify the FSAM suggested analytical techniques that facilitate the overall review and approval of the modernization blueprint by the executive sponsor, business owner(s), and core team.



Step Purpose:

The overall purpose of this step is to document the segment architecture in the form of a modernization blueprint that includes the overall segment architecture sequencing and transition plan.

Step Outcome:

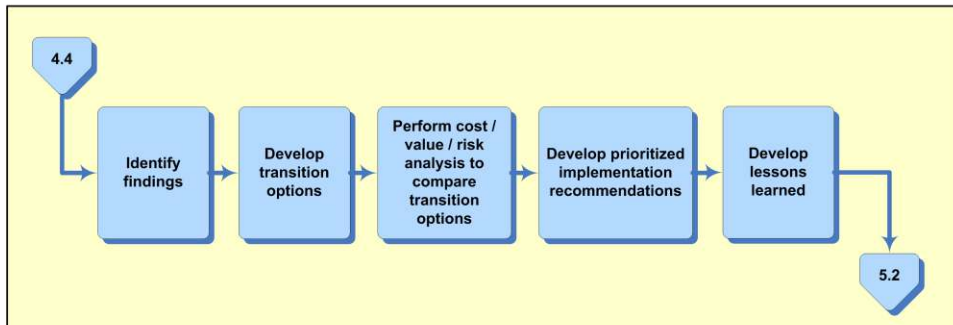
The outcome of this step is a series of validated implementation recommendations described in a detailed, actionable segment architecture blueprint supported by holistic analysis of segment business, data, technology, and service components. The outcome of this step is also the review and approval of the blueprint and sequencing plan for target architecture implementation by the executive sponsor, business owner and core team.

Key Questions Being Answered by Step 5: Author the Modernization Blueprint

- Have the findings from the previous steps been identified and categorized?
- Have the transition options been analyzed for costs, benefits, and risks in order to develop recommendations for implementation?
- Are the recommendations described in a detailed, actionable segment architecture blueprint supported by a holistic analysis of segment business, data, technology, and service components?
- Has the blueprint and sequencing plan been reviewed and approved by the executive sponsor, business owner(s), and core team?

At the conclusion of Step 5, the core team should have answers to these questions as they relate to their segment.

Activity 5.1: Perform cost / value / risk analysis to develop implementation recommendations



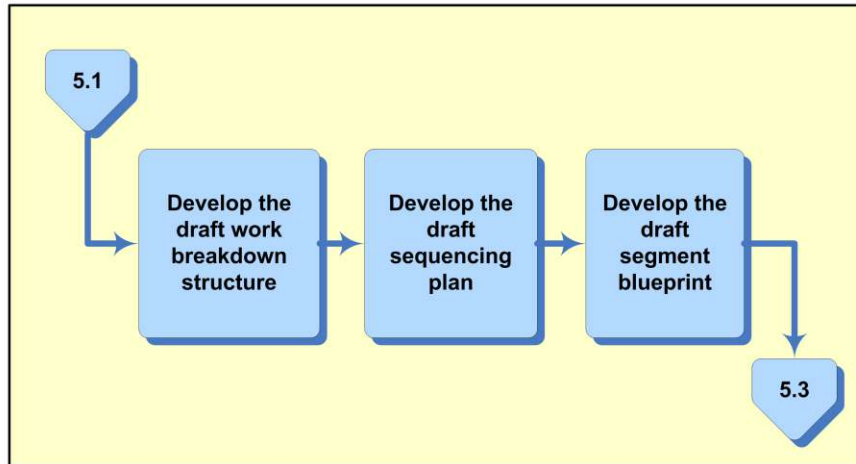
This activity includes guidance for architects to produce findings and transition options that business owners can use to develop a prioritized strategy to drive business improvements. These business improvement activities ultimately will take the form of a formal business case submission(s) and may include specific project or activities to conduct business process re-engineering, systems integration, establishment of formal partnerships, policy development or other transformational approaches.

Findings can represent almost any issue, from outdated technologies, to poor business process fit, to redundancies, etc. Findings are developed using the relevant artifacts from process steps 2, 3 and 4 and should be categorized according to the associated business products and services. Transition options are then developed for each of the findings. Transition options are a set of one or more alternatives for transitioning from the as-is to the target state. The transition options may be categorized further according to the service components, business processes or capability areas that are impacted.

For each set of transition options, analysis is performed to determine the associated cost, benefit and risk. This requires a balance between the depth of analysis (e.g., high-level cost breakdown), available data (e.g., risk analysis assumptions), and the type of recommendations under consideration (strategic vs. tactical). The results of this analysis are a key input to finalizing the sequencing for implementation of the transition options.

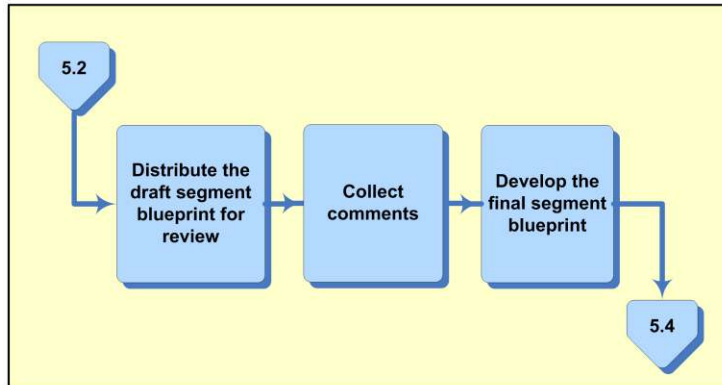
The implementation recommendations are reviewed with key stakeholders and other governance teams as needed to achieve consensus. This review should also include a validation that the segment architecture as developed in process steps 2, 3, and 4 provides the necessary context and level of detail to inform downstream solution-level implementation activities. Any changes to the implementation recommendations resulting from these reviews must also be reviewed and approved by the core team.

Activity 5.2: Develop draft blueprint and sequencing plan



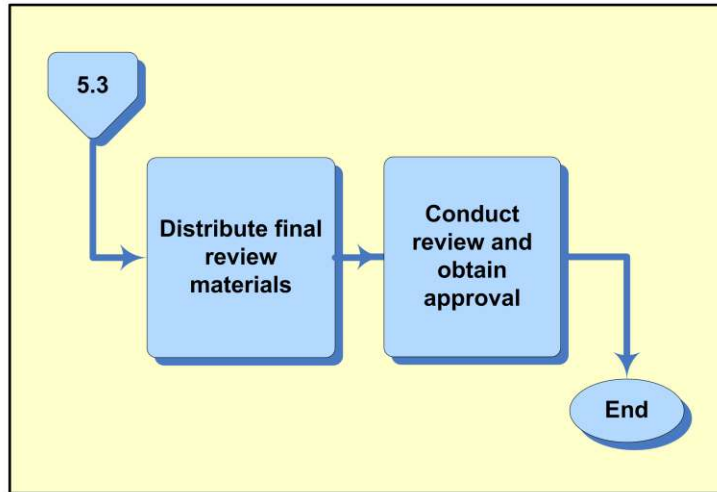
The validated implementation recommendations provide the basis for producing the detailed blueprint document and sequencing plan. The draft blueprint document summarizes the results of the business analysis and strategy and provides an overview of the target data, services, and technology environment along with the results of analysis of the findings, transition options, and associated implementation recommendations.

Activity 5.3: Review and finalize the blueprint and sequencing plan



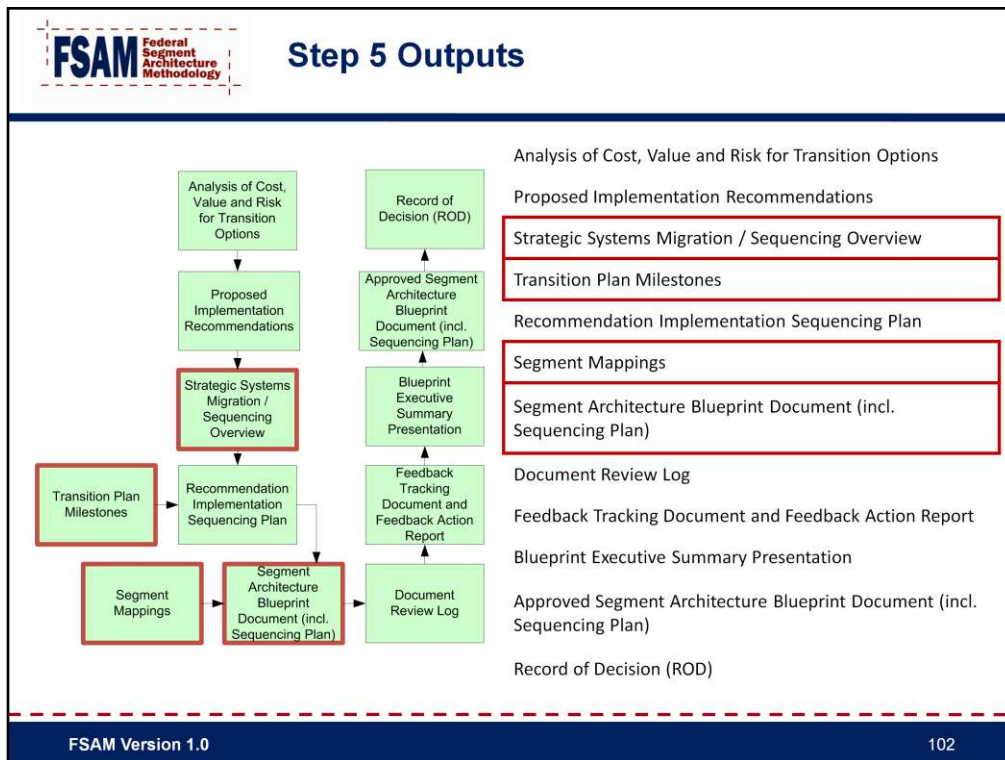
The draft segment architecture blueprint is distributed to the core team for review. Throughout the review process, feedback is recorded and consolidated, and resulting actions are tracked. Once the review is completed, the final segment architecture blueprint document is prepared for submission to the appropriate governance teams.

Activity 5.4: Brief core team and obtain approval



In this activity, a formal presentation of the segment blueprint is made to the core team, business owner(s), and the executive sponsor, after which the decision to approve the segment blueprint is recorded either as a separate signed document or in the form of published meeting minutes. Any issues that arise during the final review are addressed and closed as needed.

The formal presentation may also be accompanied by an executive overview document describing the need for the transformation and a summary of the analysis of findings, transition options and implementation recommendations. Once this activity is complete, the executive sponsor, business owner(s) and core team can move forward with gaining approvals from the broader business community and capital planning governance teams such as the Investment Review Board (IRB).



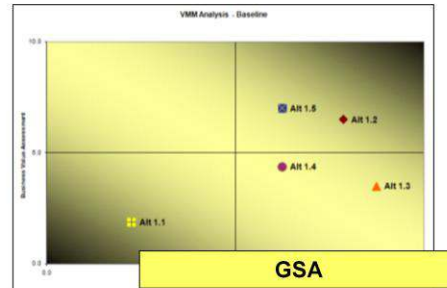
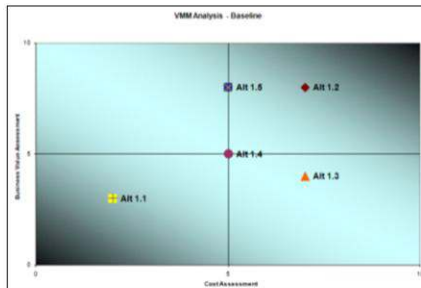
This graphic displays all of the outputs for Step 5. Each output is linked to a suggested analytical template. Outputs with red circles are “core” and the others are “recommended”.

Note that suggested analytical techniques are included for activities within the methodology to better define what is core for a complete segment architecture in the form of descriptive (not prescriptive) guidance on how to accomplish the analysis. The suggested analytical techniques provide guidance as to what outputs are core for defining a complete segment architecture.

Analysis of Cost, Value and Risk for Transition Options

Value Measuring Methodology Cost to Value Matrix

Finding Label and Transition Option Descriptions		Base Scores		Aggregated Risk Analysis (from RISM Analysis)			Risk Adjusted Score	
				High	Med	Low		
				0.75	0.50	0.25		
				Risk	Risk Impact			
1	Finding	Est. Cost	Value	Probability	Value	Cost	Cost	Value
Alt 1.1	Transition Option 1.1 Descriptive Label	2	3	Med	High	Low	2.3	1.9
Alt 1.2	Transition Option 1.2 Descriptive Label	7	8	Low	High	Med	7.9	6.5
Alt 1.3	Transition Option 1.3 Descriptive Label	7	4	Med	Low	Med	8.8	3.5
Alt 1.4	Transition Option 1.4 Descriptive Label	5	5	Med	Low	Med	6.3	4.4
Alt 1.5	Transition Option 1.5 Descriptive Label	5	8	Med	Low	Med	6.3	7.0



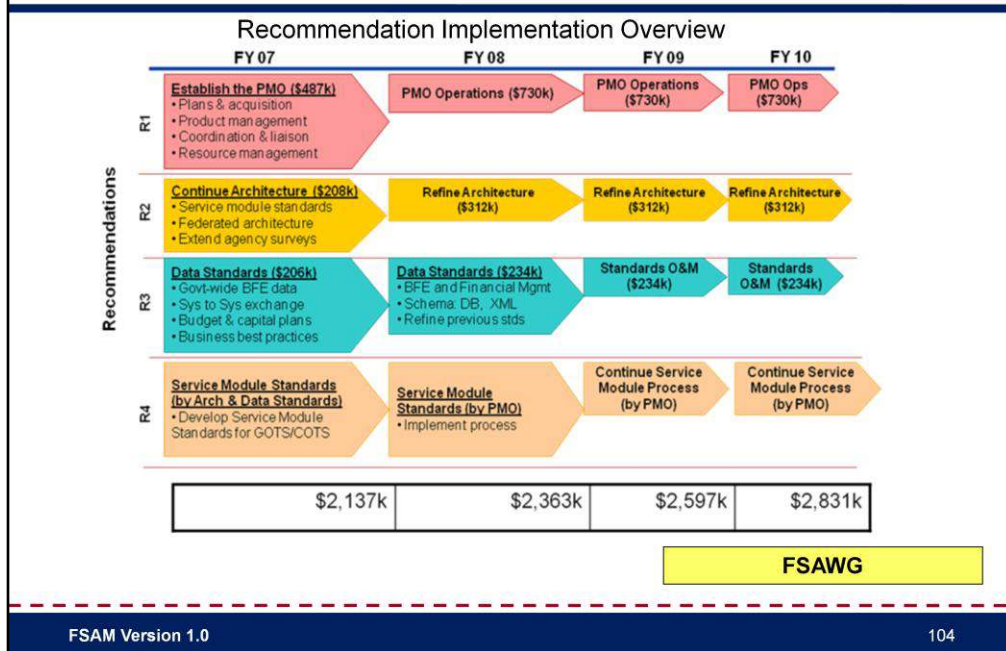
Value Measuring Methodology Cost to Value Matrix

The VMM cost to value matrix provides the results of a structured cost / benefit analysis of the recommendations and can be depicted graphically as shown. VMM analyzes the value per dollar and associated risk to determine which recommendations provide the most “bang for the buck”.

Cost, value, and risk estimates for each recommendation are input into the VMM Analysis tab. The toolkit provides the overall cost, value score, risk adjusted cost, and risk adjusted value score. The toolkit also provides a graphical value-to-cost comparison chart that summarizes the risk-adjusted cost/benefit for each recommendation in the corresponding tabs.

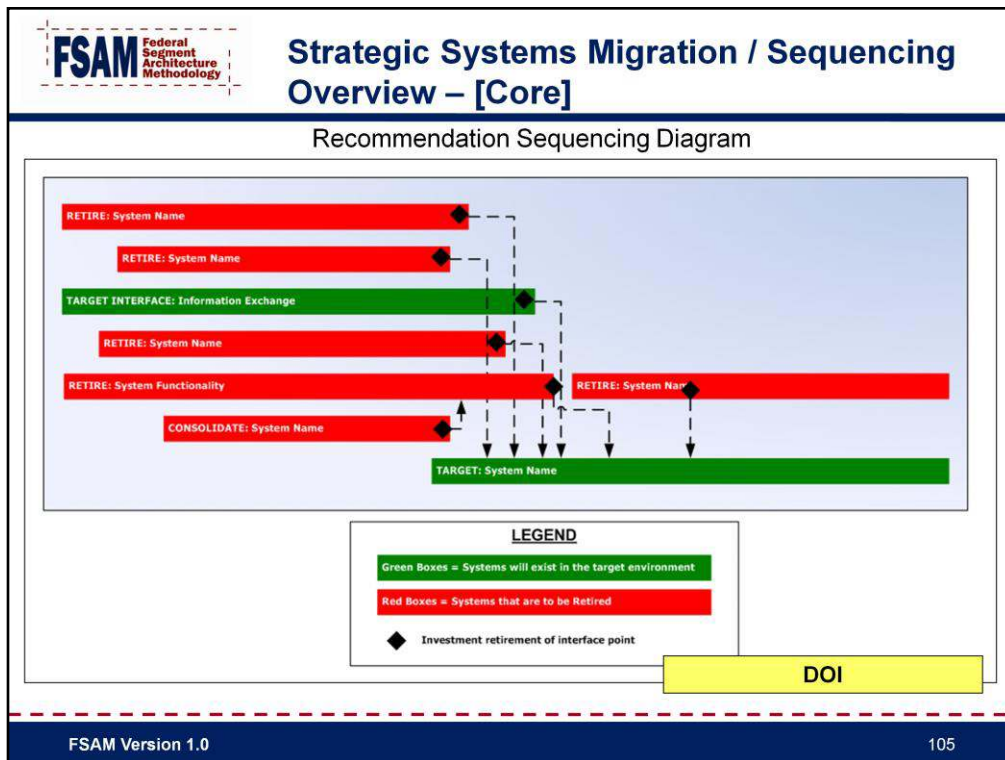
The worksheet is configured to accommodate up to five alternatives for a finding. Additional findings and alternatives can be added as needed. However, note that this will require corresponding modifications to the data series in the charts in order for additional results to be displayed. Modifications to the charts can be effected using standard Microsoft Excel formatting commands.

Proposed Implementation Recommendations



Recommendation Implementation Overview

The recommendation implementation overview diagram depicts ‘what’ will be implemented, ‘when’ it will be implemented, and the associated costs for implementation in each year.



Recommendation Sequencing Diagram

The recommendation sequencing diagram provides a visualization of the transition from the as-is to the target state solution architectures. It highlights the phased transition of systems and investments and includes the milestones associated with both the retirement of investment and the establishment of interfaces among target systems.

Note that this diagram represents a refined / finalized version of the sequencing diagram associated with transition recommendations as developed in Step 4. This refined version will incorporate the results of alternative and risk analysis performed in Step 5.

Transition Plan Milestones – [Core]

Segment Transition Plan Milestones

Segment Code	
Segment Name	
Segment Description	
Organizational Owner	
Agency Code	
Segment Type	
Priority Segment? (Y/N)	
Segment Development Phase	

Segment Transition Plan						
Milestone ID	IT Investment, System or Program	Milestone Description	Target Completion Date	Actual Completion Date	Dependency ID	Dependencies / Constraints

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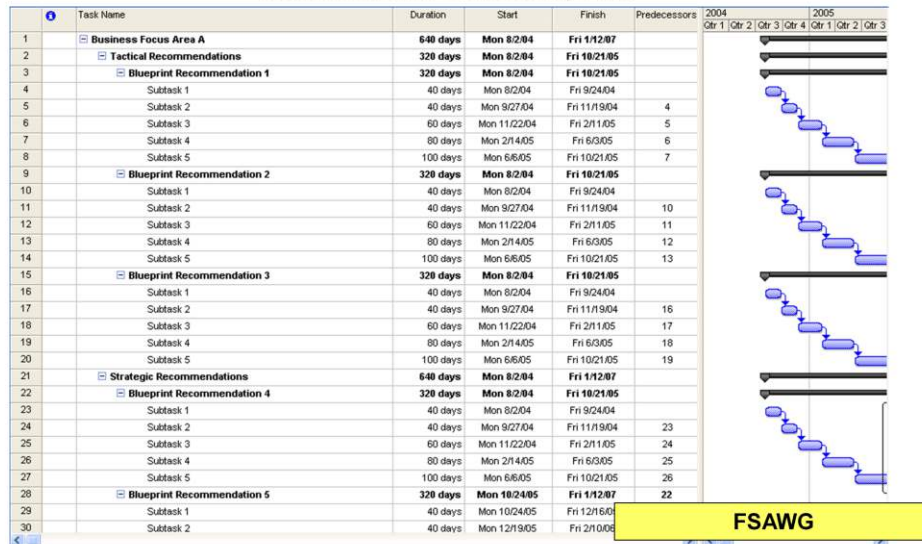
Segment Transition Plan Milestones

The segment transition plan provides an integrated view of performance and schedule milestones for the segment. It aligns with the milestones reported in Exhibit 300s for all investments aligned with the segment and also reflects the milestones associated with non-major DME spending reported for the segment on the Exhibit 53. Data should be drawn from the BY OMB reports provided the previous September and reflect updates made to Exhibits during the first quarter of the current fiscal year.

Note that this table represents a refined / finalized version of the segment transition milestones associated with transition recommendations as developed in Step 4. This refined version will incorporate the results of alternative and risk analysis performed in Step 5.


Recommendation Implementation Sequencing Plan

Implementation Sequencing Plan



Implementation Sequencing Plan

This is a project management analytical technique that provides a planning and monitoring tool for the execution of the modernization blueprint recommendations. This project plan should be structured to include key milestones related to investments and performance improvements associated with the implementation of the transition plan. The milestones included in this plan should be incorporated into the overall enterprise transition strategy.



Segment Mappings – [Core]

Segment Mapping

BRM Mapping			
Current / Target	BRM Business Area	BRM Line of Business	BRM Sub-Function

FTF Initiative Use		
Supported or Used by Segment? (Y/N)	FTF Initiative Name	Explanation for NOT Using the FTF Initiative (if applicable)
	Recreation One-Stop	
	GovBenefits.gov	
	E-Loans	
	USA Services	
	IRS Free File	
	Disaster Assistance Improvement Plan	
	E-Rulemaking	
	Expanding Electronic Tax Products for Businesses	
	Federal Asset Sales	
	International Trade Process Streamlining	
	Business Gateway	
	Case Management LoB	
	Consolidated Health Informatics/ Federal Health Architecture	
	Geospatial One-Stop	
	Disaster Management	
	SAFECOM	
	E-Vital	
	Grants.gov	
	Grants Management LoB	
	Geospatial LoB	
	E-Training	
	Recruitment One-Stop	
	Enterprise HR Integration	
	E-Clearance	
	E-Payroll	
	E-Travel	
	Integrated Acquisition Environment	
	E-Records Management	

SRM Mapping			
Current / Target	SRM Service Domain	SRM Service Type	SRM Component

TRM Mapping			
Current / Target	TRM Service Area	TRM Service Category	TRM Service Standard

IT Investment Mapping		
IT Investment Name	IT Investment UID (from Exhibit 53 if applicable)	IT Investment Description

PARTed Program			
PARTed Program ID	PARTed Program Name	Associated IT Investment	Associated Investment UID (from Investment Mapping)

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Segment Mappings

The Segment Mappings provide the FEA CRM mappings for the segment and shows the relationship between the segment and its investment portfolio, PART programs supported, and government-wide FTF and e-Gov initiatives.

This artifact is used to provide a summary of the segment mappings developed during prior steps. Segment mappings show the relationship between the Segment and the investments, programs, and initiatives that comprise it. Segment mappings also include FEA Reference Model mappings, usage of FTF Initiatives within the Segment, and alignment between Investments and PARTed Programs. Segment mappings are intended to provide a general overview of the business processes, IT initiatives, and relationships that define the segment. Agencies may be required to report similar mappings in other reports to OMB, such as the Exhibit 53s and in PARTed programs. The mappings for this section should reflect the information that has already been reported to OMB.

FSAM Federal Segment Architecture Methodology	<h1>Segment Architecture Blueprint Document (incl. Sequencing Plan) – [Core]</h1>
<h2>Modernization Blueprint</h2>	
[Business Focus Area or Line of Business Name] Modernization Blueprint	[Business Focus Area or Line of Business Name] Modernization Blueprint
<h3>Table of Contents</h3>	<h3>Introduction</h3>
<ul style="list-style-type: none"> Introduction.....4 Purpose.....4 Scope.....4 Methodology.....4 Document Overview.....4 Executive Overview.....5 Business Overview.....5 <ul style="list-style-type: none"> Overview of the Business Area.....5 Stakeholders including priorities.....5 SWOT.....5 Vision.....6 Goals and Objectives.....6 Overview of Business Products and Services.....6 Recommendations for Business Transformation.....6 <ul style="list-style-type: none"> Overview of Findings and Recommendations.....6 Summary of Findings.....6 Service Type: [Insert Service Type Label].....7 Finding: [Insert Finding Label].....7 Recommendation: [Insert Recommendation Label].....7 Analysis of Recommendations.....7 Value Measurement Methodology (VMM) Analysis Results.....7 Target Solution Architecture.....8 Target Data Environment.....8 Transformation Sequence Plan.....8 Appendix A: Supporting Tables and Figures.....8 <ul style="list-style-type: none"> Business Analysis Tables and Figures.....8 <ul style="list-style-type: none"> Tables.....8 Figures.....9 Findings and Recommendations.....12 <ul style="list-style-type: none"> Tables.....12 Figures.....13 Target Architecture.....14 <ul style="list-style-type: none"> Figures.....14 Implementation Plan.....15 <ul style="list-style-type: none"> Figures.....15 	<p>Purpose [Briefly define the purpose of the document. This document provides a comprehensive Modernization Blueprint which defines the target state vision and transition plan for business transformation.]</p> <p>Scope [Briefly describe the scope of the Modernization Blueprint. This should be specific to the line of business within the business focus area.]</p> <p>Methodology [Describe how the MBT analysis was used in developing the modernization blueprint. The Methodology for Business Transformation (MBT) was employed in developing the Modernization Blueprint. The MBT is a disciplined analysis best practice employed for developing strategic plans for business modernization. The methodology encapsulates analysis of all aspects of business and technology to deliver a complete modernization roadmap. MBT ensures a successful application of enterprise architecture disciplines in defining and analyzing a defined business focus area (BFA).]</p> <p>Document Overview [The Modernization Blueprint is comprised of five primary sections:</p> <ul style="list-style-type: none"> Executive Overview: This is a brief (1-2 pages) overview that describes the motivation behind the Modernization Blueprint. It is focused on providing clear, concise answers to key questions, such as: Where are we? Where do we want to go? How do we get there? Business Overview: Provides a quick reference to the opportunities for improvement and a general context for maturity of the business focus area (BFA) line of business (LoB). Provides a brief description of the business functions and services that are provided and the strategic objectives that are to be achieved by the transformation. Recommendations for Business Transformation: Describes the existing architecture issues from a variety of perspectives. The Findings and Recommendations (F&R) are described in the context of the specific business products and services where improvements are recommended due to strategic drivers such as eliminating redundancies, filling voids, or other general industry trends. All findings are associated to specific recommendations on how to proceed. Target Technology Envisioning: required to support the business systems migration plan.
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Modernization Blueprint

The modernization blueprint is a description of the overall segment transition plan that is focused on implementation of the business transformation recommendations. Contains descriptions of some of the key analysis performed in prior process steps.

FSAM provides additional tools to support the document review and comment process

Document Review Form

Reviewer Name			
Title / Organization:			
Email and/or Phone No.			
Reviewer Comment or Change Request	Section and Page No.	Paragraph, Figure, Table or Other Reference	Rationale

Feedback Tracking and Action Report

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The document review form and feedback tracking / action report can be used to facilitate the formal review of the draft modernization blueprint and to adjudicate any resulting comments or other feedback.

- At the end of Step 5, a presentation is prepared as part of the review with the core team and key stakeholders
- The Modernization Blueprint is reviewed and approved
- A record of decision (ROD) documents the core team approval
- The Modernization Blueprint is ready to move forward into other governance processes for capital planning and investment review

Once the blueprint is approved by the core team, business owner and executive sponsor, the executive sponsor and business owner should be prepared to present the blueprint to other governance teams (e.g. Investment Review Board) for additional approval as may be required.



Enterprise Architecture Segment Reporting (EASR) Integration with FSAM

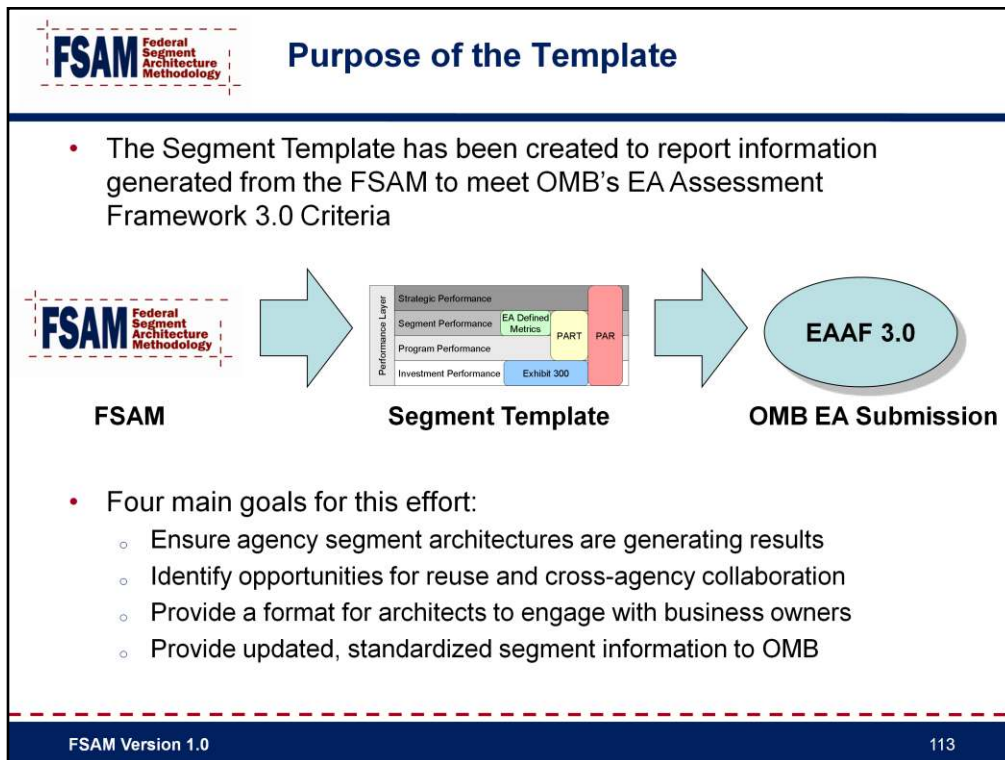
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With the release of Enterprise Architecture Assessment Framework (EAAF) v3.0, FSAM artifacts have been aligned with the Enterprise Architecture Segment Report (EASR) and with the information collection requirements presented by EAAF 3.0 Key Performance Indicators (KPI's). The EASR integrates data available in and reported from the agency capital planning and investment control (CPIC) process with data available in agency segment architectures. With the EASR, the CPIC portfolio is linked directly and precisely to the agency segment architectures in agency IT budget justification submissions, the OMB Circular A-11 Exhibit 53 and Exhibits 300.

At the end of this section, you should be able to:

- Describe the EASR data requirements and the FSAM outputs and associated suggested analytical techniques that provide this information



The purpose of the Enterprise Architecture Segment Report (EASR) is to provide a format for Agencies to use in reporting on the performance and development of their segment architectures to the Office of Management and Budget (OMB). Agencies will complete segment reports as part of the annual OMB EA Assessment and provide quarterly updates on the development of their segments. In requiring this report, OMB has four main goals:

- Ensure agencies are doing segment architecture well and generating results
- Identify opportunities for re-use and cross-agency collaboration based on agency segment architecture information
- Provide a platform for agency chief architects to engage with business owners
- Provide updated Segment information as part of the annual OMB EA Assessment

Segment Report Sections/Forms

Form	Description
Identification	Provides descriptive information about the Segment and its current state.
Mappings	Contains mappings of the Segment to the FEA and to Investments, Programs, and Cross-Agency Initiatives
Performance	Creates a comprehensive line of sight for Segment performance as well as financial and non-financial success stories attributed in whole or in part to the Segment Architecture
Transition Planning	Provides Segment Progress Milestones that track the development of a Segment within an Agency. These milestones may differ from those found in the Exhibit 300s as they focus on the activities that will take the Segment from Notional to Complete.
Collaboration & Reuse	Provides information on Business, Data, and Information System/Service Reuse by the Segment and Partners or other Stakeholders related to the Segment

The Segment Report consists of five sections: Segment Identification, Segment Mapping, Segment Performance, Segment Transition Plan, and Segment Reuse.

Four Stages of Segment Completion

Notional –

- Segment is defined and reported to OMB
- Exhibit 53 Investments are aligned to the Segment

Planned –

- FEA, FTF, PARTed Programs, and E-Gov Mappings are included
- Some Performance Metrics and Transition Milestones
- Some Performance Metrics for PAR and PARTed Programs

In-Progress –


- Performance Milestones included from the ETP
- Performance Metrics provided for all four performance forms
- Initial set of reusable Data Entities and Exchanges Identified
- Initial set of reusable Business Capabilities Identified
- Initial set of reusable Information Systems Identified

Completed –

- Completed Segment has been signed off on by the mission/business owner
- Current scope of completed segment may be less than the target scope
- Template currently includes FEA mappings for the Target state
- Additional documentation may be required when submitting to OMB

The EASR provides a template for reporting on several aspects of a Segment's maturity. Agencies will be required to complete only certain portions of the EASR based on a Segment's maturity. OMB has defined four development phases for Segment maturity.

The EASR is an integral part of the annual EA submission to OMB. Agencies are expected to submit a Segment Reports for each of the segments that they have defined with OMB, even if the segments are only notional. These reports are required to be updated on a quarterly basis as a means of providing updates as the segment develops and matures. The level of completeness of the EASR will depend on the maturity of the segment. Agencies are expected to have complete segment reports only for 'Completed' segments and not for all segments that they have defined.



Identification & Segment Mapping Forms

SEGMENT IDENTIFICATION	Segment Code		
	Name		
	Description		
	Organizational Owner		
	Agency Code		
	Segment Architecture Type		
	Segment Development Phase (taxonomy)		
	Priority Segment		
SEGMENT MAPPING FORM			
IT Investment Mapping			
IT Investment Name	IT Investment UID	Description	
PARTed Program Mapping			
PARTed Program Name	PARTed Program ID	Associated IT Investment	IT Investment UID
FTF Initiative Use			
FTF Initiative Name	FTF Supported or Used by Segment? (Y/N)	Explanation for NOT Using the FTF Initiative (if applicable)	
Recreation One-Stop	Yes	-----	
GovBenefits.gov	No	Not Applicable	
E-Loans	No	Applicable, but it is not being used because	


Basic Segment Identification Information

Segment Alignment / Mapping also includes FEA Reference Models

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The first form in the EASR identifies and describes the segment, its current status and mappings to the segment. This section is based on the form Agencies used initially to define their segments as disclosed to OMB and will be used to define Segment maturity, priority, and type. Segment Priority has been included to identify segments that have been identified within the Agency’s Segment Prioritization Document. Agencies may consider many factors when developing segment priority, including statutory requirements, Agency strategic planning, and performance gaps.

The Segment Mappings Form is designed to show the relationship between the segment and the investments, programs and initiatives that compose it. This section also includes FEA Reference Model mappings, usage of FTF Initiatives within the Segment, and alignment between Investments and PARTed Programs. This form is intended to provide a general overview of the business processes, IT initiatives, and mappings that define the segment. Agencies are required to report similar mappings in other reports to OMB, such as the Exhibit 53s and in PARTed programs. The mappings for this section should reflect the information that has already been reported to OMB.



Performance Form

SEGMENT PERFORMANCE

Strategic Performance							
Fiscal Year	PAR Metric	Component, Bureau, Operating Division, etc	Agency Code	Strategic Goal	Target	Actual	Achieved?

*** FSAM Performance Scorecard requires identical information**

Segment Performance				
Fiscal Year	Metric	Target	Actual	Comments

Program Performance				
Program	Component, Bureau, Operating Division, etc	Agency Code	Year Assessed	Final Rating

Business Performance											
Fiscal Year	Metric ID	Metric Type	Measurement Indicator	IT Investment Name	System/ App/ Program	Strategic Goal	Line of Business or Service Type	Sub-Function or Service Component	Agency Business Process	Target	Actual
		<i>Input, Output, Outcome</i>									

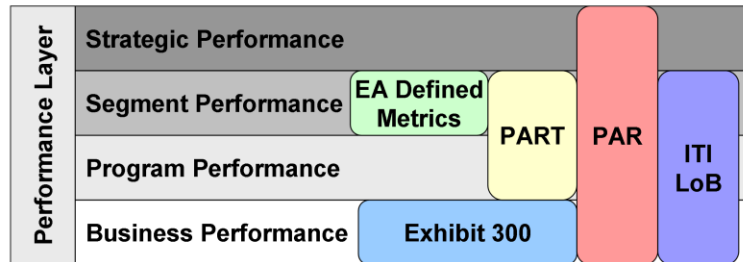
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The purpose of the Segment Performance Report is to create a framework in which to measure how well the activities and investments within a segment are performing. Performance metrics may cover a wide range of systems, technologies, processes, activities and outcomes within a Segment. A successful segment should demonstrate a line of sight from IT Investment performance up to Strategic success. Segment line of sight is developed by gathering metrics from many layers that are aligned to a common purpose. This line of sight will show how strategic performance is supported by segment performance that is supported by program performance that is supported by business performance.


Leveraging common and accepted processes for collecting performance metrics is important in comparing performance metrics across the government. Performance for investments, systems, and Segments across the government can be measured in many ways, and the results of these performance metrics vary depending on the focus of the agency. The Performance Section focuses on providing a complete picture of Segment performance, from the highest level of Strategic Performance down to business and investment performance.

Performance Form

- The Performance Form is intended to capture Segment Performance at multiple levels;
 - Strategic Layer – High level metrics showing support of Agency Strategic Goals
 - Segment Layer – Segment specific metrics such as Cost Savings and Avoidance
 - Program Layer – Program and PART specific metrics
 - Business Layer – IT Investment and Activity metrics based on the PRM Line of Sight
- Segment Performance should leverage current performance architecture activities as indicated in the diagram below



This form contains four main sections: Strategic Performance, Segment Performance, Program Performance, and Business Performance. To complete these forms, Federal Agencies should leverage current ongoing performance-gathering activities such as the Performance Accountability Report (PAR), IT Infrastructure Line of Business (ITI LOB) performance metrics, the Performance Section of the OMB Exhibit 300, and the Program Assessment Rating Tool (PART).



Transition Planning Form

- Transition Planning is focused on showing the activities and milestones that help mature a Segment towards Completion
- Sample Segment Development Milestones may include
 - Segment Architecture Document Development
 - Business Process Reengineering
 - Target Architecture Development
 - System Retirement/Implementation
 - Business Owner Sign-Off


Segment Transition Plan						
Milestone ID	IT Investment/ System/ Program/ etc...	Segment Milestone	Target Completion Date	Actual Completion Date	Dependant on Milestone X	Dependencies/ Constraints

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The Segment Transition Plan is intended to capture the development milestones that occur as a segment matures. This component is a critical part of an effective EA practice because it shows that Agencies have a plan and set milestones to move a segment from Notional through to Completed. The Segment Transition Plan should describe an organization’s overall plan for achieving its target EA within a specified timeframe. The Segment Transition Plan provides an agency-wide view of all modernization activities that move the segment towards completion.

The Transition Plan is not intended to repeat the investment milestones as captured within the Exhibit 300s, but should focus instead on the development activities that occur within a segment . Agencies may include some major investment milestones, such as the retirement of a legacy system or the implementation of a new investment as the agency marks the transition towards the Target Architecture. Agencies should focus on reporting actions they take to mature the segment, such as undertaking a BPR project and implementing the segment conceptual solution architecture via the execution of solution development projects.

The relationship between performance and the successful implementation of the transition plan for a segment is important. For example, if a timetable for transition is intended to provide a certain benefit to an organization or a business process, and that transition is delayed, then the value proposition for the effort, as well as the ROI and cost/benefit calculations, changes. Therefore, the temporal aspect of implementation has a real effect on the achievement of performance outcomes at all levels of the performance hierarchy or architecture.



Collaboration and Reuse Form

Segment Reuse							
Business Reuse	Reused Segment List					<ul style="list-style-type: none"> Reuse of other Segments Major stakeholders Business Capability/ Activity Reuse 	
	Segment Name	Segment Code	Segment Reuse Explanation				
	Stakeholder						
	Stakeholder	Agency Code					
Reused Business Capability List							
	BRM Business Area	BRM Line of Business	BRM Sub-function	Providing Organization	Agency Code		
	Reused Data Exchange Package List						
Data Reuse	Data Exchange Package Name	Data Exchange Description	Organizational Owner	Data Steward	Agency Code	Owning Information System	Using Information System
	Reused Data Entity List						
	Data Package Name	Data Entity Name	Description	Data Steward (Org)	Agency Code	<ul style="list-style-type: none"> Data Exchanges Data Entity Reuse 	
Sys/Service	Reused Information System List					<ul style="list-style-type: none"> Secondary IT Investment Mappings System Service (SOA) Reuse 	
	System Name	System Description	System Owner	Agency Code			
	Reused (Consumed) System Service List (context: SOA)						
	System Service Name	System Service Description	System Name	Provider Organization	Agency Code		

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Segment Reuse focuses on the business, data, and Information System/Services that can be leveraged and reused from a Segment. This form focuses on three types of reuse within a Segment; Business, Data, and Information System/Service Reuse.

Business Reuse

Segment Reuse – OMB classifies segments as Core Mission, Business Service, or Enterprise Service. Segment reuse could occur by segments in any of these classifications; typically a Mission Segment would be reusing the capabilities provided by an Enterprise Segment (e.g. Information Sharing).

Stakeholders – While a Segment may belong to a single owner within an Agency, it may have multiple stakeholders that benefit from it. These stakeholders may be internal groups or external Agencies, State, or Local organizations. It is important to show the breadth of use that a segment may have across the government.

Business Capabilities – Successful Business Capabilities may be replicated by other organizations; at the Federal level, this replication is likely to be used in a BRM sub-function while, at the Agency level, the replication may be seen at a business process level.

Data Reuse

Data Exchange Packages – Data exchange packages represent information sharing among segments. Note that this sharing does not require an information system intermediary.

Data Entities – In the meta-model (as based on the FEA DRM), a data exchange package is composed of one or more Data Entities. These may include things like Person, Facility, Claim, etc. The entity may be common across many agencies whether it is ever exchanged or not. If data exchange packages are reused, then the constituent Data Entities should be listed.

Data Assets – A managed, repository for data (i.e. a relational database; a website, a document repository, directory or data service, etc.)

Information System/Service Reuse

Information System Reuse – Information system reuses occurs when the information system is reused (in total) by another segment. The most common occurrences are where a Mission Segment uses the Information Systems Services of an Enterprise Service

System Services – (think SOA here) System services are services provided by a segment that may be usable by a wide variety of segments. Analogous terms that may be used in other agency architectures include Information System Modules, Application Capabilities, Service Components, etc.

FSAM includes artifacts designed to align with the Segment Architecture Template

Segment Architecture Template	Corresponding FSAM Artifact
Segment Identification	Segment identification information is created in the EA-level processes that define and prioritize segments.
Segment Mapping Form	Segment Mappings
Segment Performance Form	Performance Scorecard
Enterprise Transition Plan Form	Transition Plan Milestones
Segment Reuse Form	Segment / Business / System / Service Reuse
	Data Reuse
	Stakeholders and their relationships

The Federal Segment Architecture Methodology has recently been published to provide Agencies guidance on how to develop a Segment Architecture. This guidance has been created through the joint effort of several Agencies and provides a step-by-step process for developing a Segment Architecture. OMB is not requiring Agencies to follow this methodology and previously completed Segments do not have to be revised to adhere to the FSAM. Agencies should leverage the FSAM to when developing new Segments since it has been developed to assist in reporting Segment information to OMB. The FSAM provides a crosswalk between the elements in the EASR and FSAM artifacts in Appendix II: FSAM Logical Data Model Supporting EA Reporting Requirements.



Congratulations! You have completed the Federal Segment Architecture Methodology (FSAM) Practitioner's Training!

You should now be equipped with the knowledge as to what is FSAM and the steps in the FSAM process. You should also be familiar with the FSAM outputs and associated suggested analytical techniques that can be used collectively to describe a segment architecture and how these outputs support OMB segment reporting requirements.

For additional information, please access the FSAM website at: [FSAM.gov](https://www.fsam.gov)

Thank you!